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# Information Invariants for Distributed Manipulation<sup>1</sup>

## Abstract

*In Donald (1995), we described a manipulation task for cooperating mobile robots that can push large, heavy objects. There, we asked whether explicit local and global communication between the agents can be removed from a family of pushing protocols. In this article, we answer in the affirmative. We do so by using the general methods of Donald (1995), analyzing information invariants.*

*We discuss several measures for the information complexity of the task: (1) How much internal state should the robot retain? (2) How many cooperating agents are required, and how much communication between them is necessary? (3) How can the robot change (side effect) the environment to record state or sensory information for performing a task? (4) How much information is provided by sensors? and (5) How much computation is required by the robot? To answer these questions, we develop a notion of information invariants. We develop a technique whereby one sensor can be constructed from others by adding, deleting, and reallocating 1) through 5), among collaborating autonomous agents. We add a resource to measures 1) through 5) and ask: 6) How much information is provided by the task mechanics? By answering this question, we hope to develop information invariants that explicitly trade-off resource 6) with resources 1) through 5). The protocols*

*we describe here have been implemented in several different forms, and we report on experiments to measure and analyze information invariants using a pair of cooperating mobile robots for manipulation experiments in our laboratory.*

## 1. Introduction

Imagine taking a broad view of robots and robotics. Let us say a *robot* is a computer that can apply forces in the physical world. We insist these forces be 1) external and 2) programmable (using the computer). 1) rules out clocks (which apply only internal forces), and 2) excludes videocassette recorders, which can be programmed electronically but not mechanically.

Typically, robots also use sensory input (vision, sonar, force sensing, kinesthetic position sensing) to guide their control strategies and correct for errors in modeling and execution. *Distributed robotics* involves many robots cooperating to perform a task. *Manipulation* tasks require changing the physical world—for example, moving furniture or orienting parts. In *robotic manipulation*, a robot applies external forces to perform a manipulation task. When at least two robots simultaneously apply forces to a coupled dynamical system (e.g., they push the same couch), we call it *parallel manipulation*. When a parallel manipulation task is performed by a distributed system, we call it *distributed manipulation*. Hence, distributed manipulation protocols are both kinematically (i.e., spatially) and computationally distributed.

In this article, we develop and analyze synchronous and asynchronous manipulation protocols for a small team of cooperating mobile robots that can push large boxes. The boxes are typically several robot diameters wide, and one to two times the mass of a single robot, although the robots have also pushed couches that are heavier (perhaps two to four times the mass, and  $8 \times 3$  robot diameters in size). We build on the ground-breaking work of Mason (1986); Erdmann and Mason (1988) and others on planar sensorless manipulation. Our work differs from previous work on pushing in several ways. First, the robots and

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boxes are on a similar dynamic and spatial scale. Second, a single robot is not always strong enough to move the box by itself (specifically, its "strength" depends on the effective lever arm). Third, we do not assume the robots are globally coordinated and controlled. (More precisely, we first develop protocols based on the assumption that local communication is possible, and then we remove that communication via a series of source-to-source transformations on the protocols.) Fourth, our protocols assume neither that the robot has a geometric model of the box, nor that the first moment of the friction distribution is known. Instead, the robot combines sensorimotor experiments and manipulation strategies to infer the necessary information; the experiments have the flavor of Jennings and Rus (1993). Finally, the literature on pushing generally regards the "pushers" as moving kinematic constraints. In our case, because there are at least two robot pushers and the robots are less massive than the box, the robots are really "force applicers" in a system with significant friction. In this sense, our task is in some ways closer in flavor to *dynamic manipulation* (Mason and Lynch 1989), even though the box dynamics are essentially quasistatic.

Of course, our protocols rely on a number of assumptions to work. We develop a framework for analysis and synthesis, based on *information invariants* (Donald 1995), to reveal these assumptions and expose the information structure of the task. We believe our theory has implications for the parallelization of manipulation tasks on spatially distributed teams of cooperating robots. To develop a parallel manipulation strategy, first we start with a perfectly synchronous protocol with global coordination and control. Next, in distributing it among cooperating, spatially separated agents, we relax it to an MPMD (multiple program, multiple data) protocol with local communication and partial synchrony. Finally, we remove all explicit communication. The final protocols are asynchronous, and essentially "uniform," or SPMD (single program, multiple data): the same program runs on each robot. Ultimately, the robots must be viewed as communicating implicitly through the task dynamics, and this implicit communication confers a certain degree of synchrony on our protocols. Because it is both difficult and important to analyze the information content of this implicit communication and synchronization, we are wielding a fairly heavy hammer, namely, the theory of information invariants.

### 1.1. The Big Picture

Our goal is to investigate the information requirements for robot tasks. This article uses the theoretical framework introduced by Donald (1993, 1995). A central theme to previous work (see the survey article [Donald 1992]

for a detailed review) has been to determine what information is required to solve a task, and to direct a robot's actions to acquire that information to solve it. Key questions concern:

1. What information is needed by a particular robot to accomplish a particular task?
2. How may the robot acquire such information?
3. What properties of the world have a great effect on the fragility of a robot plan/program?
4. What are the capabilities of a given robot in a specific environment or class of environments?

These questions can be difficult. Structured environments, such as those found around industrial robots, contribute toward simplifying the robot's task because a great amount of information is encoded, often *implicitly*, into both the environment and the robot's control program. These encodings (and their effects) are difficult to measure. We wish to quantify the information encoded in the assumption that, say, the mechanics are quasistatic, or the environment is not dynamic. In addition to determining how much "information" is encoded in the assumptions, we may ask the converse: How much "information" must the control system or planner compute? Successful manipulation strategies often exploit properties of the external physical world (e.g., compliance) to reduce uncertainty and hence gain information. Often, such strategies exploit mechanical computation, in which the task's mechanics circumscribes the possible outcomes of an action by dint of physical laws. Executing such strategies may require little or no computation; in contrast, planning or simulating these strategies may be computationally expensive. Since during execution we may witness very little "computation" in the sense of "algorithm," traditional techniques from computer science have been difficult to apply in obtaining meaningful upper and lower bounds on the true task complexity. We hope that a theory of information invariants can be used to measure the sensitivity of plans to particular assumptions about the world, and to minimize those assumptions where possible. We would like to develop a notion of information invariants for characterizing sensors, tasks, and the complexity of robotics operations. We may view information invariants as a mapping from tasks or sensors to some measure of information. The idea is that this measure characterizes the intrinsic information required to perform the task—if you will, a measure of complexity. For example, in computational geometry, a rather successful measure has been developed for characterizing input sizes and upper and lower bounds for geometric algorithms. Unfortunately, this measure seems less relevant in robotics, although it remains a useful tool. Its apparent diminished relevance in embedded systems

reflects a change in the scientific culture. This change represents a paradigm shift from *off-line* to *on-line* algorithms. Increasingly, robotics researchers doubt that we may reasonably assume a strictly off-line paradigm. For example, in the off-line model, we might assume that the robot, on booting, reads a geometric model of the world from a disk and proceeds to plan. As an alternative, we would also like to consider on-line paradigms where the robot investigates the world and incrementally builds data structures that in some sense represent the external environment. Typically, on-line agents are not assumed to have an a priori world model when the task begins. Instead, as time evolves, the task effectively forces the agent to move, sense, and (perhaps) build data structures to represent the world. From the on-line viewpoint, off-line questions such as "what is the complexity of plan construction for a known environment, given an a priori world model?" often appear secondary, if not artificial. In this article, we describe two working robots, TOMMY and LILY, which may be viewed as on-line robots (Figure 1). We discuss their capabilities, and how they are programmed. These examples and the papers (Jennings and Rus 1993; Donald 1993, 1995; Donald, Jennings, and Rus 1993b; Rus, Donald, and Jennings 1995) link our work to the recent but intense interest in on-line paradigms for situated autonomous agents. In particular, in these papers, we discuss what kind of data structures robots can build to represent the environment. We also discuss the *externalization* of state, and the *distribution* of state through a system of spatially separated agents.

We believe it is profitable to explore on-line paradigms for autonomous agents and sensorimotor systems. However, the framework remains to be extended in certain crucial directions. In particular, sensing has never been carefully considered or modeled in the on-line paradigm. The chief lacuna in the armamentarium of devices for analyzing on-line strategies is a principled theory of sensoricomputational systems. We attempted to fill this gap in papers by Donald (1993, 1995), where we provided a theory of *situated sensor systems*. We argue this framework is natural for answering certain kinds of important questions about sensors. Our theory is intended to reveal a system's information invariants. When a measure of intrinsic information invariants can be found, then it leads rather naturally to a measure of hardness or difficulty. If these notions are truly intrinsic, then these invariants could serve as "lower bounds" in robotics, in the same way that lower bounds have been developed in computer science.

In our quest for an intrinsic measure of the information requirements of a task, we are inspired by Erdmann's monograph on sensor design (Erdmann 1993a), and the *information invariants* that Erdmann introduced to the robotics community in 1989 (Erdmann 1989). We also

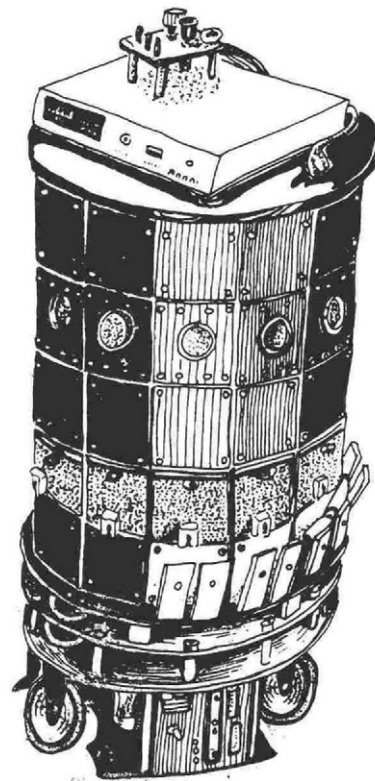


Fig. 1. The Cornell mobile robot TOMMY. Note the ring of sonars, the IR modems, and the bump sensors mounted top to bottom on the cylindrical enclosure). LILY is very similar.

observe that rigorous examples of information invariants can be found in the theoretical literature from as far back as 1978 (see, for example, Blum and Kozen 1978; Kozen 1979). We note that many interesting lower bounds (in the complexity theoretic sense) have been obtained for motion-planning questions (see, e.g., Reif 1979; Hopcroft, Schwartz, and Sharir 1984; Natarajan 1988; Canny and Reif 1987). For information on the upper bounds, see Erdmann (1986); Donald (1990); Canny (1989); Briggs (1987). Rosenschein has developed a theory of synthetic automata which explore the world and build data structures that are "faithful" to it (Rosenschein 1989). His theory is set in a logical framework where sensors are logical predicates. Perhaps our theory could be viewed as a geometric attack on a similar problem. This work was motivated by the theoretical attack on perceptual equivalence begun by Donald and Jennings (1992) and by the experimental studies of Jennings and Rus (1993). Horswill (1992) has developed a semantics for sensory systems that models and quantifies the kinds of assumptions a sensoricomputational program makes about its environment. He also gives source-to-source transformations on sensoricomputational "circuits." Donald (1995)

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discusses the semantics of sensor systems. This formalism is used to explore some properties of what we call *situated sensor systems*. Donald (1995) describes a way to transform sensoricomputational systems. When one can be transformed into another, we say the latter can be “reduced” to the former, and we call the transformation a “reduction.” We also derive algebraic algorithms for reducing one sensor to another. This machinery is only necessary if one wishes to automate the transformation process; it is quite easy to calculate reductions “by hand,” using pencil and paper.

In addition to the work discussed here in Section 1, for a detailed bibliographic essay on previous research on the geometric theory of planning under uncertainty, see Donald (1992) or Donald (1989).

The goals outlined here are ambitious, and we have only taken a small step toward them. The questions above provide the setting for our inquiry, but we are far from answering them completely. We hope that information invariants can serve as a framework in which to measure the capabilities of robot systems, to quantify their power, and to reduce their fragility with respect to assumptions that are engineered into the control system or the environment. We believe that the equivalences that can be derived between communication, internal state, external state, computation, and sensors can prove valuable in determining what information is required to solve a task, and how to direct a robot’s actions to acquire that information to solve it. There are several things we have learned. We can determine a lot about the information structure of a task by parallelizing it and then attempting to replace explicit communication with communication “through the world” (through the task dynamics). Communication through the world takes place when a robot changes the environment and that change can be sensed by another robot. In this article, we give two different protocols (strategies) for a two-robot pushing task: one protocol uses explicit communication, and the other makes use of an encoding in the task mechanics of the same information. Our approach of quantifying the information complexity in the task mechanics involves viewing the world dynamics as a set of mechanically implemented “registers” and “data paths.” This permits certain kinds of de facto communication between spatially separated robots. This equivalence of task mechanics and communication is operational in flavor, and we are still exploring its generality.

We believe that, by spatially distributing resources among collaborating agents, the information characteristics of a robot task are made explicit. That is, by asking, How can this task be performed by a team of robots? one may highlight the information structure. In robotics, the evidence for this is, so far, largely anecdotal. In computer science, one often learns much about the structure of an

algorithmic problem by parallelizing it; we argue that a similar methodology is useful in robotics.

It is very difficult to analyze the interaction of sensing, computation, communication (1–5) and mechanics (6) (see Table 1) in distributed manipulation tasks. The analyses of Donald (1995) focus on (1–5), and each analysis is “parameterized” by the task. This article represents an attempt to integrate a measure of the “information content of the task mechanics” (6) into the theory. Nevertheless, the theory is still biased toward sensing, and it remains to develop a framework that treats action and sensing on an equal footing.

This article draws extensively on the material reported in the monograph by Donald (1995), and announced in an abbreviated, preliminary version in Donald (1993). We reported on our ideas on coordinated manipulation strategies in a preliminary form in Donald, Jennings, and Rus (1993a, 1993b).

### 1.1.1. Research Agenda

Robot builders make claims about robot performance and resource consumption. In general, it is hard to verify these claims and compare the systems. We really think the key issue is that two robot programs (or sensor systems) for similar (or even identical) tasks may look very different. We discuss why it is hard to compare the “power” of such systems. Our examples are distinguished in that they permit relatively crisp analytical comparisons: they represent the kinds of theorems about information trade-offs that we believe can be proved for sensorimotor systems. The analyses in Section 2 reveal trade-offs in terms of resource consumption. We then ask, Is there a general theory quantifying the power gained in such trade-offs? In Section 3, we present a theory that represents a systematic attempt to make such comparisons based on geometric and physical reasoning. In Donald (1995), we operationalize our analysis by making it computational; we give effective (albeit theoretical) procedures for computing our comparisons. See Section 7 for a summary.

We wish to rigorously compare embedded sensoricomputational systems. To do so, we define a *reduction*  $\leq_1$  that attempts to quantify when we can efficiently build one sensor out of another (that is, build one sensor using the components of another).<sup>2</sup> Hence, we write  $A \leq_1 B$  when we can build  $A$  out of  $B$  without “adding too much stuff” (analogous to “without adding much information complexity”). Our measure of information complexity is *relativized*, both to the information complexity of the sensoricomputational components of  $B$ , and to the bandwidth of  $A$ . This relativization circumvents some tricky

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2. In Donald (1993),  $\leq_1$  is also called  $<_s$ .

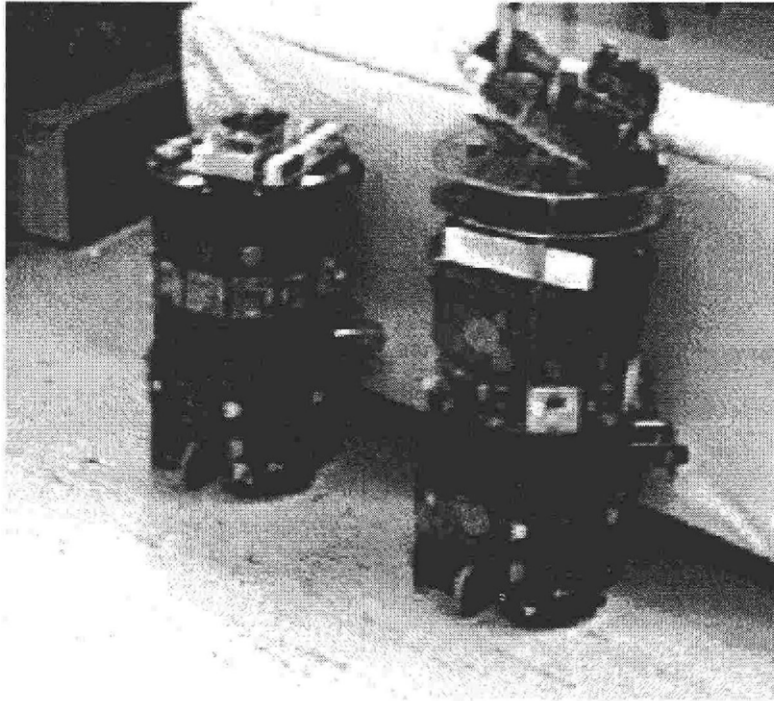
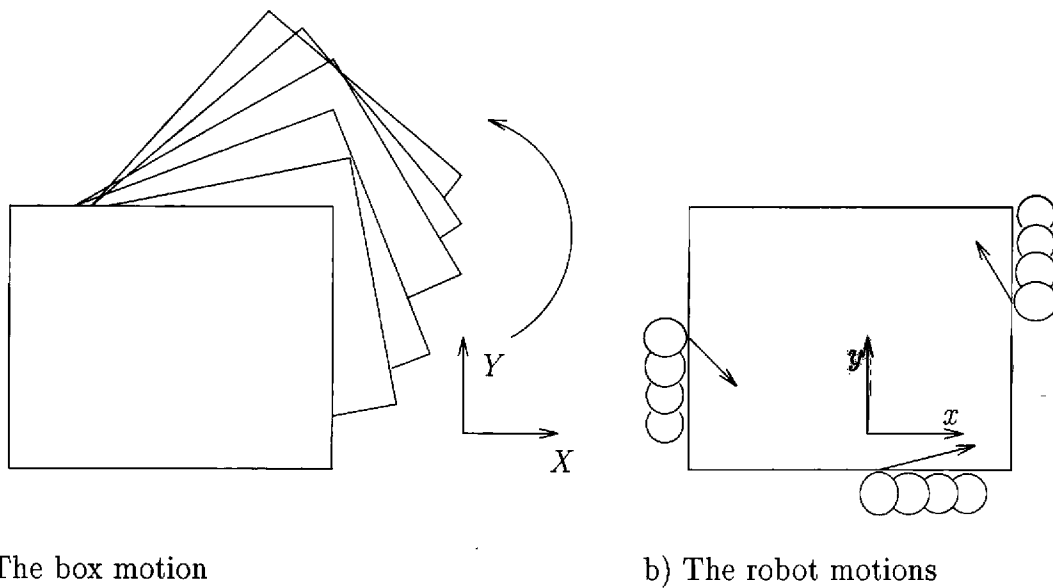


Fig. 2. TOMMY and LILY pushing a couch in a straight line, using an asynchronous SPMD protocol requiring no explicit communication.



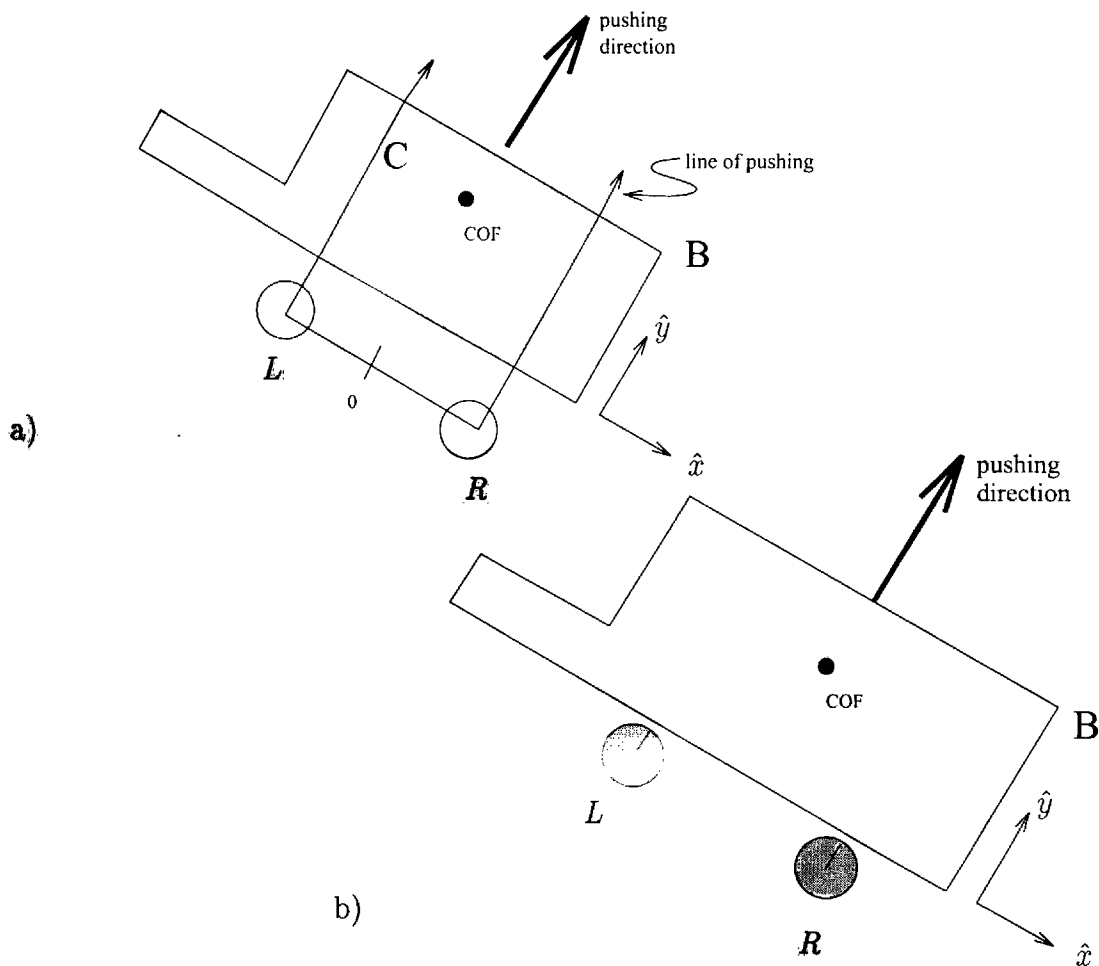
(a) The box motion

b) The robot motions

Fig. 3. The box is being rotated by three cooperating autonomous agents. A, the motion of the box viewed in world coordinates. B, the relative motion of the pushing robots, viewed in a system of coordinates fixed on the box. The arrows illustrate the direction of the applied forces.

**Table 1. Questions about the Resource Consumption of Robot Protocols**

	Resource
1	How much internal state should the robot retain?
2	How many cooperating agents are required, and how much communication between them is necessary?
3	How can the robot change (side effect) the environment to record state or sensory information to perform a task?
4	How much information is provided by the sensors?
5	How much computation is required by the robot?
6	How much information is provided by the task mechanics?



*Fig. 4. A, the "two-finger" pushing task vs. B, the two-robot pushing task. The goal is to push the block B in a straight line.*

problems in measuring sensor complexity. In this sense, our components are analogous to *oracles* in the theory of computation. Hence, we write  $A \leq_1 B$  if we can build a sensorimotor system that simulates  $A$ , using the components of  $B$ , plus a little rewiring.  $A$  and  $B$  are modeled as *circuits*, with wires (data paths) connecting their internal components. However, our sensoricomputational systems differ from computation-theoretic (CT) “circuits,” in that their spatial configuration, i.e., the spatial location of each component, is as important as their connectivity.

We develop some formal concepts to facilitate the analysis. *Permutation* models the permissible ways to reallocate and reuse resources in building another sensor. Intuitively, it captures the notion of repositioning resources such as the active and passive components of sensor systems (e.g., infrared emitters and detectors). *Geometric codesignation constraints* further restrict the range of admissible permutations. We do not allow arbitrary relocation; instead, we can constrain resources to be installed at the same location, such as on a robot, or at a goal. *Output communication* formalizes our notion of “a little bit of rewiring.” When resources are permuted, we often find they must be reconnected using “wires,” or data paths. If we separate previously colocated resources, we will often need to add a communication mechanism to connect the now spatially separate components. Like CT reductions,  $A \leq_1 B$  defines an “efficient” transformation on sensors that takes  $B$  to  $A$ . However, we can give a generic algorithm for synthesizing our reductions (whereas no such algorithm can exist for CT circuits)<sup>3</sup>. Whether such reductions are widely useful or whether there exist better reductions is open; however we try to demonstrate the potential usefulness both through examples and through general claims on algorithmic tractability. We also give a hierarchy of reductions, ordered on power, so that the strength of our transformations can be quantified.

Our ideas have the following applications:

1. *Comparison.* Given two sensoricomputational systems  $A$  and  $B$  we can ask, Which is more powerful (in the sense of  $A \leq_1 B$ , above)?
2. *Transformation.* We can also ask, Can  $B$  be transformed into  $A$ ?
3. *Design.* Suppose we are given a specification for  $A$ , and a “bag of parts” for  $B$ . The bag of parts consists of boxes and wires. Each box is a sensoricomputational component (black box) that computes a function of its spatial location or pose, as well as its inputs. The “wires” have different bandwidths,

and they can hook the boxes together. Then, our algorithms decide, Can we embed the components of  $B$  so as to satisfy the specification of  $A$ ? The algorithms also give the embedding (that is, how the boxes should be placed in the world, and how they should be wired together). Hence, we can ask, Can the specification of  $A$  be implemented using the bag of parts  $B$ ?

4. *Universal reduction.* Consider application 3, above. Suppose that in addition to the specification for  $A$ , we are given an encoding of  $A$  as a bag of parts, and an embedding to implement that specification. Suppose further that  $A \leq_1 B$ . Since this reduction is relativized both to  $A$  and to  $B$ , it measures the power of the components of  $A$  relative to the components in  $B$ . By universally quantifying over the configuration of  $A$ , we can ask, Can the components of  $B$  always do the job of the components of  $A$ ? More specifically, let  $\alpha$  be a configuration of sensorimotor system  $A$ . Thus  $\alpha$  encodes the spatial embedding of  $A$  as well as its wiring connectivity. Similarly, let  $\beta$  be a configuration of system  $B$ . Let  $A(\alpha)$  and  $B(\beta)$  denote systems  $A$  and  $B$  “installed” at these configurations. The gist of universal reduction is that we can decide whether or not  $(\forall \alpha, \exists \beta) : A(\alpha) \leq_1 B(\beta)$ .

## 1.2. Outline

We discuss the resources (1)–(6) (see Table 1) for an experiment with communicating robots. We consider the task of coordinated manipulation of large objects (particularly, manipulation of a large box or couch, using a pair of communicating mobile robots). We foreground the task of pushing an object, using two communicating robots who need to infer the position of the first moment of the friction distribution with respect to their lines of pushing (see Figure 4A). In Donald (1995), we asked whether explicit communication could be removed from this protocol (by “explicit,” we mean local communication, such as IR, or global communication, such as RF). In this article, we give a protocol with no explicit communication, and we analyze and compare our protocols using the tools introduced in Donald (1995). We believe our methods generalize to other manipulation tasks and to larger teams of robots, but work is still under way; for example, in Donald, Jennings, and Rus (1993a, 1993b); Rus, Donald, and Jennings (1995), we considered the task of coordinated manipulation of large objects (particularly rotations, or more accurately, *reorientations* of a large box using a team of communicating mobile robots). There, we examined an off-line strategy, in which the robots had a geometric model of the object, and an on-line strategy, in

3. For example, no algorithm exists to decide the existence of a linear-space (or log-space, polynomial time, Turing-computable, etc.) reduction between two CT problems.

which they did not. In Section 9, we describe these strategies, and sketch a general methodology for developing distributed manipulation protocols.

## 2. Pushing with Two Communicating Mobile Robots

To introduce our ideas, we consider a task involving two autonomous mobile robots. The two robots must cooperate to push a box. Now, many issues related to information invariants can be investigated in the setting of a single agent. However, one of our ideas is that by spatially distributing resources (1)–(6) among collaborating agents, the information characteristics of a task are made explicit. That is, by asking, How can this task be performed by a team of robots? one may highlight the information structure.

Here is a preview of how we will proceed. The goal of the *pushing task* is to move an object along a straight line (called the *pushing direction*) with two agents. We first describe a strategy for this task designed to be executed by a manipulator with two rigidly connected fingers and force feedback. We then propose variants of this algorithm that are suitable for execution by autonomous mobile robots. Finally, we compare these strategies with respect to resources (1)–(6) in Table 1.

### 2.1. Three Pushing Protocols

Consider the task whose goal is to push a box  $B$  in a straight line. Figure 4A depicts one robot (the reader should picture a robot manipulator, or gripper) executing this task. The robot consists of two rigidly connected fingers  $L$  and  $R$ ; for example, they could be the fingers of a parallel-jaw gripper. One complication involves the micromechanical variations in the slip of the box on the table (Mason 1986). This phenomenon is very hard to model, and hence it is difficult to predict the results of a one-fingered push; we will only obtain a straight-line trajectory when the center of friction (COF) lies on the line of pushing. However, with a two-fingered push, the box will translate in a straight line so long as the COF lies between the fingers. The nice thing about this strategy is that the COF can move some and the fingers can keep pushing, since we only need to ensure that the COF lies in some region  $C$  (see Figure 4A) instead of on a line. Second, if the COF moves outside  $C$ , then the fingers can move sideways to “capture” it again. We have implemented the control loop described as Protocol P.O on our PUMA robot’s two-finger gripper (see Figure 5). The basic idea is to sense the reaction torque  $\tau$  about the center of friction in Figure 4A. If  $\tau = 0$ , push forward in direction  $\hat{y}$ . If  $\tau < 0$ , move the fingers in  $\hat{x}$ ; else, move the fingers in  $-\hat{x}$ .

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Repeat : measure( $\tau$ )
        case ( $\tau = 0$ )  $\Rightarrow$ 
            push( $p$ )
        ( $\tau < 0$ )  $\Rightarrow$ 
            move( $R$ )
        ( $\tau > 0$ )  $\Rightarrow$ 
            move( $L$ )
```

Fig. 5. Protocol P.O (for a two-fingered gripper).

From the mechanics perspective, it might appear we are done. However, it is difficult to overstate how critically Protocol P.O relies on global communication and control. Consider the analogous pushing task in Figure 4B. Each finger is replaced by an autonomous mobile robot such as those described in Rees and Donald (1992). The robots we have in mind are the Cornell mobile robots (see Figure 1), but the details of their construction are not important. The robots can move about by controlling motors attached to wheels. The robots are autonomous and equipped with a ring of 12 simple Polaroid ultrasonic sonar sensors. Each robot has on-board processors for control and programming. The description in Rees and Donald (1992) is augmented as follows. (This description characterizes the robots in our lab.) We equip each robot with 12 infrared modems/sensors, arrayed in a ring about the robot body. Each modem consists of an emitter-detector pair. When transmitting or receiving, each modem essentially functions like the remote control for a home appliance (such as a television). In addition, each robot has a ring of one-bit contact (“bump”) sensors.

We assume the following:

- using bump sensors, robots in contact with a flat object face can measure the relative orientation of that face (Jennings and Rus 1993);
- the robots are on the same flat face of the object;
- both robots know the direction of pushing,  $p$ ;
- the robots can synchronize their velocities;<sup>4</sup> and
- by examining the servo loop in Rees and Donald (1992), it is clear that we can compute a measure of applied force by observing the applied power, the position and velocity of the robot, and the contact sensors.

The pushing strategy described as Protocol P.O can be approximated by observing the following (see Figures 6

4. For the pushing task, it suffices to assume that the robots have identical control systems and can command the same speeds. More generally, velocity synchronization requires that the robots begin and end pushing at the same time; this can be necessary for more complicated manipulation tasks. A protocol for velocity synchronization is not hard to synthesize using our methods; see Section 9.1.

Left Robot	Communication	Right Robot
Repeat :		Repeat :
measure( $f_1$ )		measure( $f_2$ )
	$\boxed{L \rightarrow R} (f_1)$	
	$\boxed{L \leftarrow R} (\text{sign}(\tau))$	$\tau = r_1 \times f_1 - r_2 \times f_2$
case ( $\tau = 0$ ) $\Rightarrow$		case ( $\tau = 0$ ) $\Rightarrow$
push( $p$ )		push( $p$ )
( $\tau < 0$ ) $\Rightarrow$		( $\tau > 0$ ) $\Rightarrow$
if(break?)		if(break?)
guarded-move( $p$ )		guarded-move( $p$ )
else $\emptyset$		else $\emptyset$
( $\tau > 0$ ) $\Rightarrow$		( $\tau < 0$ ) $\Rightarrow$
move( $L$ )		move( $R$ )

Fig. 6. Protocol P.I. The robots synchronize their actuators by communicating their force measurements.

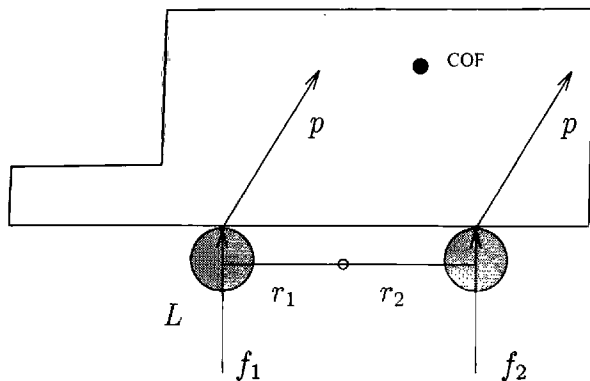


Fig. 7. The mechanical observables for Protocol P.I.

and 7). Each robot can compute its applied force ( $f_1$  or  $f_2$ ) and contact mode, and communicate these data to the other. This allows the robots to compute the net torque  $\tau$  about a point in between the two robots, and from the sign of this quantity, to infer the location of the box. If the center of friction is between the two lines of pushing, each robot continues pushing along the line  $p$ . If there is a positive net torque, the instantaneous center of friction is to the left of both robots. In this situation, the left robot is in contact with the box, while the right robot may or may not be in contact. The left robot can recapture the center of friction between the two lines of pushing by moving left along the face of the box (move( $L$ )). If the right robot is not in contact with the box (the predicate (break?) returns TRUE) it executes a guarded move (motion until contact) in the direction  $p$ . Otherwise, this robot takes the null action,  $\emptyset$ . The case when the net

torque is negative is symmetric. We call this Protocol P.I (see Figures 6 and 7).

A variant of this protocol can be derived for a quasi-static (QS) system. Here, relative displacements along the line of pushing  $p$  are measured instead of forces. Figure 9 shows a configuration where the two robots originate at positions  $x_1(0)$  and  $x_2(0)$ , respectively. Their locations at time  $t$  are  $x_1(t)$  and  $x_2(t)$ . In this protocol (Figure 8), the initial locations of the robots are communicated to determine their offset,  $\Sigma_0$ .  $\Sigma_0$  specifies (or better, parameterizes) the pushing task: this offset determines the pushing direction  $p$  relative to the initial orientation of the box face. Hence, the computation of  $\Sigma_0$  can be viewed as a calibration step. The robots exchange location information successively at each loop iteration; this information is used to infer the direction of motion of the box. We call this Protocol P.I(QS) (see Figures 8 and 9).

We now derive a new protocol from Protocol P.I(QS) by observing that the information needed to determine the motion of the box ( $\Sigma_0$  and  $\Sigma$ ) is related to the angle  $\theta$  between the normal to the face of the box  $n$  and the direction of pushing  $p$  as follows:  $2r \tan \theta_0 = \Sigma_0$ , and  $2r \tan \theta = \Sigma$  (see Figures 9, 10, and 11). Moreover, we observe that the tangent function is monotonic and sign preserving; this means we can adapt the control system to servo on  $\theta$  instead of  $\Sigma$ , without knowing  $r$ . Specifically, let  $n$  be the normal to the box face. Jennings and Rus (1993) describe an algorithm for measuring  $n$  with bounded error. In our final protocol, the robots measure  $\theta_0$  (the initial angle between  $n$  and  $p$ ), and compare this value to the angle  $\theta(t)$  measured at time  $t$  to infer the direction of motion of the box. A negative change in the value of this angle implies a clockwise rotation of the

Left Robot	Communication	Right Robot
measure( $x_1(0)$ )	$\boxed{L \rightarrow R} (x_1(0))$	measure( $x_2(0)$ )
Repeat :		$\Sigma_0 = x_2(0) - x_1(0)$
measure( $x_1(t)$ )	$\boxed{L \rightarrow R} (x_1(t))$	Repeat :
		measure( $x_2(t)$ )
	$\boxed{L \rightarrow R} (s)$	$\Sigma = x_2(t) - x_1(t)$
case ( $s = 0$ ) $\Rightarrow$		case ( $s = 0$ ) $\Rightarrow$
push( $p$ )		push( $p$ )
( $s = -1$ ) $\Rightarrow$		( $s = -1$ ) $\Rightarrow$
move( $R$ )		move( $R$ )
( $s = 1$ ) $\Rightarrow$		( $s = 1$ ) $\Rightarrow$
move( $L$ )		move( $L$ )

Fig. 8. Protocol P.I (Quasi static). The case for breaking contact is not shown; it can be handled as in Figure 6. The robots synchronize their actions by communicating their odometry.

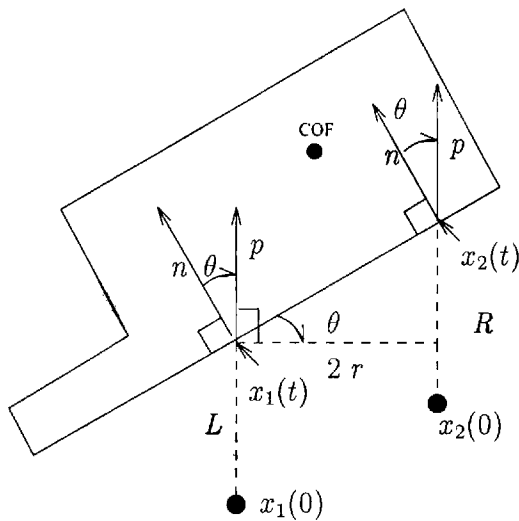


Fig. 9. The mechanical observables for Protocol P.I (QS). The normal to the box face is denoted by  $n$ . The  $x$ -axis is parallel to the direction of pushing,  $p$ . The lines of pushing are distance  $2r$  apart (perpendicular distance).  $\theta$  is the angle between  $n$  and  $p$ .

box. A large positive change implies a counterclockwise rotation. The robots adjust their pushing location on the face of the box accordingly. This is an example of how the robots can use the task dynamics instead of explicit communication to determine their next actions. We call this pushing strategy Protocol P.II (Figure 10).

## 2.2. Comparing the Protocols

Now, we ask, how do Protocols P.I, P.I(QS), and P.II compare to one another with respect to the resources (1)–(6) in Table 1? We first note that the three protocols require different sensing capabilities. Protocol P.I relies on force sensing, Protocol P.I(QS) relies on position sensing, and Protocol P.II relies on orientation sensing. Next, we observe that the robots must coordinate to find controls that result in stable pushing along  $p$ . This coordination is accomplished differently in the three protocols. In Protocol P.I and Protocol P.I(QS), the robots synchronize by exchanging their sensor values.

Robots executing Protocol P.I require communicating  $\log k(f_1)$  bits to transmit the value of force  $f_1$ , and 2 bits to transmit the sign of the torque  $\tau$ .  $k(b)$  denotes how many values a variable  $b$  may take on. Robots executing Protocol P.I(QS) require  $\log k(x_1)$  bits to transmit the value of the distance  $x_1$ , and 2 bits to transmit the sign of  $s$ . In Protocol P.II there is no explicit communication, and the synchronization is realized through the world, by monitoring the change in the angle  $\theta$  between the face normal and the pushing direction. In other words, the robots infer the motion of the object by decoding changes in the task mechanics. Thus, Protocols P.I and P.I(QS) rely on direct communication, while Protocol P.II does not. The internal state requirements of the three strategies are also different. Protocol P.I requires no internal state. Protocol P.I(QS) requires a register to record the value  $\Sigma_0$ . Protocol P.II requires a register to record the value  $\theta_0$ .

### Left Robot

```

 $\theta_0 \leftarrow \theta(0)$ 
Repeat :
  case (break?)  $\Rightarrow$ 
    guarded-move( $p$ )
    ( $\theta(t) \approx \theta_0$ )  $\Rightarrow$ 
      push( $p$ )
    ( $\theta(t) \gg \theta_0$ )  $\Rightarrow$ 
      move(L)
    ( $\theta(t) \ll \theta_0$ )  $\Rightarrow$ 
      (**)  $\emptyset$ 

```

### Right Robot

```

 $\theta_0 \leftarrow \theta(0)$ 
Repeat :
  case (break?)  $\Rightarrow$ 
    guarded-move( $p$ )
    ( $\theta(t) \approx \theta_0$ )  $\Rightarrow$ 
      push( $p$ )
    ( $\theta(t) \gg \theta_0$ )  $\Rightarrow$ 
      (*)  $\emptyset$ 
    ( $\theta(t) \ll \theta_0$ )  $\Rightarrow$ 
      move(R)

```

Fig. 10. Protocol P.II. This protocol is almost uniform, and can be made uniform by changing the  $\emptyset$  lines (\*) to move(L) and (\*\*) to move(R). Note that “uniform” does not quite imply SIMD, since the protocols run asynchronously. We say these protocols are SPMD: Single Program, Multiple Data. There is no explicit communication between the robots.

We can get a deeper understanding of the relationship between these protocols by describing them as circuits, and by attempting to transform or reduce one to the other. We do so below.

## 3. Reductions and Transformations

We now formalize our model of manipulation protocols by viewing them as “manipulation circuits.” (The theory in Sections 3–8 is adapted from Donald [1995], but the particular examples and application [especially Claim 1], the definition of manipulation circuits, and the definition of trade-offs are new.) We model these circuits as graphs. Vertices correspond to different sensoricomputational components of the system (what we will call *resources* below). Edges correspond to data paths, through which information passes. Different immersions of these graphs correspond to different spatial allocation of the resources. Our thesis demands: What information is added (or lost) in a manipulation circuit when we change its immersion? and What information is preserved under all immersions? We also define an operator  $+$  as a way to combine manipulation circuits. The operation  $+$  is like taking the union of two graphs. We formalize manipulation circuits by first introducing the notion of a *sensoricomputational system* (we use the term *sensor system* to mean *sensoricomputational system* where it is mellifluous). In the end of this section, we show how to extend sensor systems to manipulation circuits.

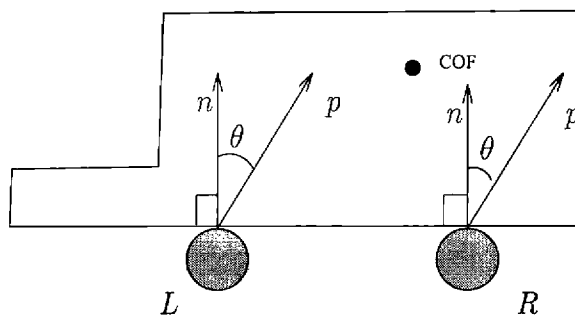


Fig. 11. The mechanical observables for Protocol P.II.

### 3.1. Situated Sensor Systems

**DEFINITION 1.** A *labeled graph*  $\mathcal{G}$  is a directed graph  $(V, E)$  with vertices  $V$  and edges  $E$ , together with a labeling function that assigns a label to each vertex and edge. Where there is no ambiguity, we denote the labeling function by  $\ell$ .

**DEFINITION 2.** A *sensor system*  $\mathcal{S}$  is represented by a labeled graph  $(V, E)$ . Each vertex is labelled with a *component*. Each edge is labeled with a *connection*.

See Figures 12 and 13 for illustrations for the circuits—that is, the sensor systems for Protocols P.I(QS) and P.II. We will use the terms *protocol* to refer to the computer programs in Figures 8–10, and *circuit* for the sensor systems in Figures 12 and 13. It is clear that each circuit implements its protocol. Now, in Protocol P.I(QS) (Figure 8), there are three communications operations. The first two ( $L \rightarrow R$ ) use the same data path; they simply

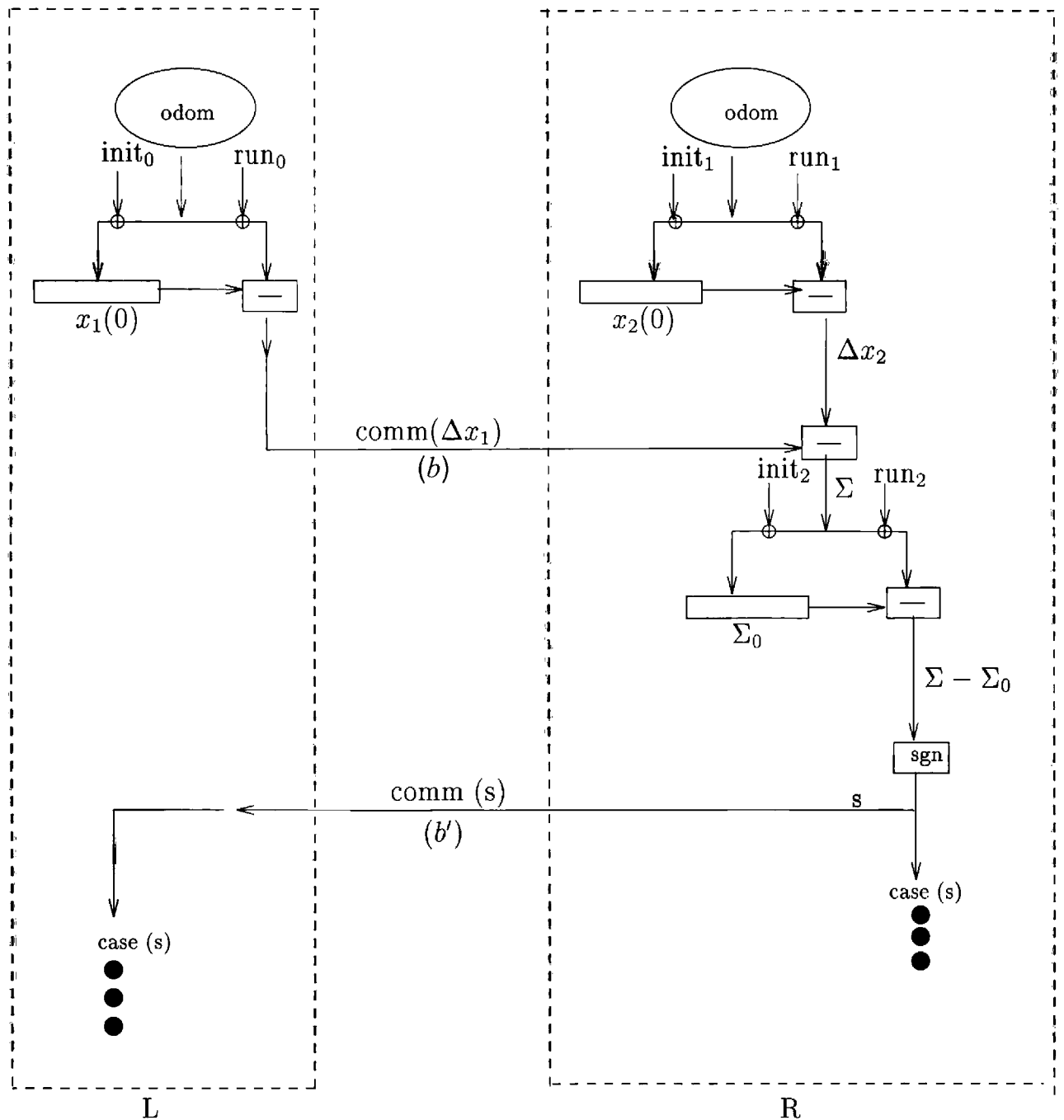


Fig. 12. Manipulation circuit P.I(QS). This is a circuit for Protocol P.I (QS). This circuit shows one possible implementation of the protocol. Figures 12 and 13 do not show how to handle loss of contact (the (break?) case), but this circuitry is easily added, and is the same for both Protocols P.I(QS) and P.II.

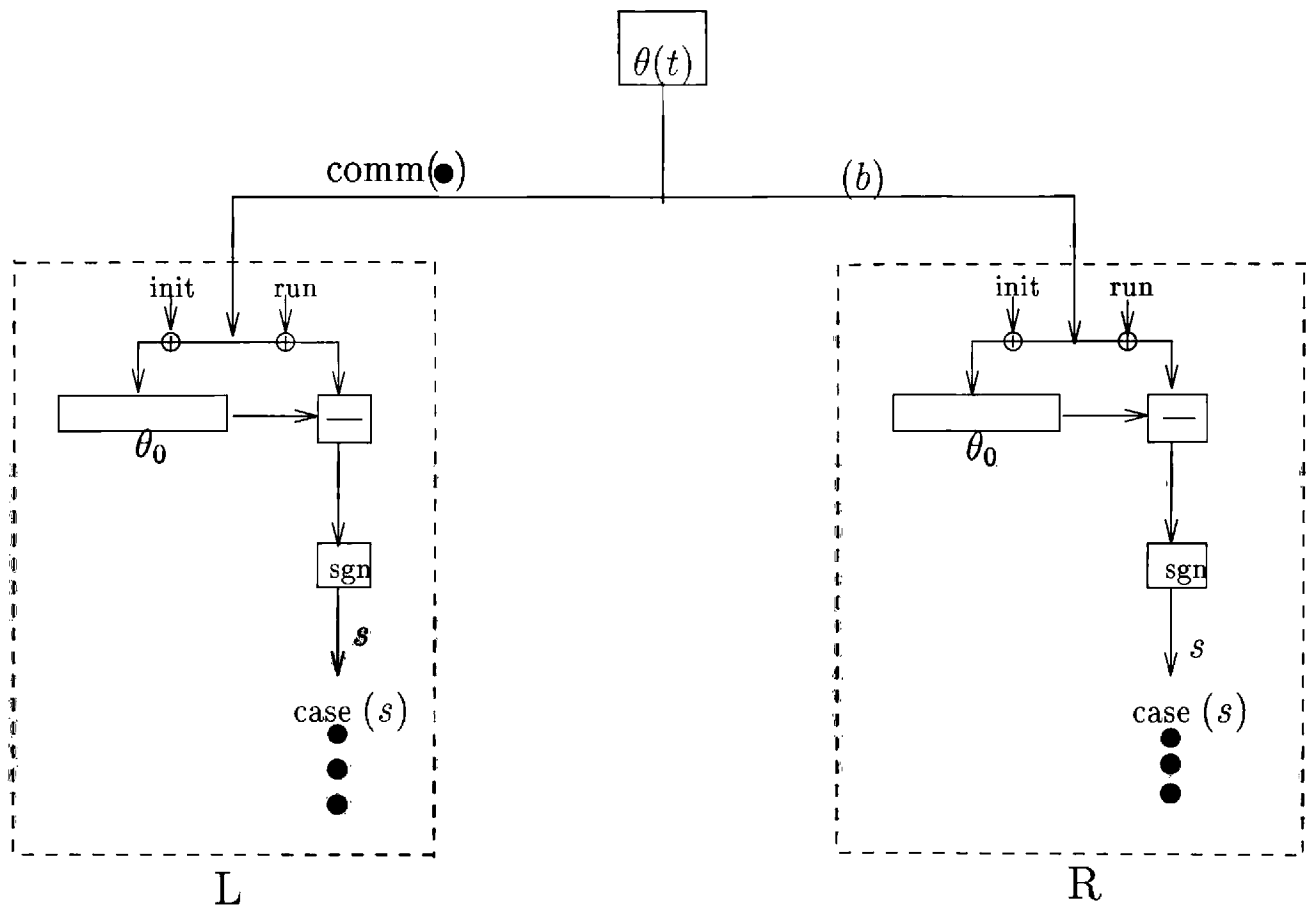


Fig. 13. Manipulation circuit P.II. This is a circuit for Protocol P.II.

refer to the first use, and to subsequent use, of the same resource. In our circuits, we now restrict the *components* to be the resources such as those described in the figures; however, our definitions could, we feel, be generalized to other resources. In the figures, the components correspond to boxes:  $\text{odom}$  is an odometer, the “signal”<sup>5</sup> coming out of this box is the odometry reading. The box  $\ominus$  performs subtraction, the boxes  $x_1(0)$  and  $\Sigma_0$  are registers, and they implement internal state. The part of the circuit labeled *case* interfaces to the control part of the circuits, which is the same for both protocols. Intuitively, once the control is added to the sensor system, we obtain a *manipulation circuit*. This augmentation is made precise below.

One interesting resource is  $\theta(t)$  in Figure 13—we call this box a  $\theta$ -source—it produces a signal indicating relative orientation. Jennings and Rus (1993) describe in detail how to implement a bounded-error  $\theta$ -source. Here is the basic idea. A  $\theta$ -source is an abstraction of relative

normal sensing. It allows the normal of the box to be treated as an external register that both robots may read and write. We could implement an (approximate)  $\theta$ -source as follows. The robot has a ring of 1-bit bump sensors. These are used to implement relative normal sensing (Jennings and Rus 1993). We specify the pushing direction  $p$ , by specifying  $\theta_0$  (see Figure 10), the direction of  $p$  relative to the box normal  $n(0)$  at time 0. More specifically: at the beginning of the task, each robot does a guarded move along  $p$  until contact with the box. It then aligns normal to the box, using the bounded-error algorithm of Jennings and Rus (1993). Finally, the robot turns by angle  $\theta_0$  using pure position control.

Init is 1 bit of state, and  $\text{run} = \overline{\text{init}}$ . The small crossed circles ( $\oplus$ ) that these bits run into are gates; the  $\downarrow$  input must be 1 for the  $\leftrightarrow$  signal to pass. Thus in robot  $L$  in Figure 12, if  $\text{init} = 1$ , then the odometer writes into the register  $x_1(0)$ . When  $\text{init} = 0$ , then the  $\ominus$  component is fed the odometry input. We assume that numbers are represented as signed integers. The  $\text{sgn}$  box just selects out the sign bit. We omit the logic to toggle  $\text{init}$  once the register  $x_1(0)$  is written. Whereas  $L$  requires 1 bit of state

5. We use *signal* as a metaphor; our circuits are strictly digital. Either *message* or *stream* would be better, but both have distracting religious connotations.

init<sub>0</sub>,  $R$  requires 2, due to the asymmetry of Protocol P.I(QS). These are denoted init<sub>1</sub> and init<sub>2</sub>.

Connections are like data paths in that they carry information; a connection's label represents the information that will be sent along that path. Connections carry data between components. We adopt the convention that two components can communicate without an (explicit) connection when they are spatially colocated. Now, in Figures 12 and 13, many of the data paths are *internal*, i.e.,  $L \rightarrow L$  or  $R \rightarrow R$ . The most interesting data paths are the *external* data paths: the  $L \rightarrow R$  edge (b) labeled  $\text{comm}(\Delta x_1)$ , and the  $L \leftarrow R$  edge (b') labeled  $\text{comm}(s)$ . (b) has bandwidth of  $\log k(\Delta x_1)$  bits, and (b') has bandwidth of 2 bits.

Consider Figure 13. Here, there is a  $\theta$ -source that is external to both robots. There are two interesting external data paths from the  $\theta$ -source, one to  $L$  marked  $\text{comm}(\cdot)$ , and one marked (b) to  $R$ . Both of these data paths have bandwidth  $\log k(\theta(t))$  bits.

**DEFINITION 3.** Let  $b$  be a variable that ranges over all possible values that a sensor system can compute. We call  $b$  the *output* of the system. Let  $k(b)$  be the number of values  $b$  can take on, and define  $\log k(b)$  to be both the *size* of  $b$  and the *output size* of the sensor. The output size is an upper bound on the bit complexity of  $b$ . For example, if  $b$  takes on integer values in the range  $[1, q]$ , then  $k(b) = q$ , and  $\log k(b) = \log q$ . Now, suppose the information  $b$  is communicated over a data path  $e$ . We will assume that the information is communicated repeatedly; without loss of generality, we take the unit of time to be the interval of the occasion to communicate the information. Thus we can take the size of the output  $b$  to be the *bandwidth* of  $e$ .

So far, we have defined components and connections operationally. We now give a formal definition. Components and connections are defined by their *simulation functions*. Simulation functions describe the behavior of both components and connections.

Consider a component  $\ell(v)$  associated with vertex  $v$ . To simulate a component, we need to know its inputs and its configuration. Suppose a component has  $r$  inputs and  $s$  outputs, each of which lies in some space  $R$ . Let  $C$  be the configuration space of the component. A simulation function for a component  $\ell(v)$  is a map<sup>6</sup>  $\Omega_v : R^r \times C \rightarrow R^s$ .

Now we connect the components together. Assume for a moment that all the components have the same

input-output structure as  $\Omega_v$  above (i.e., that  $r$  and  $s$  are fixed throughout the system, but that the components themselves may perform different functions). We model an edge  $e$  between vertices  $v$  and  $u$  by its label,  $\ell(e) = b$ , and by a pair of integers,  $(i, j)$ .  $\log k(b)$  is the *bandwidth* of the edge and the index  $i$  (resp.  $j$ ) specifies to which of the  $r$  outputs of  $\ell(v)$  (resp.,  $s$  inputs of  $\ell(u)$ ) we attach  $e$  ( $1 \leq i \leq r$  and  $1 \leq j \leq s$ ).

Now, a simulation function for this edge  $e$  is taken to be a function  $\Omega_e : R \rightarrow R$ . We will usually restrict the edge functions to be the identity function (but they also check for bandwidth, i.e., that the transmitted data has size no greater than  $\log k(b)$ ).

We also define a resource called the output device. Each sensor system must have exactly one vertex with this label, called the *output vertex*. The output vertex of the sensor system is where the output of the sensor is measured. The simulation function for the output device is the identity function, but the output value of this device defines the output value of the sensor system.

A simulation function  $\Omega_{\mathcal{U}}$  for an entire sensor system  $\mathcal{U}$ , then, is a collection of component simulation functions such as  $\Omega_v$  and edge-simulation functions such as  $\Omega_e$ . The function  $\Omega_{\mathcal{U}}$  simulates all the component-simulation functions in the correct configuration, and simulates routing the data between them using the edge-simulation functions. We adopt the convention that two components can communicate without an (explicit) connection when they are spatially colocated. When all these component and edge functions are semi-algebraic, then the sensor-simulation function  $\Omega_{\mathcal{U}}$  is also semi-algebraic (see Section 7).

**DEFINITION 4.** Consider a sensor system  $\mathcal{U}$  with simulation function  $\Omega_{\mathcal{U}}$ . The *output value* of  $\mathcal{U}$  at a particular configuration is the value that  $\Omega_{\mathcal{U}}$  computes for that configuration. Hence the output value of  $\mathcal{U}$  is a function of  $\mathcal{U}$ 's configuration. The notions of output value and output (Definition 3) are related as follows. The *output* of  $\mathcal{U}$  is a variable that ranges over all possible output values of  $\mathcal{U}$ . Given another sensor system  $\mathcal{V}$ , we say the *output of  $\mathcal{U}$  is the same as the output of  $\mathcal{V}$*  when  $\Omega_{\mathcal{U}}$  and  $\Omega_{\mathcal{V}}$  are identical.

Under this model, we can simulate trees of embedded sensorimotor computation. It is also possible (in principle) to simulate more general graphs and systems with state, but the value at the output vertex may vary over time (even for a fixed configuration). In this case, we need some explicit notion of time and blocking to model the (a)synchronous arrival of data at a component. For now, we restrict our attention to trees, which suffice to model our examples. In general our discussion is restricted to consider 1 clock-tick; however, generalizations are possible to consider the time-varying behavior of the system.

6. Components that retain state can be modeled by a function  $\Omega_v : R^r \times C \times S \rightarrow R^s \times S$ , where  $S$  is a *store* that records the state. For example, a state element with  $k$  bits of state would be modeled with  $S = \{0, 1\}^k$ . Alternatively,  $S$  can be absorbed as a factor subspace in the configuration space of the component.

We will treat the circuits P.I(QS) and P.II (below) as effectively being trees, and not graphs, even though there is data flow both from  $R$  to  $L$  and  $L$  to  $R$ . To completely model manipulation circuits with feedback we must employ the notion of *weak simulation* and *weak equivalence*, defined below.

To summarize: a component is a primitive device that computes a function of its inputs and its configuration  $z \in C$ . Each component is installed at a vertex of a communication graph with  $d$  vertices, whose edges are the connections described above. The graph is immersed in a configuration space  $C^d$ , and the configuration  $z$  of a component is the configuration of its vertex. More generally, components can be actuators. An *actuator* is a component whose output forces the configuration of the graph to change or evolve through a dynamics equation. If the configuration of the entire graph is  $\mathbf{z} = (z_1, \dots, z_d) \in C^d$ , then the dynamics equation models a mapping from the actuator component  $\ell(v)$ 's output at  $z$  to the tangent space  $T_z C^d$  to the configuration space. See Rus, Donald, and Jennings (1995) for more discussion of actuators. The actuator systems of our circuits are identical, and in this article we do not consider them in detail. This actuator subsystem is represented by the elision case(s)  $\dots$  in Figures 12 and 13. The circuit for this system would look very much like a traditional plant diagram from control engineering.

Weaker forms of sensoricomputational equivalence are possible. If we define the *state* of a sensor system  $\mathcal{U}$  to be a pair  $(\mathbf{z}, b)$  where  $\mathbf{z}$  is the configuration of the system and  $b$  is the output value at  $\mathbf{z}$ , we can examine the equilibrium behavior of  $\mathcal{U}$  as it evolves in state space. Consider the following notion of strong simulation. Informally, sensor system  $\mathcal{U}$  strongly simulates sensor system  $\mathcal{V}$  when they have the same output. See Definition 6 for the formal definition. By analogy, let us say that a system  $\mathcal{U}$  *weakly simulates* another system  $\mathcal{V}$  when  $\mathcal{U}$  and  $\mathcal{V}$  have identical, forward-attracting compact limit sets in state space.<sup>7</sup> If we replace strong simulation ( $\cong$  in Definition 6) with weak simulation, all of our structural results go through *mutatis mutandis*. The computational results also go through, if we can compute limit sets and their properties (a difficult problem in general). Failing this, if we can derive the properties of limit sets “by hand,” then in principle, reductions using weak simulation instead of strong simulation ( $\cong$ ) can also be calculated by hand.

The following concepts define actuators precisely and formalize this notion of weak simulation.

1. *Actuators* are components that take input from sensoricomputational circuits, and whose output man-

ifests forces and torques in the configuration space of the system. Like any component, the output (the force exerted) of an actuator depends on its spatial configuration.

Differentially, the output of an actuator at configuration  $x$  in a configuration space  $C$  can be modeled as a tangent vector  $(x, f)$  in the tangent space  $T_x C$  to  $C$  at  $x$ . Informally, this means that the actuator exerts force  $f$  at point  $x$ .

2. The forces exerted by an actuator change the configuration of a system via a *dynamics equation*. That is, the forces cause the system to evolve in time. For example, Newton's dynamics equation is

$$f = m\ddot{x},$$

where  $m$  is mass.

More generally, we can permit actuators to be the more abstract controllers familiar in robotics. For example, a *velocity controller* is a component whose output causes the velocity of the system to change. One easy way to model a velocity controller uses a first-order dynamics equation such as generalized damper dynamics (see Lozano-Pérez, Mason, and Taylor 1984; Erdmann 1986; Donald 1989). The output of the actuator is still manifested as force tangent to the configuration space. However, the dynamics equation is changed to

$$f = B\dot{x},$$

where  $B$  is a constant chosen in design.

3. A *mobile sensoricomputational system* is a sensoricomputational circuit (Definition 2) containing actuators, when the output of the actuators is used to change the configuration of the system. If the configuration space of the circuit is  $C_r$ , then the output of the actuators in the circuit forces the configuration  $\mathbf{x} \in C_r$  to change over time, via the dynamics equation. For example, consider the two-robot system described in Section 2 (see Figure 2). The configuration space for one robot (say, TOMMY) is  $C_1 = \mathbb{R}^2 \times S^1$ , and a typical configuration  $\mathbf{x}$  in  $C_1$  is  $(x, y, \theta)$  where  $(x, y)$  models the center of TOMMY and  $\theta$  models his orientation. When TOMMY executes a *translate* command, his configuration is advanced along the ray anchored at  $(x, y)$  and pointing in direction  $\theta$ . A *rotate* command causes  $\theta$  to change.

For the two-robot system LILY and TOMMY, the configuration space is the product space  $C_1 \times C_1$ , which has six degrees of freedom. Mobile sensoricomputational systems can model navigation, map-making, and localization procedures for mobile robots.

7. We are grateful to Dan Koditschek, who has suggested this formalism in his papers.

4. A *manipulation circuit* is a mobile sensoricomputation circuit where the actuators are used to solve a manipulation task. This requires that the forces manifested through the actuators do two things: (1) cause the configuration of the robots to change, and (2) cause the configuration of some external object to change. For example, in Figures 2 and 4B, TOMMY and LILY are being used to manipulate a large couch or box. Let  $C_r$  be the configuration space of the robots, so that  $C_r = C_1 \times C_1$  as above. Let  $C_m$  be the configuration space of the couch. Since the couch has three degrees of freedom,  $C_m = \mathbb{R}^2 \times S^1$ .

In a manipulation circuit, the forces from the actuators change both the configuration of the robots in  $C_r$  and the configuration of the couch in  $C_m$ .

5. *Weak simulation* is an equivalence relation on mobile sensoricomputational circuits. When two circuits have the same limit sets (as dynamical systems), we say they *weakly simulate* each other. We use weak simulation for manipulation circuits in place of strong simulation defined for sensoricomputational circuits (Definition 6). For the rest of the article, we use  $\cong$  to denote weak simulation, unless otherwise noted.

When we are only interested in the behavior of the manipulated object, we can restrict the domain of weak simulation to the configuration space  $C_m$ . For example, we can view a set of goal configurations for the couch as a set  $G$  in  $C_m$ . We say a manipulation circuit *achieves*  $G$  when, viewed as a dynamical system, its limit set is  $G$ . Any two manipulation circuits that achieve  $G$  weakly simulate each other.

In our sensor systems, there is no separate notion of “sensor inputs.” Instead, the sensory inputs are encoded in the configuration space.

### 3.2. Transformations as Reductions

In Section 4, we give a proof (Claim 1) and an equation (eq. [3]) relating the circuits P.I(QS) and P.II. Intuitively, eq. (3) indicates that, operationally speaking, one could transform a system that executes Protocol P.I(QS) into one that executes Protocol P.II by removing the odometry from both robots, and adding a  $\theta$ -source, which is a component that senses the orientation of the manipulated object. In describing Protocol P.II, we demonstrated that one implementation of such a circuit involves using some retained state ( $\theta_0$ ), and a relative orientation sensor such as the bumper system described in Jennings and Rus (1993). In fact, Claim 1 is a precise statement of this engineering fact. Below, we carefully

define the operators  $+$  and  $-$ , and formalize the notions of simulation and efficient reduction, as well as permutation, etc.

Our reduction involves two concepts. The first is *permutation*, and it involves redistributing resources in a manipulation circuit, without consuming new resources. Surprisingly, a redistribution of resources can add information to the system. For permutation to add information, it is necessary for the sensor system to be spatially distributed (as, for example, our circuits are). When permutation gains information, it may be viewed as a way of arranging resources in a configuration of lower entropy.

The second concept is *communication*. It measures resource (2) (from Table 1). We consider adding communication primitives of the form  $\text{comm}(L \rightarrow R, \text{info})$ , which indicates that  $L$  sends message *info* to  $R$ . Examples of this primitive are  $\text{comm}(\Delta x_1)$  and  $\text{comm}(s)$  in Figure 12. Like permutation, communication only makes sense in a spatially distributed manipulation circuit. That is, because spatially colocated components can communicate “for free” in our model, only external data paths add information complexity to the system. In effect, to transform system Protocol P.O into Protocol P.I(QS) (see Figures 5 and 8), we view it as a system composed of autonomous collaborating agents  $L$  and  $R$ , each of which has certain resources. The  $\text{comm}(\cdot)$  primitive (above) we view as shared between  $L$  and  $R$ . We measure communication by counting the number of agents and the bits required to transmit *info*. This is the only kind of external communication we will consider here (i.e.,  $L \rightarrow R$  or  $L \leftarrow R$ ); we will abbreviate it by  $\text{comm}(\text{info})$  when the direction is clear.

We can be sure of getting the semantics of  $\text{comm}(\cdot)$  correct by treating it as a sensor system in its own right (albeit, a small one). Now,  $\text{comm}(b)$  defines the graph with vertices  $\{u_1, u_o\}$  and a single edge  $e = (u_1, u_o)$  with  $\ell(e) = b$ . The “head” vertex  $u_o$  of the edge  $e = (u_1, u_o)$  is defined to be the *output vertex* of the sensor system  $\text{comm}(b)$ . The argument (parameter)  $b$  to  $\text{comm}(b)$  determines the bandwidth of  $e$ . Thus, for example,  $\text{comm}(b)$  specifies a graph with one edge  $e$  whose label is  $b$ . This specifies that the edge is a data path that can carry information  $b$ ; if  $b$  requires  $k = \log k(b)$  bits to encode, then  $k$  is the *bandwidth* of  $e$ . Our model of communication is rather abstract. External communication is probably not possible without buffering by either the sender or the receiver.  $\text{Comm}(\cdot)$  should include this buffer to be more realistic about modeling internal state.

We now formalize the ideas above. These definitions generalize sensoricomputational systems to manipulation circuits, following Section 3.1. Consider a manipulation circuit with vertices  $V$ . For each vertex  $v$  in  $V$ , we assume there is a configuration space  $C$ . A point in this space  $C$  represents the configuration of the component.

**DEFINITION 5.** A *situated* (or *immersed*) *manipulation circuit*  $\mathcal{S}$  is a manipulation circuit  $\mathcal{S} = (V, E)$ , together with an immersion  $\phi : V \rightarrow C$  of the vertices. If  $v \in V$ , then we call  $\phi(v)$  the *configuration of the vertex*  $v$ . When there is no ambiguity, we also call  $\phi(v)$  the *configuration of the component*  $\ell(v)$ .

A situated manipulation circuit is essentially an immersed graph. If the map  $\phi$  in Definition 5 is injective, then we call  $\phi$  an *embedding*. Immersions need not be injective. Moreover, to colocate vertices, it is necessary for immersions to be noninjective.

In Definition 5, the immersion  $\phi$  may be a partial (as opposed to total) function, indicating that we do not specify the spatial configuration of those components whose vertices are outside the domain of the immersion. We denote the *domain* of a (partial) immersion  $\phi : V \rightarrow C$  by  $\phi^{-1}C$ . We denote its *image* by  $\text{im } \phi$ .

We can now define what it means for two systems to be equivalent.

**DEFINITION 6.** Given two sensor systems  $S$  and  $Q$ , we say  $Q$  *simulates*  $S$  if the output of  $Q$  is the same as the output of  $S$ . In this case, we write  $S \cong Q$ . More generally, suppose we write

$$(\mathcal{S}, \phi) \cong (\mathcal{U}, \psi) \quad (1)$$

for two situated sensor systems. Eq. (1) is clearly well defined when  $\phi$  and  $\psi$  are total. Suppose that  $\phi$  and  $\psi$  are partial, leaving unspecified the configurations of components  $\ell(v)$  of  $\mathcal{S}$  and  $\ell(u)$  of  $\mathcal{U}$ . Then eq. (1) is taken to mean that  $(\mathcal{U}, \psi)$  simulates  $(\mathcal{S}, \phi)$  for *any* configuration of  $v$  and  $u$ .

For Definition 6, in the case where (say)  $\phi$  is partial, we operationalize eq. (1) by rewriting it as a statement about all extensions  $\bar{\phi}$  of  $\phi$ . That is, we define  $\text{ex } \phi$  to be the set of all extensions of  $\phi$ . Then, we write: “ $\forall \bar{\phi} \in \text{ex } \phi$ , eq. (1) holds (with bars placed over the immersions).” We treat  $\psi$  similarly, with an inner universal quantifier, although codesignation constraints (Section 3.5) allow us to make the choice of extension  $\bar{\psi}$  of  $\psi$  depend on the extension  $\bar{\phi}$  that is bound by the outer quantifier. For example, Definition 6 becomes, “for all configurations  $x \in C$  of  $v$ , for all configurations  $y \in D_S(x)$  of  $u$ , eq. (1) holds.” Here  $D_S(x)$  is a set in  $C$  that varies with  $x$ ; the function  $D_S(\cdot)$  models the codesignation constraints. Definition 6 can be generalized to any number of “unbound” vertices; see eq. (16) and Donald (1995).

Definition 6 precisely characterizes the equivalence relation of strong simulation described in Section 3.1. We now wish to generalize this definition in the case where  $\mathcal{S}$  and  $\mathcal{Q}$  are manipulation circuits. In this case, the relation strong simulation given by Definition 6 still makes formal

sense, but in practice it is too fine-grained a relation to obtain proofs of realistic manipulation systems.

For this reason, we will generally employ the equivalence relationship of weak simulation (Section 3.1) when analyzing manipulation circuits. This relation compares the behavior of two distributed systems as dynamical systems. In the rest of this article, we employ the notion of weak simulation, and, specifically, we overload  $\cong$  to mean weak simulation, except where noted.

### 3.3. Permutation

We may consider two orthogonal models of permutation. In both models, the vertex and edge labels  $\ell(v)$  and  $\ell(e)$  never change. The first model is called *vertex permutation*, and is given in Definition 7. In this model, the vertices can move, and they drag the components and wires with them. That is, the vertices move (under permutation), and as they move, the edges follow.

*Vertex permutation* of a situated manipulation circuit corresponds to the choice of a different immersion with the same domain:

**DEFINITION 7.** Let  $\mathbb{S} = (\mathcal{S}, \phi)$  be a situated manipulation circuit. A *permutation*  $\mathbb{S}^*$  of  $\mathbb{S}$  is a situated manipulation circuit  $(\mathcal{S}, \phi^*)$  such that the domain  $\phi^{-1}C$  of  $\phi$  and the domain  $\phi^{*-1}C$  of  $\phi^*$  are the same.

For technical reasons, we also permit a permutation to change which vertex has the output device label. Note that the definition of permutation (Definition 7) also makes sense for partial immersions. However, see the appendix for a discussion of the semantics of permutation for unsituated sensor systems.

We can also consider an alternate model, called *edge permutation*, where the edge connectivity changes. An edge permutation can be modeled as follows. Consider a graph with vertices  $V$  and edges  $E$ . Start with any bijection  $\sigma : V^2 \rightarrow V^2$ . We call  $\sigma$  an *edge permutation*, since it induces the restriction map  $\sigma|_E : E \rightarrow \sigma(E)$  on the edge set  $E$ . An edge permutation says nothing about the immersion of a graph.

We can also compose the models. We define a *graph permutation* to be a vertex permutation followed by an edge permutation. In a graph permutation, the vertices and the edges move independently. That is, vertices may move, but in addition, the edge connectivity may change. To illustrate the different models, consider a manipulation circuit  $\mathcal{U}$  with three vertices  $\{v_1, v_2, v_3\}$  with labels  $\ell(v_i) = B_i$  ( $i = 1, 2, 3$ ).  $\mathcal{U}$  has one edge  $e = (v_1, v_2)$  of bandwidth  $k$  that connects  $B_1$  to  $B_2$ . So, the  $B_i$  are the components of the system, and  $e$  is a data path. A vertex permutation  $\mathcal{U}^*$  of  $\mathcal{U}$  would move the vertices (and therefore the components) spatially, but in  $\mathcal{U}^*$ ,  $e$  would still

connect  $v_1$  and  $v_2$  (and therefore,  $B_1$  and  $B_2$ ). An edge permutation  $\sigma$  of  $\mathcal{U}$  would change the edge connectivity. So, for example, an edge permutation  $\sigma(\mathcal{U})$  could be a graph with one edge  $\sigma(e) = (v_2, v_3)$ , connecting  $v_2$  to  $v_3$  (and hence  $B_2$  to  $B_3$ ). But in  $\sigma(\mathcal{U})$ , no edge would connect  $v_1$  and  $v_2$ . Finally, consider a graph permutation  $\mathcal{U}^*$  of  $\mathcal{U}$ . Suppose  $\mathcal{U}^* = \sigma(\mathcal{U}^*)$ , that is,  $\mathcal{U}^*$  is the vertex permutation  $\mathcal{U}^*$  followed by the edge permutation  $\sigma$  above.  $\mathcal{U}^*$  has the same edge connectivity as  $\sigma(\mathcal{U})$ . However, in  $\mathcal{U}^*$ , the vertices are immersed as in  $\mathcal{U}^*$ .

Let  $(\mathcal{U}, \phi)$  be a situated manipulation circuit. A graph permutation of  $\mathcal{U}$  is given by  $\mathcal{U}^* = (\mathcal{U}, \phi^*)$  where  $\phi^* = (\phi^*, \sigma)$ ,  $\phi^*$  is a vertex permutation, and  $\sigma$  is an edge permutation. In practice, we wish to impose some restrictions on edge and graph permutations. For example, suppose we have a sensor system  $\mathcal{U}$  containing two cooperating and communicating mobile robots  $L$  and  $R$ . The sensoriccomputational systems for  $L$  and  $R$  are modeled as circuits. The data paths in the system, in addition to bandwidth, have a type, of the form SOURCE  $\rightarrow$  DESTINATION, where both SOURCE and DESTINATION  $\in \{L, R\}$ . (Maintaining exactly two physical locations can be done using simple codesignation constraints.) When permuting the edges of  $\mathcal{U}$  to obtain  $\mathcal{U}^*$ , it makes sense to permute only edges of the same type. More generally, we may segregate the edge types into two classes: *internal edges*  $L \rightarrow L$  and  $R \rightarrow R$ ; and *external edges*  $L \rightarrow R$ : and  $L \leftarrow R$ . In constructing  $\mathcal{U}^*$ , we may reallocate an internal edge (of sufficient bandwidth) to connect any two components where SOURCE = DESTINATION. External edges (of sufficient bandwidth) can be (re)used when SOURCE  $\neq$  DESTINATION. Hence, in class-edge permutation, we permute edges within a type or class. In this article we will restrict our edge permutations to this kind of class-edge permutation. Class-edge permutation leaves the complexity bounds and the lemmas of Donald (1995) unchanged.

To summarize: vertex permutation preserves the graph topology, whereas edge permutation can move the edges around. Edge permutation permits arbitrary rewiring (using existing edges). It cannot add new edges, nor can it change their bandwidth. In Section 8, we further discuss the consequences of allowing different kinds of permutation on our model. There we show that graph permutation can be permitted at no additional "cost" and without changing the power of our systems very much.

### 3.4. Combination

DEFINITION 8. Consider two graphs,  $\mathcal{G} = (V, E)$  and  $\mathcal{G}' = (V', E')$ . We define the *combination*  $\mathcal{G} + \mathcal{G}'$  of  $\mathcal{G}$  and  $\mathcal{G}'$  as follows:

$$\mathcal{G} + \mathcal{G}' = (V \cup V', E \cup E').$$

We may define  $+$  on manipulation circuits (Definition 2) by lifting the definition for graphs. We may define  $+$  on two immersed graphs whenever the immersions are *compatible*. An immersion  $\phi$  of  $\mathcal{G}$  and an immersion  $\psi$  of  $\mathcal{G}'$  are said to be compatible when the two immersions agree on the intersection  $V \cap V'$  (for total immersions) or, more generally, on  $\phi^{-1}C \cap \psi^{-1}C$  (for partial functions). Similarly:

DEFINITION 9. Consider two graphs,  $\mathcal{G} = (V, E)$  and  $\mathcal{G}' = (V', E')$ , where  $\mathcal{G}'$  is a subgraph of  $\mathcal{G}$ . We define the *difference*  $\mathcal{G} - \mathcal{G}'$  of  $\mathcal{G}$  and  $\mathcal{G}'$  as follows:

$$\mathcal{G} - \mathcal{G}' = (V - V', E - E').$$

Definition 9 may also be lifted to (partially) immersed graphs, and hence to situated manipulation circuits.

### 3.5. Reductions, Calibration, and Codesignation

As we observed in Donald (1993), calibration exploits external state. We wish to order systems on how much information this external state (from calibration) yields, to obtain Definition 10, below. Calibration complexity is defined formally in Donald (1995). Here is the basic idea. Calibration complexity measures how much information we add to a sensor system when we install and calibrate it. Installing a sensor system may require us to physically establish some spatial relation between two components of the system. In this case, we say the two components *codesignate* by the spatial relation. More generally, we may have to establish a relation between a component and a reference frame in the world. Most generally, when we compare two sensor systems  $S$  and  $Q$ , we typically must install and calibrate them in some appropriate relative configuration—again, in a spatial relation. When all these relations are (in)equalities of configuration (i.e., of the form  $X = Y$  or  $X \neq Y$ ), we say the system is *simple*. When all the relations are semi-algebraic (s.a.), we say the system is *algebraically codesignated*.

DEFINITION 10. We write  $S \leq Q$  when

1.  $Q$  simulates  $S$  ( $S \cong Q$ ),
2.  $S$  dominates  $Q$  in calibration complexity, and
3. the maximum bandwidth of  $S$  is at least that of  $Q$  (Definition 12).

CONVENTION. We will now drop the notation  $*$ , and use  $Q^*$  to denote any graph permutation of sensor system  $Q$ , as described above. The definitions above extend naturally to manipulation circuits to obtain the following.

DEFINITION 11. We write  $S \leq^* Q$  if there exists some (graph) permutation  $Q^*$  of manipulation circuit  $Q$  such that  $S \leq Q^*$ .

### 3.5.1. Relativized Information Complexity

Consider a sensor system with output  $z$ . The complexity of many sensors can essentially be characterized using the size  $\log \mathbb{k}(z)$  of the output  $z$ . We will now ask, What is the output of our protocols? and, more importantly, What is the size?

Suppose we suggest that the output of each system is at most 2 bits: the system's output chooses between three motor-control states, the actions  $\text{move(L)}$ ,  $\text{move(R)}$ , or  $\text{push}(p)$ . In this case, we note that the sensor system has internal bandwidth that is much higher,  $\log \mathbb{k}(\Delta\theta)$  or  $\log \mathbb{k}(\Delta x)$  bits. The output in some sense encodes that information. That is, we may view the protocols as "recognizing" a model or a signal of size  $O(\log \mathbb{k}(\Delta x))$  bits, and subsequently hashing that model to one of three equivalence classes. This argues that perhaps the intrinsic output complexity of the protocols should be more like  $\log \mathbb{k}(\Delta x)$  bits.<sup>8</sup>

Another idea is to observe that the actuator output  $p$  in  $\text{push}(p)$  would be at a similar resolution to the orientation sensing  $\theta(t)$  or odometry  $x_i(t)$ . This argues that the output of the protocol is something more like  $O(\log \mathbb{k}(p))$  bits, since the  $\text{move(L/R)}$  decision is indeed binary.

This discussion reveals a more general issue with sensor systems. In particular, there are sensor systems whose complexity cannot be well characterized by the number of bits of output.<sup>9</sup> For example, consider a "grandmother" sensor. Such a sensor looks at a visual field and outputs 1 bit, returning #t if the visual field contains a grandmother and #f if it doesn't. Now, one view of the sensor-interpretation problem is that of information reduction and identification (compare Donald and Jennings 1992, which discusses hierarchies of sensor information). However, consider a somewhat different perspective, that views sensors as model matchers. So, imagine a computational process that calculates the probability  $P(G/V)$  of  $G$  (grandmother) given  $V$  (the visual field)—i.e., the probability that  $G$  is in the data (the visual field itself). The sensor in the former case is something specific only to detecting grandmothers, while the latter prefers to see a grandmother as the model that best explains the current data. The latter is a process that computes over model classes. For example, this sensor might output **Tiger** (when given a fuzzy picture that is best explained as a tiger).

In short, one may view a sensor system as storing prior distributions. These distributions bias it toward a fixed set of model classes. In principle, the stored distributions

may be viewed either as calibration or internal state. To quantify the absolute information complexity of a sensor system, we need to measure the information complexity of model classes stored in the prior distribution of the sensor. This could be very difficult.

Instead, we propose to measure a quantity called the *maximum bandwidth* of a sensor system. Intuitively, this quantity is the maximum over all internal and external edge bandwidths (data paths). That is:

**DEFINITION 12.** We define the *internal* (resp. *external*) *bandwidth* of a sensor system  $\mathcal{S}$  to be the greatest bandwidth of any internal (resp. external) edge in  $\mathcal{S}$ . The *output size* of  $\mathcal{S}$  is given by Definition 3. We define the *maximum bandwidth* to be the greater of the internal bandwidth, external bandwidth, and the output size of  $\mathcal{S}$ . We call a sensor system *monotonic* if its internal and external bandwidths are bounded above by its output size.

The maximum bandwidth is an upper bound on the relative intrinsic output complexity (relativized to the information complexity of the components; (see Sections 3 and 3.5.1)). We explore this notion briefly below.

Maximum bandwidth is a measure of internal information complexity. The bandwidth is a measure of information complexity only *relative* to the sensoriccomputational components of the system. For example, imagine that we had a sensor system with a single component that outputs 1 bit when it recognizes a complicated model (say, a grandmother). The only data path in the system has bandwidth 1 bit, because the single component in the system is very powerful. So, even though the maximum bandwidth is small, the absolute information complexity may be large.

Therefore, some sensors are black boxes. We call a sensor system a *black box* if it is encoded as a single component. The only measure of bandwidth we have for a black box is its output size. For example, the odometry system  $\text{odom}$  and the  $\theta$ -source system  $\theta$  in Section 4 are black boxes.

More generally, we call a sensor system *monotonic* if its internal bandwidth is bounded above by its output size. So, black-box sensors are trivially monotonic. All the sensor systems in Donald (1995) are monotonic. If we believe that the output size of our protocols is  $O(\log \mathbb{k}(p))$  bits, then our sensor systems are also monotonic. If we believe the output size is 2 bits, they are not. In any case, the bandwidths of Protocols P.I(QS) and P.II are  $\log \mathbb{k}(\Delta x)$  and  $\log \mathbb{k}(\Delta\theta)$  (resp.). Since  $2r \tan \theta(t) = \Sigma(t)$ , we argue that these two systems have the same maximum bandwidth.

The definitions and arguments in this section generalize *mutatis mutandis* from sensor systems to manipulation circuits.

8. To see that instrumenting  $\Delta\theta$  and  $\Delta x$  require the same number of bits requires an argument such as the decalibration lemmas of Horswill (1992). For this article, we can see this from the relation  $2r \tan \theta(t) = \Sigma(t)$ .

9. This discussion devolves to a suggestion of Sundar Narasimhan, for which we are very grateful.

### 3.5.2. Reductions Using Communication

In light of this discussion, we now define the reduction  $\leq_1$  from Donald (1995), using relativized information complexity. Recall the construction of  $\text{comm}(\cdot)$  as a sensor system (Section 3.2). First, let  $S$  be a monotonic sensor system with output  $z$ . In this case, we define  $\text{comm}(S)$  to be  $\text{comm}(z)$ .

More generally, for (possibly) nonmonotonic sensors, we will let  $\text{comm}(S)$  be  $\text{comm}(2^k)$ , where  $k$  is the *relative intrinsic output complexity* of  $S$ . Measuring this ( $k$ ) in general is difficult, but we will treat the *maximum bandwidth* (Definition 12) of  $S$  as an upper bound on  $k$ .

**DEFINITION 13.** Consider two manipulation circuits,  $S$  and  $Q$ . We say  $S$  is *efficiently reducible* to  $Q$  if

$$S \leq^* Q + \text{comm}(S). \quad (2)$$

In this case, we write<sup>10</sup>  $S \leq_1 Q$ .

Now, permutation (the  $*$  operation) and combination (the  $+$  operation) commute for compatible partial immersions. This is formalized as a distributive property in Donald (1995). So, for example, for any manipulation circuit  $S$ , we have ensured that  $S^* + \text{comm}(\cdot) = (S + \text{comm}(\cdot))^*$ , i.e., we can do the permutation and combination in any order. Second, we have ensured that the combination operation  $+$  is commutative and associative. Third, in the reduction  $\leq_1$ , we have given the single edge  $e$  in  $\text{comm}(\cdot)$  enough bandwidth so that it still works when we switch it ( $e$ ) around using permutation. Hence, the sensor system  $(Q + \text{comm}(S))^*$  from eq. (2) may be implemented as the sensor system  $Q$  permuted in an arbitrary way, plus one extra data path whose bandwidth is that of the largest flow in  $S$ .

Observe that even when  $\leq^*$  is transitive, it appears that  $\leq_1$  is not. To see this, suppose that  $A \leq_1 B$  and  $B \leq_1 C$ . Then it appears that to reduce  $A$  to  $B$  we require one “extra wire,” namely,  $\text{comm}(A)$ , and that to reduce  $B$  to  $C$  we could require another extra wire,  $\text{comm}(B)$ , and therefore, in the worst case, to reduce  $A$  to  $C$ , we could require *two* extra wires. That is, it could be that  $A$  cannot reduce to  $C$  with fewer than two extra wires. We have yet to find a nonartificial example of this lower bound, but it appears to indicate that  $\leq_1$  is not transitive (even for simple sensor systems [Section 3.5]).

Let us summarize. The reduction  $\leq_1$  corresponds to a specific circuit transformation. This transformation can be understood as follows. Let  $S$  be a monotonic sensor system with output  $b$ . Let  $Q$  be another sensor system. We imagine  $Q$  as a circuit embedded in (say) the plane. Let  $\text{comm}(S)$  be a sensor system with one data

path  $e$ , that has bandwidth  $\log k(b)$ . Then, adding output communication to  $Q$  can be viewed as the following transformation on sensor systems:  $Q \mapsto Q + \text{comm}(S)$ . The transformation is parameterized by (the bandwidth of)  $S$ . The bounded-bandwidth data path  $e$  can be spliced into  $Q$  anywhere. We note that this transformation can be composed with permutation (in either order):

$$\begin{array}{ccccc} Q & \mapsto & Q^* & \mapsto & Q^* + \text{comm}(S) \\ \parallel & & & & \parallel \\ Q & \mapsto & Q + \text{comm}(S) & \mapsto & (Q + \text{comm}(S))^* \end{array}$$

The reduction  $\leq_1$  (Definition 13) is a “one-wire” reduction. It does not appear to be transitive. The reduction  $\leq^*$  (Definition 11) is a “zero-wire” reduction. It is transitive for simple sensor systems (Donald 1995). We could analogously define a two-wire, or more generally, any  $k$ -wire reduction  $\leq_k$  by modifying eq. (2) in Definition 13 to

$$S \leq^* Q + k \cdot \text{comm}(S), \quad (2')$$

where  $k \cdot \text{comm}(S)$  denotes  $\overbrace{\text{comm}(S) + \dots + \text{comm}(S)}^{k \text{ times}}$ .

Since  $(\leq^*) = (\leq_0)$ , this suggests a hierarchy of reductions, indexed by  $k$ . The  $k$ -wire reductions  $\{\leq_i\}_{i \in \mathbb{N}}$  form a graded relation. Even though we believe that  $\leq_1$  is not transitive (in the elementary sense), the hierarchy has graded transitivity on simple sensor systems (Donald 1995). This means that for any simple sensor systems  $S$ ,  $Q$ , and  $U$ , if  $S \leq_i Q$  and  $Q \leq_j U$ , then  $S \leq_{i+j} U$ . This follows from a lemma that the zero-wire reduction  $\leq_0$  (called  $\leq^*$  in Definition 11) is elementary transitive for simple sensor systems.

Consider the hierarchy of  $k$ -wire reductions  $\{\leq_i\}_{i \in \mathbb{N}}$ . We say such a hierarchy *collapses* if it is isomorphic to an elementary relation. In particular, the hierarchy of  $k$ -wire reductions ( $k > 0$ ) collapses if  $\leq_1$  is elementary transitive (Donald 1995).

## 4. Comparing Protocols Using Reductions

The results in Section 4 apply using both strong and weak simulation.

We now apply the ideas above to compare our protocols, P.I(QS) and P.II (the circuits in Figures 8, 9, and 10). First, we define two black boxes (see Section 3.5.1). Define the odometry sensor system  $\text{odom}$  to have one vertex, whose label is  $\boxed{\text{odom}}$ . It has a single component, an odometer. Similarly, define the  $\theta$ -source sensor system  $\theta$  to have one vertex and a single component  $\boxed{\theta(t)}$ , which is a  $\theta$ -source. These systems can be installed (or better, spliced) into our circuits. Each black box comes with (simple) co-designation constraints. Vertex  $\boxed{\text{odom}}$  must be installed *on* a robot (either  $L$  or  $R$ ). So, its vertex co-designates with  $L$  or  $R$ . Vertex  $\boxed{\theta(t)}$  must be installed *at* a

<sup>10</sup> In Donald (1993),  $\leq_1$  is also called  $<_s$ .

location *not* on a robot. So, its vertex cannot codesignate with  $L$  or  $R$ . We now show:

**CLAIM 1.** Let P.II, P.I(QS), odom, and  $\theta$  be the sensor systems defined as above. Then,

$$\text{P.II} \leq_k \text{P.I(QS)} - 2\text{odom} + \theta, \quad (3)$$

for  $k = 1$ .

*Proof:* Consider the sensor system  $\mathcal{U}$  obtained by removing both odometers from circuit P.I(QS), and adding a  $\theta$ -source:

$$\mathcal{U} = \text{P.I(QS)} - 2\text{odom} + \theta. \quad (4)$$

Now, consider permutations of  $\mathcal{U}$ , and recall Definition 11. We first ask whether P.II can be reduced to  $\mathcal{U}$  using  $\leq^*$ . That is,  $\text{P.II} \leq^* \mathcal{U}$ ? First, we note that we can move around the registers and  $\square$ s from  $\mathcal{U}$  to situate all the components of P.II. We also have some leftover components (and wires). P.II requires two sign boxes; however, the  $\square_{\text{sgn}}$  box just selects out the sign bit. To build the extra sign box, we can just ignore the other bits, or we can use the leftover hardware from  $\mathcal{U}$  to build a small circuit to simulate  $\square_{\text{sgn}}$ . We need to argue that a register big enough to hold  $x_i(0)$  will also hold  $\theta_0$ ; this follows from  $2r \tan \theta(t) = \Sigma(t)$ , or from decalibration (Horswill 1992). Next, we see that we can permute the internal edges of  $\mathcal{U}$  to wire up the components of P.II in situ—internally. What about externally?

Permuting the external wiring almost works, but not quite.  $\mathcal{U}$  has two external data paths, ( $b'$ ) and ( $b$ ), with bandwidth 2 bits and  $\log k(\Delta x_1)$  bits (resp.) (Figure 12). Now, since we only allow class-edge permutation (as in Section 3.3), we must permute external edges to external edges and internal edges to internal edges. Therefore, in Figure 13, the edge ( $b$ ) from  $\mathcal{U}$  will suffice as a data path from  $\theta(t)$  to  $R$ , since it has adequate bandwidth. However, the data path ( $b'$ ) from  $\mathcal{U}$  does not have adequate bandwidth to carry information from  $\theta(t)$  to  $L$ . To build P.II from  $\mathcal{U}$ , we must add another external data path  $\text{comm}(\cdot)$  from  $\theta(t)$  to  $L$ . Now, what is the argument to  $\text{comm}(\cdot)$ ? This data path must have bandwidth of at least the relative intrinsic output complexity of P.II, or  $\log k(\Delta\theta)$  bits. Hence, we may parameterize this new edge by writing  $\text{comm}(\text{P.II})$ , following Section 3.5.1. We then see that

$$\text{P.II} \leq (\mathcal{U} + \text{comm}(\text{P.II}))^*. \quad (5)$$

Therefore, by Definition 11,

$$\text{P.II} \leq^* \mathcal{U} + \text{comm}(\text{P.II}), \quad (6)$$

so using Definition 13, we have  $\text{P.II} \leq_1 \mathcal{U}$ . Hence, we conclude

$$\text{P.II} \leq_1 \text{P.I(QS)} - 2\text{odom} + \theta, \quad (7)$$

which implies eq. (3) as desired.  $\square$

This formalizes our intuition that, by removing odometry but adding relative normal sensing, we can accomplish the pushing task without explicit communication. More precisely, we show how to build one circuit P.II efficiently out of the other ( $\mathcal{U}$ ). To transform P.I(QS) into P.II, the operators  $+$  and  $-$  quantify what resources we add and delete. Relative information complexity allows us to measure the effective communication through the world. The permutation quantifies the redistribution of resources.

## 5. Trade-Offs and Equivalences

The results in Section 5 hold for both strong and weak simulation.

In Section 4, we have shown how to compare distributed manipulation protocols by analyzing their resource consumption. In this section, we describe how the equivalences established between two different protocols for the same task can be used to determine *resource trade-offs* for the task.

Consider the reduction  $\leq_0$ , and suppose that  $S \leq_0 Q$ . If  $S$  reduces to  $Q$ , then there exists a permutation  $Q^*$  of  $Q$  such that  $S \leq Q^*$ . Note that  $S \leq Q$  implies that  $S \leq_0 Q$ . This is because the identity permutation (no change) of  $Q$  is a valid (if trivial) permutation of  $Q$ . Hence, if  $S \leq Q$ , we take  $Q^* = Q$  to obtain  $S \leq_0 Q$ .

Let  $A$  and  $B$  be any manipulation circuits. In this section, we will think of  $A$  and  $B$  as “resources”—for example, a primitive action or sensing operation. Suppose that we know

$$S =_0 Q, \quad (8)$$

and we also have

$$S =_0 (Q - B + A). \quad (9)$$

Eq. (9) is shorthand for  $S \leq_0 (Q - B + A)$  and  $Q \leq_0 S - A + B$ .

Eqs. (8) and (9) together argue that  $B - A = 0$ ; in other words, that  $B$  and  $A$  trade-off, or are equivalent. One caveat is that “ $B = A$ ” is only valid for the task specified in the codesignation constraints (Donald 1995) of  $Q$  and  $S$ . For example, we prove resource trade-offs for our two manipulation circuits; but we only claim these trade-offs for the pushing task. For some other task, resources  $A$  and  $B$  may not function equivalently.<sup>11</sup>

This gives the following procedure to prove trade-offs for manipulation circuits  $X$  and  $Y$  and resources  $A$  and  $B$ . The key idea in the following is that the relation  $=_0$  in eqs. (8) and (9) can be different—for example,  $\equiv$ ,  $=_m$ ,

11. To prove trade-offs across a class of tasks, we must use a mechanism called *universal reduction* (Donald 1995), which is beyond the scope of this article.

or  $\leq_k$ .<sup>12</sup> Even in these cases the resulting information invariant equations provide us with a means of formalizing and proving trade-offs: the catch is that the strength of the trade-off is determined by the strength of the relation.

To prove a trade-off between  $A$  and  $B$  in the information invariant framework:

1. First establish that  $XR_nY$ , where  $R_n$  is a relation in the set  $\{\equiv, =_n, \leq_n\}$  and  $n$  is an integer. Note that the  $n$  is not needed for  $\equiv$ .
2. Then show that  $XS_m(Y - A + B)$  where  $S_m \in \{\equiv, =_m, \leq_m\}$ ,  $m$  is an integer, and  $m \geq n$ .
3. The information invariants formalism then argues that  $A$  and  $B$  are equivalent or they trade-off. More precisely,  $(B - A)T0$ , or  $BTA$ , where the strength of the relation  $T$  is defined by the strength of  $R_n$  and  $S_m$ . That is,  $\equiv$  is stronger than  $=$ , which is stronger than  $\leq$ , and smaller subscripts are stronger than larger subscripts. This defines a partial order on the space of reduction types  $(\{\equiv\} \cup (\{=, \leq\} \times \mathbb{Z}))^2$ , and this partial order in turn defines a hierarchy of trade-offs.

This argument only constitutes a proof in the case where systems  $X$  and  $Y$  are minimal systems (a system  $X$  is minimal for a task if there is no resource  $A$  that is a subset of that system such that the system  $X - A$  can accomplish the task). We can also obtain a proof in the case where resources  $A$  and  $B$  are indispensable resources, even when systems  $X$  and  $Y$  are not minimal. At present, we can only argue empirically that our protocols are near-minimal. Until a proof of the minimality is obtained, we challenge the reader to design and test manipulation protocols with fewer resources!

We can now apply this notion of trade-offs to our protocols. The following theorem summarizes the results in terms of resources.

**THEOREM 1.** The following reductions and trade-offs hold:

$$\begin{aligned} P.II &\leq_1 P.I(QS) - 2\text{odom} + \theta, \\ P.I(QS) &\leq_0 P.II - \theta + 2\text{odom}, \text{ and} \\ P.II &=_1 P.I(QS) - 2\text{odom} + \theta. \end{aligned}$$

*Proof:* We prove this theorem by arguing Steps 1–3 given earlier in this section. Section 3.1 defines weak simulation as an equivalence relation on manipulation circuits. The Protocols P.II and P.I(QS) solve the same manipulation task, and the configuration of the pushed object evolves identically under each protocol. Hence,

circuits P.II and P.I(QS) are equivalent under weak simulation. In other words,  $P.II \equiv P.I(QS)$ , and therefore  $P.II =_0 P.I(QS)$ , mirroring eq. (8), above. This establishes Step 1.

Now, by Claim 1, we know that

$$P.II \leq_1 P.I(QS) - 2\text{odom} + \theta. \quad (10)$$

A careful reading of the proof of Claim 1 reveals that

$$P.I(QS) \leq_0 P.II - \theta + 2\text{odom}, \quad (11)$$

as well. To see this, observe that the data path  $\text{comm}(\cdot)$  (see Figure 13) has sufficient bandwidth to emulate the data path  $\text{comm}(s)$  labeled ( $b'$ ) in P.I(QS) (see Figure 12). Next, we observe that eq. (11) implies that

$$P.I(QS) \leq_1 P.II - \theta + 2\text{odom}, \quad (12)$$

since  $(\leq_0) \subset (\leq_1)$ . Then, using the convention of eq. (9), we combine eqs. (10) and (12) to obtain

$$P.II =_1 P.I(QS) - 2\text{odom} + \theta, \quad (13)$$

as desired.

Finally, using Step 3, we argue that the resources  $\theta$  and  $2\text{odom}$  trade-off with strength  $(\equiv, =_1)$ .  $\square$

We can obtain results similar to Theorem 1 for other pushing protocols. Starting with Figures 6 and 7, it is possible to derive a circuit for Protocol P.I. We leave this construction as an exercise for the reader. Once this circuit is drawn and analyzed in the information invariant framework, it is possible to prove

$$P.I =_0 P.I(QS) - 2\text{odom} + 2\text{force},$$

where  $\text{force}$  denotes a sensor system consisting of the force sensor used by Protocol P.I.

## 6. Lower Bounds

We believe it is also possible to show a lower bound of the following form.

**CONJECTURE 1.** Suppose we require strong simulation so that  $\mathcal{S} \leq \mathcal{Q}$  only if  $\mathcal{Q}$  strongly simulates  $\mathcal{S}$ , in the sense of Definition 6.<sup>13</sup> Then we conjecture that eq. (3) does not hold under strong simulation for  $k = 0$ .

*Proof (sketch):* Conjecture 1 can be proven by showing that there is no graph permutation  $\mathcal{U}^*$  of  $\mathcal{U}$  that simulates

12. When  $S \leq_k Q$  and  $Q \leq_k S$ , we write  $S =_k Q$ . When  $S \leq Q$  and  $Q \leq S$ , we write  $S \equiv Q$ .

13. Hence,  $\mathcal{S} \leq_0 \mathcal{Q}$  only if there exists a permutation  $\mathcal{Q}^*$  such that  $\mathcal{Q}^*$  strongly simulates  $\mathcal{S}$ . See the discussion in Section 3.1.

P.II. The proof of Claim 1 sketches such an argument for one particular class of permutations; the trick is to show it for all permutations.

As in Section 3.1, we will use the operator  $*$  for vertex permutations, and  $\star$  for graph permutations. A graph permutation  $\mathcal{U}^*$  can be specified by a pair  $(\phi^*, \sigma)$  where  $\phi^*$  is a vertex permutation and  $\sigma$  is an edge permutation. Now, the codesignation constraints for Protocols P.I(QS) and P.II require that there be exactly two sites ( $L$  and  $R$ ). Each component must be located at exactly one site. Next consider the resources in  $\mathcal{U}$ . Any vertex permutation of  $\mathcal{U}$  is subject to the codesignation constraint that the image of the permutation must inject into the two-point set  $\{L, R\}$ . Hence, any vertex permutation  $\phi^*$  is a *partition* of  $\mathcal{U}$ 's resources such that some subset is located at  $L$  and the rest at  $R$ . More precisely:

**PROPOSITION 1.** Under the codesignation constraint  $\text{im } \phi^* \subset \{L, R\}$ , the partitions of the resources of  $\mathcal{U}$  and the vertex permutations of  $\mathcal{U}$  are in one-to-one correspondence.

For  $n$  components, the number of possible partitions is given by

$$N = \sum_{i=0}^n \binom{n}{i}. \quad (14)$$

Therefore,  $N$  (eq. [14]) bounds the number of possible vertex permutations. We also observe that the number of edges is at most  $O(n^2)$ , and hence the number of edge permutations is bounded by  $O(n^4)$ .

For each graph permutation  $\phi^* = (\phi^*, \sigma)$ , we obtain a new manipulation circuit  $\mathcal{U}^* = (\mathcal{U}, \phi^*)$  whose simulation function is  $\Omega_{\mathcal{U}^*}$ . A proof would be obtained by enumerating each graph permutation  $\phi^*$ , and proving that

$$\Omega_{\mathcal{U}^*} \neq \Omega_{\text{P.II}}. \quad (15)$$

We have checked many reasonable permutations and shown that eq. (15) holds. However, we have not checked them all.

In principle, since there are only a finite number of permutations, they could all be enumerated. Since  $\Omega_{\text{P.II}}$  and  $\Omega_{\mathcal{U}^*}$  are semi-algebraic, in principle there is a computer algorithm to prove the lower bound conjectured using the techniques of Section 7. It would be of great value to prove the lower bound, and also of interest to find a more efficient proof technique, since  $Nn^4$  is large.  $\square$

Our conjecture only stands for strong simulation. It is not known whether eq. (3) holds for  $k = 0$  under weak simulation. Proving this would require showing that for each graph-permuted system  $\mathcal{U}^*$ , the resulting dynamical system has a different limit set and therefore could

not emulate the dynamical behavior of Protocol P.II. We believe this is unlikely: it seems plausible that if all the resources of  $\mathcal{U}$  were colocated on a single robot, then a reasonable pushing algorithm could be developed. This trick is ruled out by maintaining our insistence on strong simulation. Strong simulation (Section 3.1) requires that the output of each manipulation circuit be identical in corresponding configurations. This is impossible to accomplish unless the actuators in  $\mathcal{U}$  are distributed across  $L$  and  $R$  in the same manner as in Protocol P.II.

## 7. Computing Reductions

Claim 1 is a proof done by hand; that is, we can in principle determine that eq. (3) holds (for  $k > 0$ ) by showing—"by hand"—the existence of a suitable permutation. It is somewhat surprising that for strong simulation (but not for weak simulation) we can in fact automate this process: Donald (1995) gives algorithms for deciding the relation  $\leq_1$ . More precisely, given suitable encodings of two sensor systems  $\mathcal{S}$  and  $\mathcal{U}$ , we can computationally decide whether  $\mathcal{S} \leq_1 \mathcal{U}$  (Donald 1995). The algorithm is too complicated to describe here. We examine a special case to give a flavor for it; many details are omitted. The basic idea involves employing the theory of real closed fields with bounded quantification. Let us for a moment restrict our reductions to vertex permutation alone (Definition 7). First, suppose that  $\mathcal{S}$  and  $\mathcal{U}$  each have  $d$  vertices. Then an immersion of  $\mathcal{S}$  can be encoded as a point in  $C^d$ . More generally, a partial immersion  $\phi$  whose domain contains  $l \leq d$  vertices can be modeled as a point in  $C^l$ . We can guess a (vertex) permutation  $\phi^*$  of  $\phi$  by existentially quantifying over the configurations of the  $l$  vertices inside  $\phi$ 's domain. Hence, the space of permutations of  $\phi$ , denoted  $\Sigma(\phi)$ , is isomorphic to  $C^l$ . Similarly, we can verify a Tarski sentence for all extensions  $\bar{\phi}$  of  $\phi$ , by universal quantification over the  $d - l$  vertices outside the domain of  $\phi$ . Therefore, the space of all extensions of  $\phi$ ,  $\text{ex } \phi$ , is isomorphic to  $C^{d-l}$ . We will model (algebraic) codesignation constraints as a (possibly constant) semi-algebraic (s.a.) mapping  $\bar{\phi} \mapsto D(\bar{\phi})$  taking an immersion  $\bar{\phi}$  to an s.a. set  $D(\bar{\phi}) \subset C^d$ . All these methods generalize to graph permutation as well (Donald 1995).

**DEFINITION 14.** A *simulation function*  $\Omega_{\mathcal{U}}$  for  $\mathcal{U}$  is a map  $\Omega_{\mathcal{U}} : C^d \rightarrow R$ , where  $R$  is the space of outputs. We call the value  $\Omega_{\mathcal{U}}(\phi) \in R$  of  $\Omega_{\mathcal{U}}$  on a sensor configuration  $\phi$  the *output value* or *sensor value* at  $\phi$ .

**DEFINITION 15.** We call a sensor system  $\mathcal{U}$  *algebraic* if it is algebraically codesignated (Section 3.5), has an algebraic configuration space  $C$ , and a semi-algebraic algebraic simulation function  $\Omega_{\mathcal{U}}$ .

How do we construct and permute simulation functions? Recall the discussion of simulation functions for components and connections above. To decide  $\leq^*$  means to decide whether or not  $(\mathcal{S}, \phi) \leq^* (\mathcal{U}, \psi)$ . Therefore, we must decide whether there exists a permutation  $\psi^*$  of  $\psi$  such that  $(\mathcal{S}, \phi) \cong (\mathcal{U}, \psi^*)$ . Computationally, this requires deciding the Tarski sentence:<sup>14</sup>

$$(\exists \psi^* \in \Sigma(\psi), \forall \bar{\phi} \in \text{ex } \phi, \forall \bar{\psi}^* \in D_{\mathcal{S}}(\bar{\phi}) \cap \text{ex } \psi^*) : \Omega_{\mathcal{S}}(\bar{\phi}) = \Omega_{\mathcal{U}}(\bar{\psi}^*). \quad (16)$$

Here,  $D_{\mathcal{S}}(\cdot)$  models the codesignation constraints; they require the choice of extension  $\bar{\psi}^*$  by the inner quantifier to depend on the extension  $\bar{\phi}$  selected by the middle quantifier. When comparing two sensor systems  $\mathcal{S}$  and  $\mathcal{U}$ , we typically must install and calibrate them in some appropriate relative configuration—i.e., in the spatial relation that the codesignation constraint  $D_{\mathcal{S}}(\cdot)$  encodes.

If we can decide  $\leq^*$ , we can decide  $\leq_1$ . Here is why: to decide  $\leq_1$ , we must determine whether  $(\mathcal{S}, \phi) \leq^* (\mathcal{U}, \psi) + \text{comm}(\mathcal{S})$ , (Definition 13). Recall the definition of *compatibility* for partial immersions (Section 3.4). We first observe that permutation (the  $*$  operation) and combination (the  $+$  operation) commute for compatible partial immersions (Donald 1995). Our arguments above for guessing extensions and permutations can be generalized mutatis mutandis to compute the combination (Definition 8) of two algebraic sensor systems. Since  $\text{comm}(\mathcal{S})$  is a constant-sized sensor system (two vertices, one edge) with only a constant number of codesignation constraints (at most two), we may guess how to combine it with a permutation  $(\mathcal{U}, \psi^*)$  of  $(\mathcal{S}, \psi)$  within the same time bounds given below in Lemma 1 and eqs. (17) and (18).

Let us suppose that  $\mathcal{U}$  and  $\mathcal{S}$  are algebraic. Let us define the size  $d$  of  $\mathcal{U}$  to be the number of vertices in  $\mathcal{U}$ . Let the *simulation complexity*  $n_{\Omega}$  be the size of the simulation functions  $\Omega_{\mathcal{U}}$  and  $\Omega_{\mathcal{S}}$ . We let  $n_D$  measure the complexity of the codesignation constraints  $D_{\mathcal{S}}(\cdot)$  in eq. (16). Then, we can decide eq. (16) in the time bounds below.

**LEMMA 1.** (Donald 1995) There is an algorithm for deciding the relations  $\leq^*$  and  $\leq_1$  for algebraic sensor systems. It runs in time polynomial in the simulation and codesignation complexity  $(n_{\Omega} + n_D)$ , and sub-doubly exponential in the size of the sensor systems. That is, if the system has size  $d$ , the time complexity is

$$(n_{\Omega} + n_D)^{(r_c d)^{O(1)}}, \quad (17)$$

14. We must also be able to compute dominance in calibration complexity (see Definition 10). This can be done independently, and much faster than eq. (16) can be decided; see Donald (1995).

where  $r_c$  is the dimension of the configuration space  $C$  for a single component.

Let us call  $\mathcal{U}$  *small* if  $n_{\Omega}$  and  $n_D$  are only polynomially large in  $d$ , i.e.,  $(n_{\Omega} + n_D) = d^{O(1)}$ . Note that for small sensor systems, eq. (17) becomes

$$d^{(r_c d)^{O(1)}}. \quad (18)$$

Although complex, eq. (16) is simplified for presentation. The full Tarski sentence also contains codesignation constraints for the outer quantifiers, and is given in Donald (1995). We must warn that in Section 7 we have examined a special case, where  $\mathcal{S}$  and  $\mathcal{U}$  are partially situated (that is, the domains of  $\phi$  and  $\psi$  are nonempty). A powerful generalization is given in the Appendix, where the sensor systems can be unsituated.

Computing reductions in the case of weak simulation is also possible, if the limit set of a dynamical system and the checking equality of limit sets can be computed. This is a difficult algorithmic problem, but it is possible in some cases. Work is under way in extending these methods.

## 8. Sensitivity of the Model

We wish to explain whether or not our theory has revealed some universal truth about sensoriccomputational information invariants, or whether the results are sensitive to the particular encodings (circuits) we chose to analyze. How sensitive is our model? We consider two ways to investigate this issue. First, we try changing our model of reduction (specifically, permutation) slightly, to see how that affects our results. Second, we ask, What if the input were re-encoded slightly differently? Would our results change a lot?

Specifically, we ask: How can we compare vertex permutation with graph permutation (Section 3.7)? In particular, (1) If we permit graph permutation instead of vertex permutation, does it change our complexity bounds? and (2) Does graph permutation give us a more powerful reduction than vertex permutation? Question (1) gives us some insight into the sensitivity of our complexity bounds to the model of reduction we use. Question (2) sheds light on whether we can cheaply and cleverly re-encode a sensor system so as to gain a lot of power (information complexity).

Donald (1995) first derives the complexity bounds in Lemma 1 and eqs. (17) and (18) for vertex permutation. Next, Donald (1995) asks: How expensive is it to compute the reductions  $\leq^*$  and  $\leq_1$  using graph permutation? By extending the configuration space  $C^d$  to include all possible edge permutations, we obtain an extended configuration space of sufficiently low dimension

that we still obtain the same complexity bounds given in Lemma 1 and eqs. (17) and (18), (so long as  $r$  and  $s$  are constants—see Section 3.1) (Donald 1995).

We now address question (2): Does graph permutation give us a more powerful reduction? We show:

**LEMMA 2. (THE CLONE LEMMA)** Graph permutation can be simulated using vertex permutation, preceded by a linear-time and linear-space transformation of the sensor system.

*Proof:* Given a sensor system  $\mathcal{U}$ , we clone all its vertices, and attach the edges to the clones. The cloned system simulates the original when each vertex is colocated with its clone. Components remain associated with original vertices. We can move an edge independently of the components it originally connected, by moving its vertices (which are clones). Any graph permutation of  $\mathcal{U}$  can be simulated by a vertex permutation of the cloned system.

More specifically, given a graph  $G = (V, E)$  with labeling function  $\ell$ , we construct a new graph  $G' = (V', E')$  with labeling function  $\ell'$ . Let the cloning function  $\text{cl} : V \mapsto \mathbb{V}$  be an injective map from  $V$  into a universe of vertices  $\mathbb{V}$ , such that  $\text{cl}(V) \cap V = \emptyset$ . We lift  $\text{cl}$  to  $V^2$  and then restrict it to  $E$  to obtain  $\text{cl} : E \rightarrow \text{cl}(V)^2$  as follows: if  $e = (u, v)$ , then  $\text{cl}(e) = (\text{cl}(u), \text{cl}(v))$ . Edge labels are defined as follows:  $\ell'(\text{cl}(e)) = \ell(e)$ .

Finally, we define  $V' = V \cup \text{cl}(V)$  and  $E' = \text{cl}(E)$ . We define the labeling function  $\ell'$  on  $V'$  as follows.  $\ell'(v) = \ell(v)$  when  $v \in V$ . Otherwise,  $\ell'(v)$  returns the identity component, which can be simulated as the identity function.<sup>15</sup>

Suppose  $\mathcal{U}$  has  $d = |V|$  vertices and  $|E|$  edges. This transformation adds only  $d$  vertices, and can be computed in time and space  $O(d + |E|)$ .  $\square$

Let us denote by  $\text{cl}(\mathcal{U})$  the linear-space clone transformation of  $\mathcal{U}$  described in Lemma 2. Now consider any  $k$ -wire reduction  $\leq_k$  (Section 3.5.2). We see that the following holds.

**COROLLARY 1.** Let  $k \in \mathbb{N}$ . Suppose that for two sensor systems  $\mathcal{U}$  and  $\mathcal{V}$ , we have  $\mathcal{V} \leq_k \mathcal{U}$  (using graph permutation). Then  $\mathcal{V} \leq_k \text{cl}(\mathcal{U})$  (using only vertex permutation).

15. The proof can be strengthened as follows. Recall that two components can communicate without an (explicit) connection when they are spatially colocated. Therefore, the proof goes through even if cloned vertices have no associated components, that is,  $\ell'(v) = \emptyset$  for  $v \notin V$ . This version has the appeal of changing the encoding without adding additional physical resources.

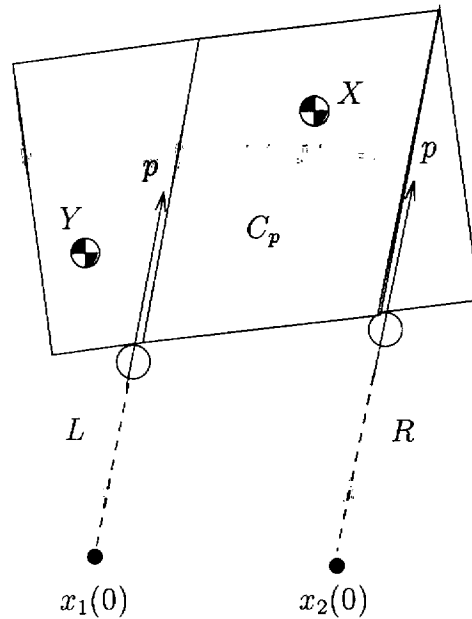


Fig. 14. Two robots pushing a box. If their speeds are equal, we can infer that the COF is at  $Y$ , outside  $C_p$ . However, if the right robot is faster, it is possible that the COF lies between the pushing rays at  $X$ .

## 9. Experiments

Using information invariants, we have presented a formal post hoc analysis of two manipulation protocols for a pushing task. However, we are also using these techniques in our laboratory for synthesis, to develop new manipulation protocols and analyze their robustness and information content. We believe that our techniques are useful both for transforming protocols so as to remove assumptions and thereby increase their generality and robustness, and also to develop new protocols for complex manipulation tasks. We give examples of these applications below, in Sections 9.1 and 9.2. It is our belief that our methods can give valuable insights into the information structure of the task.

### 9.1. Removing Assumptions

The protocols we have described depend critically on the assumption of velocity synchronization. For example, let  $v_1$  and  $v_2$  be the speeds of the robots. Let  $C_p$  be the region between the pushing rays  $p$ . Consider the situation shown in Figure 14. One explanation is that the COF is at location  $Y$  outside of  $C_p$ , and  $v_1 = v_2$ . But a second explanation is that the COF is at  $X$ , inside  $C_p$ , and  $v_2 > v_1$ . Velocity synchronization ( $v_1 = v_2$ ) is key to ensuring that we can infer whether or not the COF is in  $C_p$ .

We have used methods similar to those in Sections 2–7 to develop protocols that do not require synchronization.

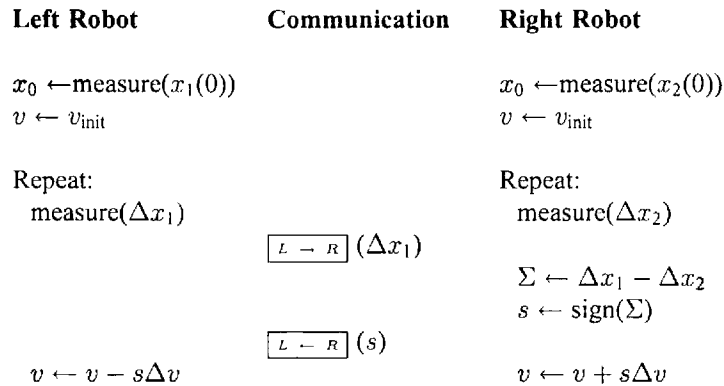


Fig. 15. A simplified version of protocol P.V. Protocol P.V performs velocity synchronization, using explicit local communication (it does not perform the pushing task!). The velocity of each robot is incremented or decremented by a fixed amount  $\Delta v$ , depending on which robot gets ahead. This simplified version assumes that  $\Sigma_0 = 0$ .

Removing explicit synchronization from manipulation protocols is analogous to removing explicit communication. The ideal protocol we started with assumed global coordination and control—i.e., global velocity synchronization. Next, we developed a velocity synchronization protocol, Protocol P.V, with explicit local communication. Given our analysis above, it is very simple to develop such a protocol, and we give the basic idea in Figure 15. Next, we composed it with Protocol P.I(QS) above—this corresponds to splicing the circuits for Protocols P.V and P.I(QS) together. This is slightly more difficult, but using the techniques outlined in this article, it is not too hard to do a careful analysis and get all the special cases right. Finally, we removed all explicit communication; again, the robots communicate through the world (through the task dynamics). We leave it as an exercise for the reader to develop the resulting, communication-free protocol for box pushing without explicit communication or synchronization.<sup>16</sup> It was actually somewhat surprising to us that a uniform asynchronous protocol with no explicit communication can be developed for this task.

### 9.2. Reorienting Large Objects

We would like to show that our methods could also be useful in engineering new protocols for difficult, multirobot manipulation tasks. In this direction, we have

also considered three multirobot manipulation protocols for box reorientation; see Figures 3 and 17 and Donald, Jennings, and Rus (1993a, 1993b); Rus, Donald, and Jennings (1995). We denote them by  $\hat{\Delta}$ ,  $\hat{\Delta}$ , etc. For these protocols, we started with the off-line algorithm  $\hat{\Delta}$  of Rus (1992), which was designed for multifingered robot hands with global coordination. Next, we developed a protocol  $\hat{\Delta}$  for three cooperating mobile robots with local IR communication. In this protocol, only one robot moves at a time, so although the task has been parallelized, it is not load balanced. Finally, in  $\hat{\Delta}$  we removed all explicit communication between agents, and allowed the robots to perform simultaneous, asynchronous manipulation of the box. Protocol  $\hat{\Delta}$  has several advantages over protocol  $\hat{\Delta}$ . Using protocol  $\hat{\Delta}$ , two robots (instead of three) suffice to rotate the box. The protocol is uniform (SPMD) in that the same program (including the same termination predicate) runs on both robots. More interesting, in  $\hat{\Delta}$  it is no longer necessary for the robots to have an a priori geometric model of the box, whereas such a model is required for  $\hat{\Delta}$  and  $\hat{\Delta}$ . Of course, various assumptions must hold for the task to succeed; the point of our analysis is to reveal these assumptions. We are currently completing such an analysis.<sup>17</sup>

In terms of program development, synchrony, and communication, we have the following approximate correspondence between these protocols, as shown in Figure 16. We believe that a methodology for developing coordinated manipulation protocols is emerging, based on the tools described in this article (Donald, Jennings, and Rus

16. Hint: the easiest way to compose the control loops is to first add 2 bits of explicit communication. A 1-bit  $L \rightarrow R$  data path tells  $R$  when  $L$  has broken contact. A symmetric  $L \leftarrow R$  data path tells  $L$  when  $R$  breaks contact. The need for this communication falls out of a synthesis similar to the one we presented. However, a careful analysis then shows that even these two bits of (explicit) communication can be removed.

17. In particular, we have considerably improved the protocol  $\hat{\Delta}$  from Donald, Jennings, and Rus (1993a). See Rus, Donald, and Jennings (1995).

Pushing Task	Reorientation Task	
Protocol O	$\triangle$	global coordination and control
Protocol I(QS)	$\triangle$	local IR comm, partial synchrony, MPMD
Protocol II	$\triangle$	uniform, SPMD, asynchronous

Fig. 16. Summary of parallel manipulation protocols.

1993a, 1993b; Rus, Donald, and Jennings 1995; Donald 1995), as follows.

### Developing Parallel Manipulation Protocols

1. Start with a sensorless (Erdmann and Mason 1988; Erdmann, Mason, and Vanecek 1993) or near-sensorless (Erdmann 1993b; Jennings and Rus 1993) manipulation protocol requiring global coordination of several agents; e.g., fingers (Goldberg 1993; Rus 1992), or fences (Peshkin 1986). Examples include  $\triangle$  above (Rus 1992) or Protocol P.O (Figure 5).
2. Distribute the protocol over spatially separated agents. Synchronize and coordinate control using explicit local communication. Examples include Protocol P.I(QS), or  $\triangle$  above.
3. Define a *virtual sensor*<sup>18</sup> that measures the key signal we wish to servo on. An example is  $\Sigma$  (or better,  $s = \text{sign}(\Sigma - \Sigma_0)$ ) in P.I(QS) (Figure 8).
4. Find a way to implement this virtual sensor using concrete sensors on mechanical observables. An example is  $n(t)$  in P.II (Figure 11).
5. Transform the communication between two agents  $L$  and  $R$  into shared data structures.
6. Implement the shared data structures as “mechanical registers.” For example,  $\theta(t)$  is a register that  $L$  and  $R$  share.

We believe that our methods are useful for developing parallel manipulation protocols. We think that information invariants can serve as a framework in which to measure the capabilities of robot systems, to quantify their power, and to reduce their fragility with respect to assumptions that are engineered into the control system or the environment. We believe that the equivalences that can be derived between communication, internal state, external state, computation, and sensors, can prove valuable

in determining what information is required to solve a task, and how to direct a robot’s actions to acquire that information to solve it.

## 10. Discussion

Our work raises a number of questions. For example, Can robots externalize, or record state in the world? The answer depends not only on the environment, but also upon the dynamics. A juggling robot probably cannot. On a conveyor belt, it may be possible (suppose “bad” parts are reoriented so that they may be removed later). However, it is certainly possible during quasistatic manipulation by a single agent. In moving toward multi-agent tasks and at least partially dynamic tasks, we are attempting to investigate this question in both an experimental and theoretical setting.

Consider the relation  $=_k$  defined in Section 5.  $=_k$  is only an equivalence relation for  $k = 0$ , although  $=_k$  does obey *graded* transitivity (Donald 1995). By analogy with CT reductions, we may ask, Does a given class of sensoricomputational systems contain complete circuits, to which any member of the class may be reduced? Note that any two complete circuits are graded equivalent under  $=_k$ .

Finally, Can we record programs in the world in the same way we may externalize state? Is there a universal manipulation circuit that can read these programs and perform the correct strategy to accomplish a task? Such a mechanism might lead to a robot that could infer the correct manipulation action by performing sensorimotor experiments.

## Appendix: What Is Permutation?

In Section 7, we examined a special case, where  $\mathcal{S}$  and  $\mathcal{U}$  are partially situated (that is, the domains of  $\phi$  and  $\psi$  are nonempty). A powerful generalization is given in Donald (1995), where the sensor systems can be unsituated. Using the ideas in Section 7, we can give an abstract version of permutation that is applicable to partially immersed sensor systems with codesignation constraints. Each set of codesignation constraints defines a different arrangement in the space of all immersions. Each cell in the arrangement, in turn, corresponds to a region in  $C^d$ .

Permutation corresponds to selecting a different family of immersions, while respecting the codesignation constraints. Since this corresponds to choosing a different region of  $C^d$ , the picture of abstract permutation is really not that different from the computational model of situated permutations discussed in Section 7. Suppose a simple sensor system  $\mathcal{U}$  has  $d$  vertices, two of which are  $u$  and  $v$ . When there is a codesignation constraint for  $u$  and  $v$ , we write that the relation  $u \sim v$  must hold. This

18. We use the term in the sense of Donald and Jennings (1992); others, particularly Henderson, have used similar concepts.

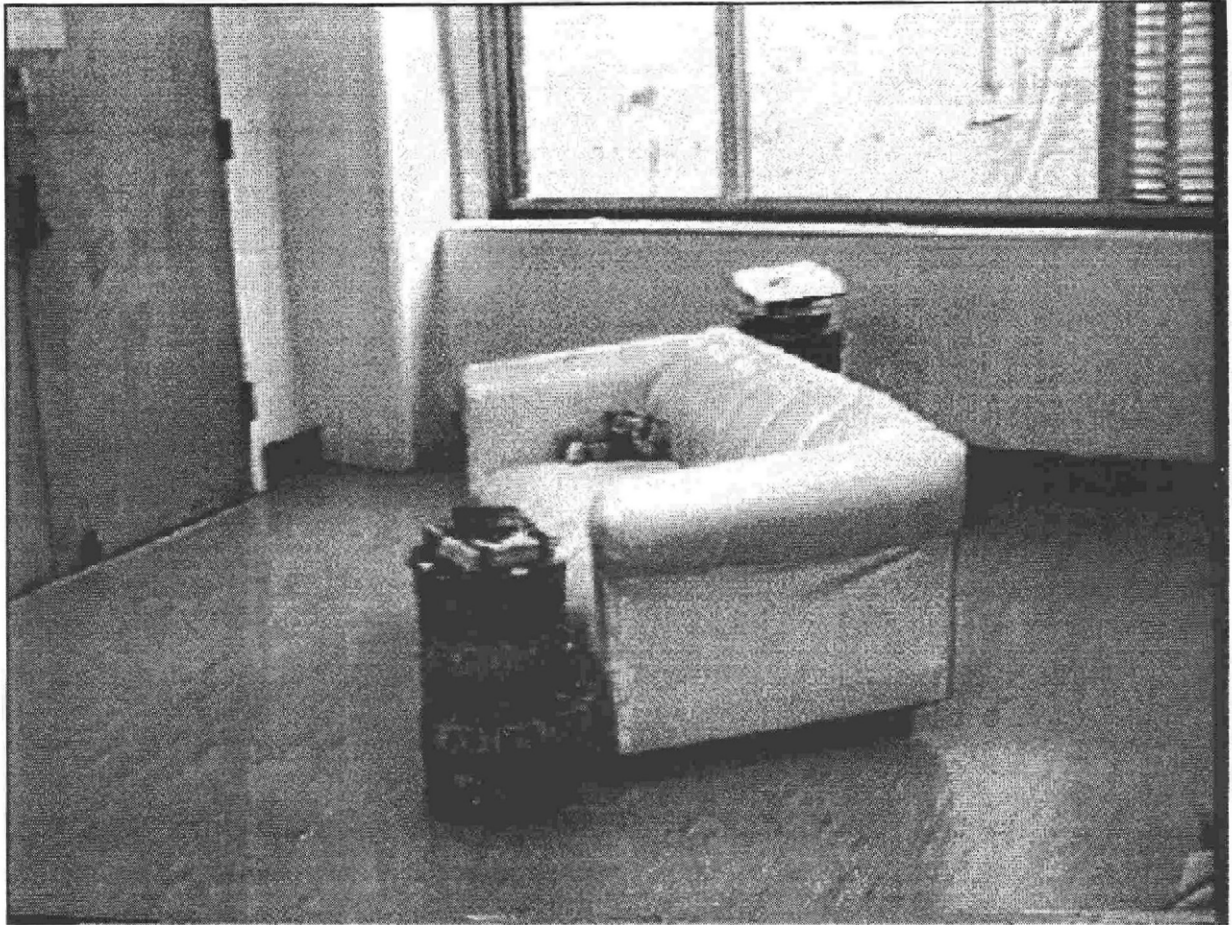


Fig. 17. TOMMY and LILY reorient a couch using an asynchronous SPMD protocol requiring no explicit communication.

relation induces a quotient structure on  $C^d$ , and the corresponding quotient map  $\pi : C^d \rightarrow C^d/(u \sim v)$  identifies the two vertices  $u$  and  $v$ . Similarly, we can model a non-codesignation constraint as a diagonal  $\Delta \subset C^d$  that must be avoided. Abstract permutation of  $\mathcal{U}$  can be viewed as follows. Let  $D_{\mathcal{U}} = (C^d - \Delta)/(u \sim v)$ .  $D_{\mathcal{U}}$  is the quotient of  $(C^d - \Delta)$  under  $\pi$ . For a partial immersion  $\psi^*$  to be chosen compatibly with the codesignation constraints, we view permutation as a bijective self-map of the disjoint equivalence classes:

$$\{ \pi(\text{ex } \psi^* - \Delta) \}_{\psi^* \in \Sigma(\psi)}. \quad (19)$$

Thus, in general, the group structure for the permutation must respect the quotient structure for codesignation; correspondingly, we call such permutations *valid*. Below, we define the diagonal  $\Delta$ , precisely.

Now, an unsituated sensor system  $\mathcal{U}$  could be modeled using a partial immersion  $\psi_0$  with an empty domain. In this case,  $\text{ex } \psi_0 = C^d$  and eq. (19) specializes to the single equivalence class  $\{ D_{\mathcal{U}} \}$ . In this singular case, we can

take several different approaches to defining unsituated permutation. 1) We may define that  $\psi_0^* = \psi_0$ . Although consistent with situated permutation, 1) is not very useful. We choose a different definition. For unsituated permutation, we redefine  $\Sigma(\psi_0)$  and  $\text{ex } \psi_0$  in the special case where  $\psi_0$  has an empty domain. 2) When  $\mathcal{U}$  is simple, we may define  $\Sigma(\psi_0)$  to be the set of colocations of vertices of  $\mathcal{U}$ . That is, let  $(x_1, \dots, x_d)$  be a point in  $C^d$ , and define the  $ij$ th diagonal  $\Delta_{ij} = \{ (x_1, \dots, x_d) \mid x_i = x_j \}$ . Define permutation as a bijective self-map of the cells in the arrangement generated by all  $\binom{d}{2}$  such diagonals  $\{ \Delta_{ij} \}_{i,j=1,\dots,d}$ . So,  $\Sigma(\psi_0)$  is an arrangement in  $C^d$  of complexity  $O(d^{2d^{rc}})$ ,  $\text{ex } \psi_0^* \in \Sigma(\psi_0)$  is a cell in the arrangement, and  $\psi_0^* \in \text{ex } \psi_0^*$  is a witness point in that cell. Hence  $\psi_0^*$  is a representative of the equivalence class  $\text{ex } \psi_0^*$ . As in situated permutation, unsituated permutation can be viewed as a self-map of the cells  $\{ \text{ex } \psi_0^* \}$  or (equivalently) as a self-map of the witnesses  $\{ \psi_0^* \}$ . Perhaps the cleanest way to model our main examples (Section 4) is to treat all the sensor systems as initially unsituated, yet respecting all the (non)codesignation con-

straints. This may be done by i) algebraically specifying all the codesignation constraints, ii) letting the domain of each immersion be empty, and iii) using (2) above, choose unsituated permutations that respect the codesignation constraints. The methods of Section 7 can be extended to guess unsituated permutations. In our examples (Section 4), each guess (i.e., each unsituated permutation) corresponds to a choice of which vertices to collocate.<sup>19</sup>

### An Example

Unsituated permutation is quite powerful. Consider deciding eq. (16) (in this example, we only consider vertex permutation of simple sensor systems). In particular, we want to see that eq. (16) makes sense for unsituated permutation, when we replace  $\psi$  by  $\psi_0$ ,  $\phi$  by  $\phi_0$ , etc., to obtain:

$$(\exists \psi_0^* \in \Sigma(\psi_0), \forall \bar{\phi}_0 \in \text{ex } \phi_0, \forall \bar{\psi}_0^* \in D_S(\bar{\phi}_0) \cap \text{ex } \psi_0^*) : \Omega_S(\bar{\phi}_0) = \Omega_U(\bar{\psi}_0^*). \quad (16')$$

With situated permutation in eq. (16), we are restricted to first choosing the partial immersion  $\phi$ , and thereby fixing a number of vertices of  $\mathcal{S}$ . Next, we can permute  $\mathcal{U}$  to be near these vertices (this corresponds to the choice of  $\psi^*$ ). This process gets the colocations right, but at the cost of generality; we would know that for any topologically equivalent choice of  $\phi$ , we can choose a permutation  $\psi^*$  such that eq. (16) holds. For simple sensor systems, “topologically equivalent” means “with the same vertex colocations.”

Unsituated permutation in eq. (16') allows us to do precisely what we want. In place of a partial immersion  $\phi$  for  $\mathcal{S}$ , we begin with a witness point  $\phi_0 \in C^d$ .  $\phi_0$  represents an equivalence class  $\text{ex } \phi_0$  of immersions, all of which collocate the same vertices as  $\phi_0$ . So,  $\phi_0$  says *which* vertices should be collocated, but not *where*. Now, given  $\phi_0$ , the outer existential quantifier in eq. (16') chooses an unsituated permutation  $\psi_0^*$  of  $\mathcal{U}$ .  $\psi_0^*$  represents an equivalence class  $\text{ex } \psi_0^*$  of immersions of  $\mathcal{U}$ , all of which collocate the same vertices of  $\mathcal{U}$  as  $\psi_0^*$  does. The other, disjoint equivalence classes, are also subsets of  $C^d$ ; each equivalence class collocates different vertices of  $\mathcal{U}$ , and the set of all such classes is  $\Sigma(\psi_0)$  ( $= \Sigma(\psi_0^*)$ ). Choice of  $\psi_0^*$  selects which vertices of  $\mathcal{U}$  to collocate. The codesignation constraint  $D_S(\cdot)$  then enforces that, when measuring the outputs of  $\mathcal{S}$  and  $\mathcal{U}$ , we install them in the same place. More specifically:  $\phi_0$  (given as data) determines which vertices of  $\mathcal{S}$  to collocate; choice of  $\psi_0^*$

determines which vertices of  $\mathcal{U}$  are collocated; construction of  $D_S(\cdot)$  determines which vertices of  $\mathcal{U}$  and  $\mathcal{S}$  are collocated. Most specifically, given the configuration  $\bar{\phi}_0$  of  $\mathcal{S}$ ,  $D_S$  in turn defines a region  $D_S(\bar{\phi}_0)$  in the configuration space  $C^d$  of  $\mathcal{U}$ . This region constrains the necessary coplacements  $\bar{\psi}_0^*$  of  $\mathcal{U}$  relative to  $(\mathcal{S}, \bar{\phi}_0)$ .

Perhaps surprisingly, allowing unsituated permutation does not change the complexity bounds of Section 7 (Donald 1995).

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19. The codesignation relation  $u \sim v$ , the quotient map  $\pi$ , the noncodesignation relation  $\Delta$ , and definition (2) of unsituated permutation, can all be extended to algebraic sensor systems using the methods of Section 7.

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