

Distinct degree factorization (Gauss, Disqu. Arith., §370-1)

**Fact:**  $x^{q^i} - x = \prod_{\substack{f \text{ irreducible over } \mathbb{F}_q \\ \deg(f) \text{ divides } i}} f(x)$

Write  $f^{[i]} = \prod_{\substack{g \text{ irred. factor of } f \\ \deg(g) = i}} g$

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 $f^* \leftarrow f; /* \text{squarefree} */$ 
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for  $i \leftarrow 1, \dots, \lfloor n/2 \rfloor$  do
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   $\{ f^{[i]}(x) \leftarrow \text{GCD}(-x + x^{q^i} \bmod f^*(x), f^*(x));$ 
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     $f^* \leftarrow f^* / f^{[i]};$ 
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  }
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 $f^{[\deg(f^*)]} \leftarrow f^*; /* \text{factor with degree} > \lfloor n/2 \rfloor */$ 
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Suppose  $f(x) \in \mathbb{F}_q[x]$  has degree  $n$ ,  $g(x)$ ,  $h(x)$  are modular residues.  
 All counts are in terms of arithmetic operations in  $\mathbb{F}_q$ .

Problem	Complexity	Inventors of algorithm
1. $g \cdot h \pmod{f}$	$O(n(\log n) \log \log n)$	Schönhage & Strassen 1969 Schönhage 1977 ( $p = 2$ )
2. $\text{GCD}(f, g)$	$O(n(\log n)^2 \log \log n)$	Knuth 1971/Moenck 1973
3. $g^q \pmod{f}$	$O((\log q)n^{1+o(1)})$	using Pingala 200 b.c.
4. $g(h(x)) \pmod{f(x)}$	$O(n^{1.67})$	using Brent & Kung 1978, Huang & Pan 1997
5. $x^{q^n} \pmod{f(x)}$ given $x^q \pmod{f(x)}$	$O(n^{1.67})$	von zur Gathen & Shoup 1991

6.  $g(h_1), \dots, g(h_n) \pmod{f}$   $O(n^{2+o(1)})$  using Moenck & Borodin 1972

7.  $x^{q^2}, \dots, x^{q^n} \pmod{f(x)}$   $O(n^{2+o(1)})$  von zur Gathen & Shoup 1991  
given  $x^q \pmod{f(x)}$

## Fast computation of $x^{q^n} \bmod f(x)$

$$x^{q^i} \equiv \underbrace{(x^{q^{i-1}})^q}_{h_{i-1}(x)}$$

$$\equiv h_{i-1}\left(\underbrace{x^q}_{h_1(x)}\right) \quad \iff \quad (a + b)^q = a^q + b^q \text{ in } \mathbb{F}_q$$

$$\equiv h_{i-1}(h_1(x))$$

$$\equiv h_{\lfloor i/2 \rfloor}(h_{\lfloor i/2 \rfloor}(h_{i \bmod 2}(x))) \pmod{f(x)}$$

(modular polynomial composition)

## Fast modular polynomial composition

Compute  $g(h(x)) \pmod{f(x)}$  with  $O(n^{1.69})$  field operations.

$$g(x) = \sum_{j=0}^{\lceil \sqrt{n} \rceil} \left( \sum_{l=0}^{\lfloor \sqrt{n} \rfloor - 1} c_{j,l} x^l \right) \cdot x^{\lfloor \sqrt{n} \rfloor \cdot j}$$

$$[c_{j,l}] \cdot \begin{bmatrix} \overrightarrow{h^0 \bmod f} \\ \overrightarrow{h^1 \bmod f} \\ \overrightarrow{h^2 \bmod f} \\ \vdots \\ \overrightarrow{h^{\lfloor \sqrt{n} \rfloor - 1} \bmod f} \end{bmatrix}$$

$$\lfloor \sqrt{n} \rfloor \times \lfloor \sqrt{n} \rfloor \quad \lfloor \sqrt{n} \rfloor \times n \quad \Rightarrow O(\sqrt{n}(\sqrt{n})^{2.38})$$

Equal degree factorization (Cantor & Zassenhaus 1981, Ben-Or 1981)

**Fact:**  $x^{q^i} - x = \prod_{a \in \mathbb{F}_q} \left( a + x + x^q + x^{q^2} + \cdots + x^{q^{i-1}} \right)$   
(trace of Frobenius autom.  $\mathbb{F}_{q^i} \rightarrow \mathbb{F}_q$ )

*/\* f has irreducible distinct factors of degree d, q = p<sup>k</sup> \*/*

**Step 1** Pick a random  $\alpha \bmod f$ ;

$$\beta \equiv \alpha + \alpha^p + \alpha^{p^2} + \cdots + \alpha^{p^{kd-1}} \bmod f; \text{ /* } \mathbb{F}_{q^d} \rightarrow \mathbb{F}_p \text{ */}$$

**Step 2** If  $p > 2$  then  $\gamma \equiv \beta^{(p-1)/2} \bmod f$  else  $\gamma = \beta$ ;

**Step 3** Recursively factor  $g_1 = \text{GCD}(\gamma, f)$ ,  $g_2 = \text{GCD}(1 + \gamma, f)$ ,  
and  $f/(g_1 g_2)$ ;

Computing  $x^q \bmod f(x)$  with  $f(x) \in \mathbb{F}_q[x]$  where  $q = 2^n$  by squaring  
(Pingala's method)

Suppose  $\mathbb{F}_q = \mathbb{F}_2[z]/(\varphi(z))$ , i.e.,  $f \in \mathbb{F}_2[x, z]$  and  $\varphi \in \mathbb{F}_2[z]$ :

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 $h_0(x) \leftarrow x;$ 
for  $i \leftarrow 1, \dots, n$  do
    {  $h_i \leftarrow h_{i-1}^2 \bmod (f, \varphi); /* h_i \equiv x^{2^i} \pmod{(f, \varphi)} */$  }

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Fixed-precision cost:  $n \times \underbrace{n^{1+o(1)}}_{\text{polyn. arith. over } \mathbb{F}_q} \times \underbrace{n^{1+o(1)}}_{\text{arith. in } \mathbb{F}_q} = n^{3+o(1)}$

Computing  $x^q \bmod f(x)$  with  $f(x) \in \mathbb{F}_q[x]$  where  $q = 2^n$  even faster

Suppose we already have

$$x^{2^i} \bmod f(x) = h_i(x) = c_0(z) + c_1(z)x + \cdots + c_{n-1}(z)x^{n-1} \in \mathbb{F}_q[x].$$

and

$$z^{2^i} \bmod \varphi(z) = \psi(z) \in \mathbb{F}_2[z].$$

Then

$$\begin{aligned} x^{2^{2i}} &\equiv (c_0(z) + c_1(z)x + \cdots + c_{n-1}(z)x^{n-1})^{2^i} \pmod{(f(x), \varphi(z))} \\ &\equiv (c_0(z)^{2^i} + c_1(z)^{2^i}x^{2^i} + \cdots + c_{n-1}(z)^{2^i}(x^{n-1})^{2^i}) \\ &\equiv (c_0(z^{2^i}) + c_1(z^{2^i})x^{2^i} + \cdots + c_{n-1}(z^{2^i})(x^{2^i})^{n-1}) \\ &\equiv c_0(\psi) + c_1(\psi)h_i(x) + \cdots + c_{n-1}(\psi)h_i(x)^{n-1} \end{aligned}$$

which can be computed with  $n$  modular polynomial compositions over  $\mathbb{F}_2$ —binary cost:  $O(n \cdot n^{1.67})$ ,  
and then one over  $\mathbb{F}_q$ —binary cost:  $O(n^{1.67} \cdot n^{1+o(1)})$ .



## Computing the trace of the Frobenius automorphism

We want

$$v(x) + v(x)^p + v(x)^{p^2} + \cdots + v(x)^{p^{kd-1}} \pmod{f(x)}$$

and we have

$$h_{2^j}(x) \equiv x^{p^{2^j}} \pmod{f(x)} \quad j = 1, 2, \dots, \lceil \log(kd) \rceil$$

Trick:

$$\underbrace{(v(x)^p + v(x)^{p^2} + \cdots + v(x)^{p^i})}_{w_i(x)}^{p^i} \equiv \begin{cases} w_i(x)^{p^i} \equiv \tilde{w}_i(h_i) \\ v(x)^{p^{i+1}} + \cdots + v(x)^{p^{2i}} \equiv w_{2i}(x) - w_i(x) \end{cases}$$

hence one finds the entire trace of Frobenius in  $O(n^{2.67})$  fixed-precision operations (given  $h_1$ ).

## Irreducibility testing is even faster

**Theorem** *Let  $\mathbb{F}_q = \mathbb{F}_2[z]/(\varphi(z))$  with  $\deg(\varphi) = n$ . Then one can test if a polynomial of degree  $n$  over  $\mathbb{F}_q$  is irreducible, or if all its irreducible factors are of equal degree and if so determine their common degree, with*

$$O(n^{2.67})$$

*fixed precision deterministic operations.*