

Solving Systems of Non-Linear Polynomial Equations Faster

John F. Canny

Computer Sci. Div., EECS Dept., University of California
Berkeley, CA 94720; `canny@ernie.berkeley.edu`

Erich Kaltofen and Lakshman Yagati

Dept. Computer Sci., Rensselaer Polytechnic Institute
Troy, NY 12180-3590; `kaltofen@cs.rpi.edu`, `yagatil@cs.rpi.edu`

1. Introduction

Finding the solution to a system of n non-linear polynomial equations in n unknowns over a given field, say the algebraic closure of the coefficient field, is a classical and fundamental problem in computational algebra. For algebraic reasons (refer to footnote 1 in van der Waerden (1953, §80)) one considers projective problems, that is, the polynomials are homogeneous and the solutions are sought in n -dimensional projective space. Note also that the solutions of an affine system are specializations of the solution rays of its homogenized projective version. Going back to Cayley and Bezout in the last century, solvability of such a projective system is determined by the vanishing of a certain invariant, its resultant. This invariant generalizes the Sylvester resultant of two polynomials in a single variable (Knuth 1981) and the determinant of the coefficient matrix on a homogeneous linear system. In 1916 Macaulay (1916) showed that the resultant can be expressed by a quotient of two determinants whose correspond-

ing matrices have coefficients of the input polynomials as their entries. These matrices have dimension exponential in the number of variables, but since there is an easy reduction to an \mathcal{NP} -complete problem (Agnarsson et al 1984), there is little hope for a polynomial-time solution in the number of variables. Finally, if a projective system of $n - 1$ equations and n unknowns has finitely many solutions, these can again be found by computing the resultant of the system with the addition of a generic linear form. That resultant, the so called u-resultant, is a polynomial in the generic coefficient variables of the added form, and it factors into linear factors whose scalar coefficients are exactly the components in the corresponding solution rays (refer also to the example below). The results discussed so far are classical; for modern extensions of these to deal with infinitely many solutions at infinity, for instance, refer to (Lazard 1981) and (Canny 1988b).

The main result of this article is a new efficient algorithm to evaluate the resultant. The dimensions of Macaulay's matrices are bounded by D , where

$$D = \binom{d + n - 1}{n - 1}, \quad d = 1 + \sum_{i=1}^n (d_i - 1),$$

d_i the degree of the i -th projective equation. We present an algorithm that computes the resultant in

$$O(nD^2 (\log^2(D) \log(\log D) + n))$$

* This material is based on work supported by a fellowship from the David and Lucille Packard foundation (first author); and the National Science Foundation under Grant No. CCR-87-05363 and Grant No. CDA-8805910 (second and third author).

arithmetic steps over the coefficient field, using $O(D)$ locations for field elements. The best previous methods, described in present day research by (Lazard 1981), (Grigoryev and Chistov 1984), (Canny 1988c), and (Renagar 1987b), for instance, all required to compute the Macaulay determinants by Gaussian elimination or the derived algorithms using fast matrix multiplication. Hence our result improves the time complexity from $O(D^\omega)$, where ω is the matrix multiplication exponent, to essentially $D^{2+o(1)}$, with $n = D^{o(1)}$ for $d = \Omega(n)$, and even more importantly, we have improved the space requirements from $O(D^2)$ to $O(D)$.

Having a fast resultant evaluation procedure, one can find solutions of a non-singular system quickly. Here non-singular means that the system only has finitely many solutions. One needs to factor the u-resultant of the input system. Our algorithm provides an efficient method to evaluate the u-resultant at a specialization for the generic variables. Fortunately, this is all one needs in order to apply Canny's primitive element method (Canny 1988a), or the more general factorization method for polynomials given by black boxes for their evaluation (Kaltofen and Trager 1988). Both approaches essentially take $O(N^2)$ arithmetic operations, where $N = \prod_{i=1}^n d_i$ is the number of solutions.

There are two alternate ways of computing solutions to polynomial systems, the classical elimination method due to Kronecker (van der Waerden 1953) and the modern Gröbner basis method due to Buchberger (see the survey (Buchberger 1985)). From a theoretical point of view, the complexity bound for the first method is doubly-exponential in n . The Gröbner basis algorithm for 0-dimensional ideals has complexity $n^3 \max\{d_i\}^{O(n^3)}$ (Caniglia et al 1988). Moreover, the initial reductions in the Gröbner basis algorithm are identical to the initial Gaussian row elimination steps on the Macaulay matrix. An S-polynomial construction in the Gröbner basis algorithm corresponds to several row reductions in Gaussian elimination. In one

variable this makes computation of Sylvester resultants by the Euclidean algorithm quadratic time vs. the cubic time algorithm for triangularizing the Sylvester matrix. However, this phenomenon seems difficult to generalize, at least in a straight-forward fashion, to multivariate resultants and the Gröbner basis algorithm. In fact, the main problem with performing Gaussian elimination on this usually sparse matrix is the fill-in to quadratic size. This is especially costly since this matrix has dimension exponential in n .

Our new resultant algorithm is based on two recent results in computational algebra. For one we make use of Wiedemann's (1986) fast method for computing the determinant of a matrix using a linear number of matrix times vector operations. In the case of Macaulay's matrix, the matrix times vector product can be shown to be equivalent to computing a multivariate polynomial product in which the product is a dense polynomial bounded in total degree. In order to compute this product in linear time in the number of terms in the answer, we make use of the new sparse interpolation algorithms (Ben-Or and Tiwari 1988), (Zippel 1990), and (Kaltofen and Lakshman 1988). In this particular setting, the term-structure of the answer polynomial is known and one only needs to perform the last step of the Ben-Or&Tiwari algorithm. We can show that both the pointwise evaluation and interpolation problems, which correspond to transposed Vandermonde systems, can be solved in the same asymptotic time regular Vandermonde systems are solvable.

We wish to point out that our algorithm is an exact method. There are numerical methods based on homotopical transformation of solution paths (see, e.g., (Drexler 1977), (Garcia and Zangwill 1979), (Li et al. 1988), and (Zulehner 1988)), and on Newton iteration (Renagar 1987a). These methods are, however, not universally applicable.

This paper first introduces some notation for

the Macaulay resultant matrices. Then we provide the fast total degree bounded multivariate polynomial product algorithm. Finally, we show how that result can be combined with Wiedemann's determinant algorithm to give our fast and space efficient resultant method.

2. The Multivariate Resultant

We now give a brief description of the multivariate resultant of a system of polynomial equations. The interested reader can consult (Macaulay 1916) and (Canny 1988c) for further details. Given n homogeneous forms f_1, \dots, f_n in the variables x_1, \dots, x_n , their resultant is defined as the ratio of the determinant of a certain matrix M (whose construction is described below) and the determinant of a particular submatrix Δ of M . The rows of M are indexed by the monomials in x_1, \dots, x_n of degree $d = 1 + \sum_{i=1}^n (d_i - 1)$, where d_i is the degree of the polynomial f_i . Therefore, M has $D = \binom{d+n-1}{n-1}$ rows.

A polynomial is said to be *reduced in the variables* $x_{i_1}, x_{i_2}, \dots, x_{i_k}$ for $1 \leq i_1, i_2, \dots, i_k \leq n$ if for all j , $1 \leq j \leq k$, its degree in $x_{i_j} < d_{i_j}$. A polynomial is said to be just *reduced* if it is reduced in any $n - 1$ of the n variables x_1, \dots, x_n .

Consider the homogeneous form

$$F = f_1 g_1 + f_2 g_2 + \dots + f_n g_n \quad (1)$$

where $\deg(g_i) = d - d_i$ and g_i is a generic polynomial in x_1, \dots, x_n (i.e., coefficients are not specialized) reduced in x_1, \dots, x_{i-1} . The columns of M are labelled by the monomials of g_i and the rows are labelled by monomials in x_1, \dots, x_n of degree d . The entries in the column labelled by a particular monomial $\vec{x}^{\vec{\alpha}} = x_1^{\alpha_1} \dots x_n^{\alpha_n}$ of g_i are the coefficients of f_i , the coefficient of a particular monomial $\vec{x}^{\vec{\beta}}$ in f_i is placed in the row labelled by the monomial $\vec{x}^{\vec{\alpha} + \vec{\beta}}$. There are exactly as many rows in M as columns. Notice that the way in which the matrix M is set up depends on the way the f_i are ordered. A different matrix is obtained with a different ordering of the polynomials.

The submatrix Δ is obtained by deleting the rows in M whose labels are reduced (in any $n - 1$ variables) and the columns containing the coefficients of $x_i^{d_i}$ in f_i in the deleted rows. Thus, Δ has $D - D'$ rows and columns where $D' = \sum_j \prod_{i \neq j} d_i$. The resultant is given by $R = \det(M) / \det(\Delta)$, provided $\det(\Delta) \neq 0$. Otherwise one chooses a different ordering of the polynomials, say f_2, \dots, f_n, f_1 . If for all such orderings the determinants of the corresponding Δ 's are zero, R is defined to be zero. The fundamental property of the resultant is that the f_i have common zeros if and only if $R = 0$.

The common zeros of n non-homogeneous polynomials f_1, \dots, f_n in n variables x_1, \dots, x_n can be recovered by homogenizing the f_i by the addition of a homogenizing variable x_{n+1} and introducing a new form $f_{n+1} = u_1 x_1 + u_2 x_2 + \dots + u_{n+1} x_{n+1}$ where the u_i are indeterminates. The resultant of these $n + 1$ forms is now a polynomial in the u_i , the u-resultant of f_1, \dots, f_n . Provided the homogeneous system has finitely many solution rays, this u-resultant factors into linear factors in u_1, \dots, u_{n+1} over the algebraic closure of the coefficient field, and the coefficients of the u_i in each factor correspond to the components in the solution ray of the homogenized system.

In the case of two homogeneous polynomials in two variables or two inhomogeneous polynomials in a single variable, the resultant reduces to the familiar Sylvester resultant. In the case of n linear forms, the resultant reduces to the determinant of the coefficient matrix. To illustrate these concepts, we shall give a small example.

Resultant Example (Lazard 1981): Given is an affine system in two variables augmented by a generic linear form:

$$\begin{aligned} f_1 &= x^2 + xy + 2x & + y - 1 &= 0, \\ f_2 &= x^2 & + 3x - y^2 + 2y - 1 &= 0, \\ f_i &= & ux & + vy + w = 0. \end{aligned} \quad (2)$$

Following is the matrix corresponding to the

$$M = \begin{matrix} & x & y & z & x & y & z & xy & xz & yz & z^2 \\ \begin{matrix} x^3 \\ x^2y \\ x^2z \\ xy^2 \\ xyz \\ xz^2 \\ y^3 \\ y^2z \\ yz^2 \\ z^3 \end{matrix} & \begin{pmatrix} 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 1 & 0 & u & 0 & 0 & 0 \\ 2 & 0 & 1 & 3 & 0 & 1 & 0 & u & 0 & 0 \\ 0 & 1 & 0 & -1 & 0 & 0 & v & 0 & 0 & 0 \\ 1 & 2 & 1 & 2 & 3 & 0 & w & v & u & 0 \\ -1 & 0 & 2 & -1 & 0 & 3 & 0 & w & 0 & u \\ 0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 2 & -1 & 0 & 0 & v & 0 \\ 0 & -1 & 1 & 0 & -1 & 2 & 0 & 0 & w & v \\ 0 & 0 & -1 & 0 & 0 & -1 & 0 & 0 & 0 & w \end{pmatrix} \end{matrix}$$

u-resultant of (2), with z the homogenizing variable. The divisor $\det(\Delta)$ is in this case a non-zero rational. The labels at the rows and columns correspond to its construction. Notice that

$$\det(M) = (u-v+w)(-3u+v+w)(v+w)(u-v)$$

corresponding to the affine solutions $(1, -1)$, $(-3, 1)$, $(0, 1)$, and one solution at infinity.

3. Fast Polynomial Multiplication

In this section, we describe an efficient algorithm for computing the product of two total degree bounded multivariate polynomials. More precisely, we prove the following:

Theorem 1. *Given two multivariate polynomials $f_1(x_1, \dots, x_n)$ and $f_2(x_1, \dots, x_n)$ over a field of characteristic zero and of total degrees δ_1 and δ_2 , respectively, their product $g(x_1, \dots, x_n)$ can be computed in $O(M(T) \log(T))$ arithmetic operations, where $M(T)$ denotes the numbers of arithmetic operations needed to multiply two univariate polynomials of degree T , and $T = \binom{\delta_1 + \delta_2 + n}{n}$, the total number of terms in the product g .*

Notice that a multidimensional FFT-based multiplication algorithm performs $O(M(\delta^n))$ arithmetic operations in this case, where $\delta = \delta_1 + \delta_2$. Also, the best univariate polynomial multiplication algorithm over an arbitrary field has $M(T) = O(T \log(T) \log(\log T))$ complexity (Schönhage 1977). Our algorithm works by

evaluation, pointwise scalar multiplication, and interpolation:

- f_1 and f_2 are evaluated at specially chosen integer points.
- The values of g at these points are computed by multiplying the corresponding values of f_1 and f_2 .
- g is interpolated from its values at the special points.

We now describe the algorithm in detail.

3a. Evaluating a Multivariate Polynomial at Special Points

Let $f(x_1, \dots, x_n) = a_1 m_1 + a_2 m_2 + \dots + a_t m_t$ where the m_i are distinct monomials and a_i are constant coefficients. We want to evaluate f at the points

$$(1, \dots, 1), (p_1, \dots, p_n), \dots, (p_1^{t-1}, \dots, p_n^{t-1})$$

where p_i denote distinct primes. Let

$$v_i = (m_i)_{x_j=p_j, 1 \leq j \leq n} \text{ and } b_i = f(p_1^i, \dots, p_n^i).$$

We want to compute the b_i for $0 \leq i \leq t-1$. Let

$$V = \begin{pmatrix} 1 & v_1 & v_1^2 & \dots & v_1^{t-1} \\ 1 & v_2 & v_2^2 & \dots & v_2^{t-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & v_t & v_t^2 & \dots & v_t^{t-1} \end{pmatrix}, \quad a = \begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_t \end{pmatrix}, \quad b = \begin{pmatrix} b_0 \\ b_1 \\ \vdots \\ b_{t-1} \end{pmatrix};$$

V is a Vandermonde matrix. Clearly, $V^{\text{Tr}}a = b$. Rewrite this as

$$(V^{\text{Tr}}V)V^{-1}a = b. \quad (3)$$

Let $V^{-1}a = a'$. Solving a $(t \times t)$ Vandermonde system is equivalent to interpolating a univariate polynomial of degree $t - 1$ from its values at t points. This can be performed in $O(M(t) \log(t))$ arithmetic operations (cf. (Aho et al 1974)). Formula (3) now becomes

$$(V^{\text{Tr}}V)a' = b$$

with $V^{\text{Tr}}V =$

$$\begin{pmatrix} n & \sum v_i & \sum v_i^2 & \cdots & \sum v_i^{t-1} \\ \sum v_i & \sum v_i^2 & \sum v_i^3 & \cdots & \sum v_i^t \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \sum v_i^{t-1} & \sum v_i^t & \sum v_i^{t+1} & \cdots & \sum v_i^{2(t-1)} \end{pmatrix},$$

which is a Hankel matrix. The product of a $(t \times t)$ Hankel matrix and a vector can be computed in $O(M(t))$ arithmetic operations. It can be read off from the coefficients of the product of polynomials

$$f = n + \sum v_i z + \sum v_i^2 z^2 + \dots + \sum v_i^{2(t-1)} z^{2(t-1)}$$

and

$$g = a'_1 z^{t-1} + a'_2 z^{t-2} + \dots + a'_t.$$

Therefore, $(V^{\text{Tr}}V)a'$ can be computed using at-most $O(M(t) \log(t))$ arithmetic operations if all the entries of $V^{\text{Tr}}V$ can be computed in $O(M(t) \log(t))$ arithmetic operations. But the entries of $V^{\text{Tr}}V$ are the first $2(t-1)$ power sums of the v_i . Now, Newton's identities for computing the power sums $s_j = \sum v_i^j$ from the elementary symmetric functions σ_j of v_i lead to the Toeplitz system of equations $Ws = w$ where

$$W = \begin{pmatrix} 1 & 0 & \cdots & \cdots & 0 \\ -\sigma_1 & 1 & \cdots & \cdots & 0 \\ \sigma_2 & -\sigma_1 & \cdots & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ \sigma_t & -\sigma_{t-1} & \cdots & \cdots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ 0 & \cdots & (-1)^t \sigma_t & \cdots & 1 \end{pmatrix}$$

$$s = \begin{pmatrix} s_1 \\ s_2 \\ s_3 \\ \vdots \\ s_{t+1} \\ \vdots \\ s_{2t} \end{pmatrix}, \quad w = \begin{pmatrix} \sigma_1 \\ -2\sigma_2 \\ 3\sigma_3 \\ \vdots \\ t\sigma_t \\ \vdots \\ 0 \end{pmatrix}.$$

A $(t \times t)$ Toeplitz system can be solved in $O(M(t) \log(t))$ arithmetic operations (Brent et al 1980). The elementary symmetric functions σ_i can be read off from the coefficients of the polynomial $\prod_{i=1}^t (z - v_i)$ which can be computed in $O(M(t) \log(t))$ arithmetic operations (cf. (Aho et al 1974)). This method can easily be generalized to evaluate $f(x_1, \dots, x_n)$ at points $(1, \dots, 1)$, (p_1, \dots, p_n) , \dots , (p_1^T, \dots, p_n^T) for $T \geq t$ in $O(M(T) \log(t))$ arithmetic operations. Another approach to the entire problem is to pre-multiply $V^{\text{tr}}a$ by a vector of indeterminates, and apply the Baur and Strassen (1983) all partial derivatives algorithm to the resulting single entry. However, for that solution it is not clear that linear space can be accomplished.

3b. Dense Interpolation

The final step of the polynomial multiplication algorithm is the interpolation step. We now describe a dense interpolation scheme. The algorithm needs as input the total degree δ of the polynomial to be interpolated and its values at special points. Let $g(x_1, \dots, x_n) = a_1 m_1 + a_2 m_2 + \dots + a_T m_T$ be the polynomial to be interpolated. We have $m_i = x_1^{e_{i,1}} \dots x_n^{e_{i,n}}$ such that $\sum_j e_{i,j} \leq \delta$; a_i and v_i are as before, and $T = \binom{\delta+n}{n}$ is the maximum possible number of terms in g .

Evaluate g at points $(1, \dots, 1)$, (p_1, \dots, p_n) , (p_1^2, \dots, p_n^2) , \dots , $(p_1^{T-1}, \dots, p_n^{T-1})$. Let the respective values be denoted by g_0, g_1, \dots, g_{T-1} . Clearly,

$$\begin{pmatrix} 1 & 1 & \cdots & 1 \\ v_1 & v_2 & \cdots & v_T \\ \vdots & \vdots & \ddots & \vdots \\ v_1^{T-1} & v_2^{T-1} & \cdots & v_T^{T-1} \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_T \end{pmatrix}$$

$$= \begin{pmatrix} g_0 \\ g_1 \\ \vdots \\ g_{T-1} \end{pmatrix}.$$

This is a transposed Vandermonde system of equations and the a_i can be computed in $O(M(t) \log(t))$ steps (Kaltofen and Lakshman 1988). It now follows that the multiplication algorithm performs $O(M(T) \log(T))$ arithmetic operations in all as both the evaluation step and the interpolation step can be completed in $O(M(T) \log(T))$ arithmetic operations. The pointwise multiplication step only needs $O(T)$ arithmetic operations. This proves Theorem 1.

4. Evaluating the Resultant

Let A be a $(k \times k)$ matrix and b be any k -dimensional vector over a sufficiently large field. By an Ab -step we mean computing the product Ab . Wiedemann (1986) gives a randomized Las Vegas algorithm to compute the determinant of A via Ab -steps. We have:

Theorem 2. *The determinant of a $(k \times k)$ matrix A over a field with $50k^2 \log(k)$ or more elements can be computed by a Las Vegas type randomized algorithm in $O(k)$ Ab -steps and $O(k^2 \log(k))$ arithmetic operations.*

We show next that the product of M , the Macaulay resultant matrix defined in §2, and a vector $b \in \mathbb{Q}^D$, \mathbb{Q} the rationals, can be read off from a polynomial sum of products. In fact, this follows from the way the matrix M is defined. The entries of the vector b are labelled by the monomials of g_i in (1) as are the columns of M . The product of a row labelled by the monomial m and the vector b is simply the coefficient of the monomial m in the polynomial sum of products

$$\hat{F} = f_1 \hat{g}_1 + f_2 \hat{g}_2 + \dots + f_n \hat{g}_n, \quad (4)$$

where \hat{g}_i represents g_i with the coefficients of the monomial m' specialized to the value of the component b which is labelled by the same monomial m' . This idea is best demonstrated

by considering the example in §2.

Resultant Example continued: In order to multiply M by

$$b = (b_1 \quad b_2 \quad \dots \quad b_9 \quad b_{10})^{\text{tr}},$$

we compute $f_1 \hat{g}_1 + f_2 \hat{g}_2 + f_l \hat{g}_l$, where $\hat{g}_1 = b_1 x + b_2 y + b_3$, $\hat{g}_2 = b_4 x + b_5 y + b_6$, and $\hat{g}_l = b_7 xy + b_8 x + b_9 y + b_{10}$. We have

$$f_1 g_1 + f_2 g_2 + f_l g_l = \dots + \langle M_{m,*}, b \rangle m + \dots$$

where $\langle M_{m,*}, b \rangle$ represents the dot product of the row of M labelled by the monomial m and the vector b .

The product of the sub-matrix Δ and a vector $b' \in \mathbb{Q}^{D-D'}$ can be obtained in a similar fashion by starting with the matrix M and padding b' to $b \in \mathbb{Q}^D$ with zeros in those components whose labels are the same as the labels of the columns of M deleted to obtain Δ . This observation and the use of theorems 1 and 2 lead to the following:

Theorem 3. *The resultant of n homogeneous polynomials over a field of characteristic zero in n variables can be computed correctly by a Las Vegas randomized algorithm using $O(nD(M(D) \log(D) + nD))$ arithmetic operations requiring to store at most $O(D)$ field elements.*

Proof. Using the polynomial multiplication algorithm described in the section 3, we can compute an Mb -step in $O(nD + M(D) \log(D))$ operations. Hence we can find $\det(M)$ and $\det(\Delta)$ in $O(D(M(D) \log(D) + nD))$ arithmetic operations if the values of all f_i in (4) at the points p_1^j, \dots, p_n^j for $0 \leq j \leq D - 1$ can be computed within that time. We show that it can be done by separating the linear, quadratic, and higher degree f_i . Clearly, the linear f_i can be evaluated in $O(nD)$ steps. For the quadratic ones, say there are l of them, the total number of terms is bounded by

$$l \binom{n+1}{n-1} \leq \binom{1+l+n-1}{n-1}$$

$$\leq \binom{d+n-1}{n-1} = D \quad \text{for all } n \geq 4, l \leq n.$$

For the f_i with $\deg(f_i) \geq 3$, for their total number of terms we have

$$\sum_{d_i \geq 3} \binom{d_i+n-1}{n-1} \leq \binom{n + \sum_{d_i \geq 3} (d_i - 1)}{n-1} \\ \leq \binom{d+n-1}{n-1} = D \quad \text{for } n \geq 3.$$

The first inequality follows from

$$\binom{r+k}{k} + \binom{s+k}{k} \leq \binom{r+s-1+k}{k}$$

for all $r, s \geq 3, k \geq 2$,

which in turn is established by induction on k . Since the total number of terms on all the polynomials is bounded by D , they can be evaluated in $O(M(D) \log(D))$ steps. Notice that one computes the values of the sum in (4) before performing a single sparse interpolation. \square

5. Conclusion

We have given a method that allows to compute resultants and u-resultants of polynomial systems in essentially linear space and quadratic time. We believe that our algorithm constitutes the first improvement over Gaussian elimination-based methods for computing these fundamental invariants. The resultant has many important properties for the geometry of the variety the system defines, see for example (Bajaj et al. 1988). One important property of the u-resultant is that its linear factors over the algebraic closure of the coefficient field determine the solutions in the non-singular case.

There are several problems that arise from the introduction of our new algorithm. One is that we cannot yet apply Canny’s generalized characteristic polynomial algorithm (Canny 1988b) to locate isolated points in case there are components of higher dimension in the variety. This is an important consideration for the affine case, since projectivization may introduce infinitely

many solutions at infinity. The reason we cannot apply Canny’s method is that we do not know how to compute the characteristic polynomial of the Macaulay matrices in time quadratic in the dimension of the Macaulay matrices. However, we can compute the minimal polynomial of the Macaulay matrices in this time using Wiedemann’s algorithm. Using this, we can compute the “generalized minimal polynomial” of a system of homogeneous equations (in the sense of (Canny 1988b)) in the same time it takes us to compute the u -resultant of the system of equations. We conjecture that the trailing coefficient of the generalized minimal polynomial has linear factors corresponding to the isolated zeros of the system just as the u -resultant does in the purely 0-dimensional case. If so, we can find all the isolated affine zeros of the system (but not their multiplicities), in essentially the same amount of time it takes to compute all the zeros of the purely 0-dimensional case.

Secondly, it might be possible to compute the resultant in time of essentially linear dependency on the dimension of the Macaulay matrix, as is the case for the Sylvester resultant (Schwartz 1980). And finally, it appears important to us to possibly develop a theory of subresultants, again generalizing the one for Sylvester resultants (Brown and Traub 1971).

Note added on Sep. 16, 1994: The definition of the resultant p. 123 is slightly flawed: R may be non-zero even when $\det(\Delta) = 0$ for all orderings of f_1, \dots, f_n . In such cases Theorem 3 is invalid.

Note added on Apr. 14, 2022: updated arithmetic complexity to $O(nD(M(D) \log(D) + nD))$ instead of $O(nD^2(M(D) \log(D) + nD))$ in Theorem 3.

Literature Cited

- Agnarsson, S., Kandri-Rody, A., Kapur, D., Narendran, P., and Saunders, B. D., “Complexity of testing whether a polynomial ideal is nontrivial,” *Proc. 1984 MACSYMA Users’ Conf.*, pp. 452–458 (1984).
- Aho, A., Hopcroft, J., and Ullman, J., *The Design and*

- Analysis of Algorithms*; Addison and Wesley, Reading, MA, 1974.
- Bajaj, C., Garrity, T., and Warren, J., "On the applications of multi-equational resultants," *Tech. Report CSD-TR-826*, Comput. Sci. Dept., Purdue University, November 1988.
- Baur, W. and Strassen, V., "The complexity of partial derivatives," *Theoretical Comp. Sci.* **22**, pp. 317–330 (1983).
- Ben-Or, M. and Tiwari, P., "A deterministic algorithm for sparse multivariate polynomial interpolation," *Proc. 20th Annual ACM Symp. Theory Comp.*, pp. 301–309 (1988).
- Brent, R. P., Gustavson, F. G., and Yun, D. Y. Y., "Fast solution of Toeplitz systems of equations and computation of Padé approximants," *J. Algorithms* **1**, pp. 259–295 (1980).
- Brown, W. S. and Traub, J. F., "On Euclid's algorithm and the theory of subresultants," *J. ACM* **18**, pp. 505–514 (1971).
- Buchberger, B., "Gröbner bases: An algorithmic method in polynomial ideal theory," in *Recent Trends in Multidimensional Systems Theory*, edited by N. K. Bose; D. Reidel Publ. Comp., Dordrecht (Holland), pp. 184–232, 1985.
- Caniglia, L., Galligo, A., and Heintz, J., "Some new effectivity bounds in Computational Geometry," *Proc. AAECC-6, Springer Lect. Notes in Comp. Sci.*, to appear (1988).
- Canny, J., "Some algebraic and geometric computations in P-space," *Proc. 20th Annual ACM Symp. Theory Comp.*, pp. 460–467 (1988a).
- Canny, J., "Generalized characteristic polynomials," *Proc. ISSAC '88, Springer Lect. Notes Comput. Sci.*, to appear (1988b).
- Canny, J., *The Complexity of Robot Motion Planning*; ACM Ph.D. Thesis Award 1987; MIT Press, Cambridge, MA, 1988c.
- Drexler, F. J., "Eine Methode zur Berechnung sämtlicher Lösungen von Polynomgleichungssystemen," *Numer. Math.* **29**, pp. 45–58 (1977). (In German.)
- Garcia, C. B. and Zangwill, W. I., "Finding all solutions to polynomial systems and other systems of equations," *Math. Program.* **16**, pp. 159–176 (1979).
- Grigoryev, D. Yu. and Chistov, A. L., "Fast decomposition of polynomials into irreducible ones and the solution of systems of algebraic equations," *Soviet Math. Dokl. (AMS Translation)* **29**, pp. 380–383 (1984).
- Kaltofen, E. and Lakshman, Yagati, "Improved sparse multivariate polynomial interpolation algorithms," *Proc. ISSAC '88, Springer Lect. Notes Comput. Sci.*, to appear (1988).
- Kaltofen, E. and Trager, B., "Computing with polynomials given by black boxes for their evaluations: Greatest common divisors, factorization, separation of numerators and denominators," *Proc. 29th Annual Symp. Foundations of Comp. Sci.*, pp. 296–305 (1988).
- Knuth, D. E., *The Art of Programming, vol. 2, Semi-Numerical Algorithms, ed. 2*; Addison Wesley, Reading, MA, 1981.
- Lazard, D., "Resolution des systemes d'equation algebriques," *Theoretical Comput. Sci.* **15**, pp. 77–110 (1981). (In French).
- Li, T.-Y., Sauer, T., and Yorke, J. A., "Numerically determining solutions of systems of polynomial equations," *AMS Bulletin* **18/2**, pp. 173–177 (1988).
- Macaulay, F. S., "Algebraic theory of modular systems," *Cambridge Tracts* **19**, Cambridge, 1916.
- Renagar, J., "On the efficiency of Newton's method for approximating all zeros of a system of polynomials," *Math. Op. Res.* **1/12**, pp. 121–148 (1987a).
- Renagar, J., "On the worst case arithmetic complexity of approximating zeros of systems of polynomials," *Tech. Rep. 748*, School of OR, Cornell Univ., 1987b.
- Schwartz, J. T., "Fast probabilistic algorithms for verification of polynomial identities," *J. ACM* **27**, pp. 701–717 (1980).
- Schönhage, A., "Schnelle Multiplikation von Polynomen über Körpern der Charakteristik 2," *Acta Inf.* **7**, pp. 395–398 (1977). (In German).
- van der Waerden, B. L., *Modern Algebra*; F. Ungar Publ. Co., New York, 1953.
- Wiedemann, D., "Solving sparse linear equations over finite fields," *IEEE Trans. Inf. Theory* **IT-32**, pp. 54–62 (1986).
- Zippel, R. E., "Interpolating polynomials from their values," *J. Symbolic Comput.*, to appear (1990).
- Zulehner, W., "A simple homotopy method for determining all isolated solutions to polynomial systems," *Math. Comp.* **50/181**, pp. 167–177 (1988).