

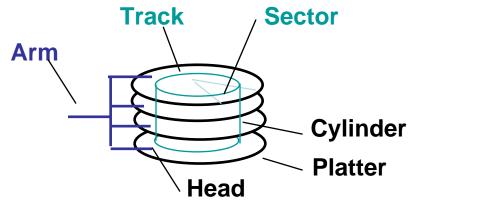
Jeff Chase Duke University



Storage: The Big Issues

- 1. Disks are rotational media with mechanical arms.
 - High access cost \rightarrow caching and prefetching
 - Cost depends on previous access \rightarrow careful block placement and scheduling.
- 2. Stored data is *hard state*.
 - Stored data *persists* after a restart.
 - Data corruption and poor allocations also persist.
 - \rightarrow Allocate for longevity, and write carefully.
- 3. Disks fail.
 - Plan for failure \rightarrow redundancy and replication.
 - RAID: integrate redundancy with striping across multiple disks for higher throughput.

Rotational Media

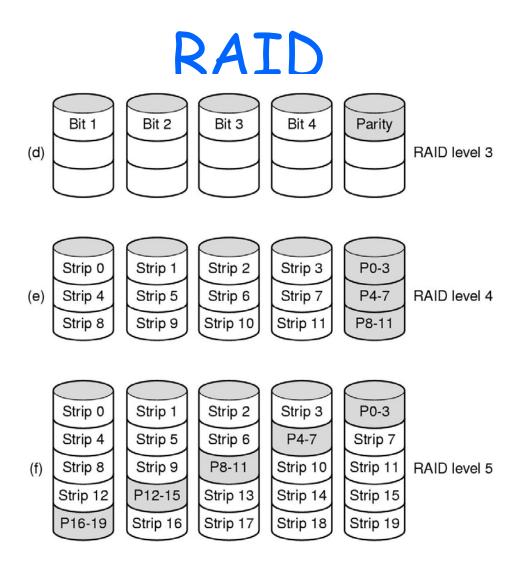




<u>Access time = seek time + rotational delay + transfer time</u>

seek time = 5-15 milliseconds to move the disk arm and settle on a cylinder *rotational delay* = 8 milliseconds for full rotation at 7200 RPM: average delay = 4 ms *transfer time* = 1 millisecond for an 8KB block at 8 MB/s

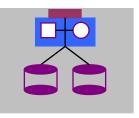
Bandwidth utilization is less than 50% for any noncontiguous access at a block grain.



- Raid levels 3 through 5
- Backup and parity drives are shaded

Disks and Drivers

- Disk hardware and driver software provide foundational support for *block devices*.
- OS views the block devices as a collection of *volumes*.
 - A logical volume may be a *partition* of a single disk or a *concatenation* of multiple physical disks (e.g., RAID).
 - volume == LUN
- Each volume is an array of fixed-size sectors.
 - Name sector/block by (volumeID, sector ID).
 - *Read/write* operations DMA data to/from physical memory.
- Device interrupts OS on I/O completion.
 - ISR wakes process, updates internal records, etc.

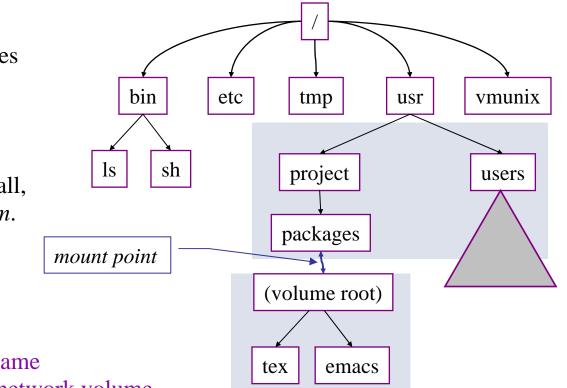


A Typical Unix File Tree

Each volume is a set of directories and files; a host's *file tree* is the set of directories and files visible to processes on a given host.

File trees are built by *grafting* volumes from different volumes or from network servers.

In Unix, the graft operation is the privileged *mount* system call, and each volume is a *filesystem*.



 mount (coveredDir, volume)
 te

 coveredDir: directory pathname
 te

 volume: device specifier or network volume
 te

 volume root contents become visible at pathname coveredDir
 te

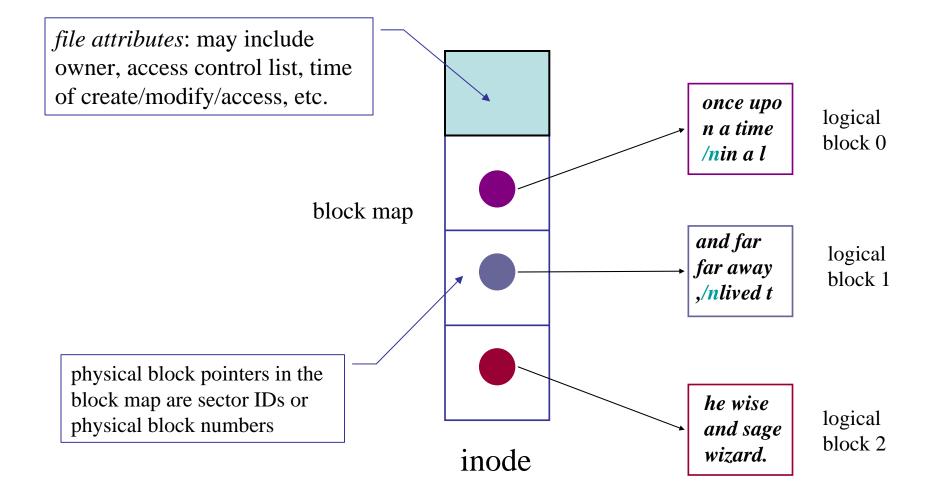
Filesystems

- Files
 - Sequentially numbered bytes or *logical blocks*.
 - Metadata stored in on-disk data object
 - e.g, Unix "inode"
- Directories
 - A special kind of file with a set of name mappings.
 - E.g., name to inode
 - Pointer to parent in rooted hierarchy: .., /
- System calls
 - Unix: open, close, read, write, stat, seek, sync, link, unlink, symlink, chdir, chroot, mount, chmod, chown.

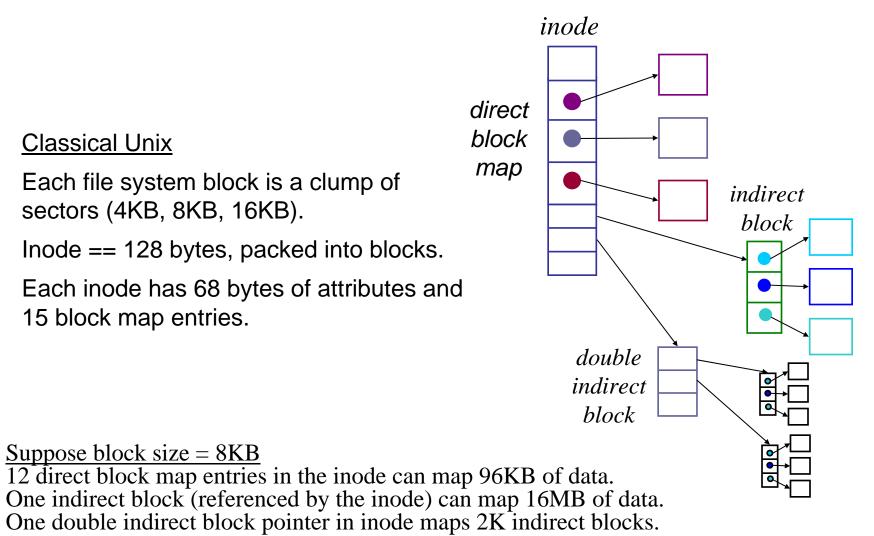
File Systems: The Big Issues

- Buffering disk data for access from the processor.
 - Block I/O (DMA) needs aligned physical buffers.
 - Block update is a read-modify-write.
- Creating/representing/destroying independent files.
- Allocating disk blocks and scheduling disk operations to deliver the best performance for the I/O stream.
 - What are the patterns in the request stream?
- Multiple levels of name translation.
 - Pathname→inode, logical→physical block
- Reliability and the handling of updates.

Representing a File On Disk

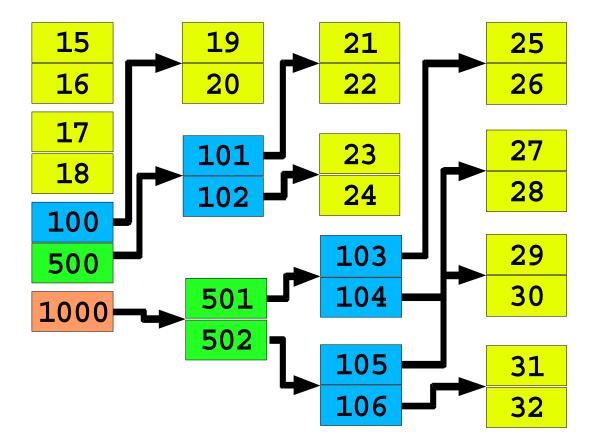


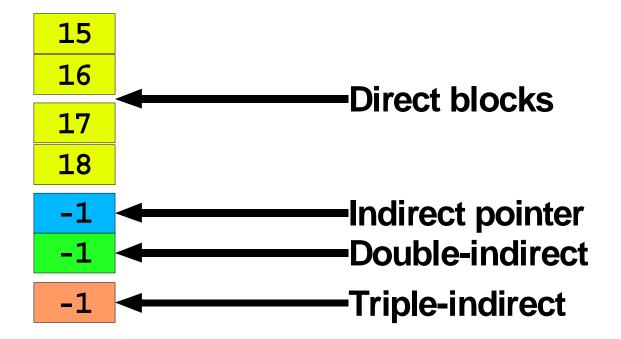
Representing Large Files

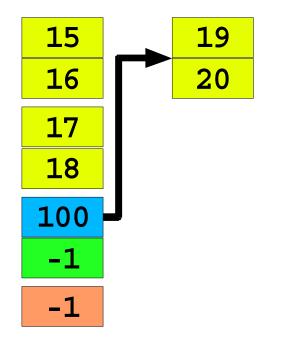


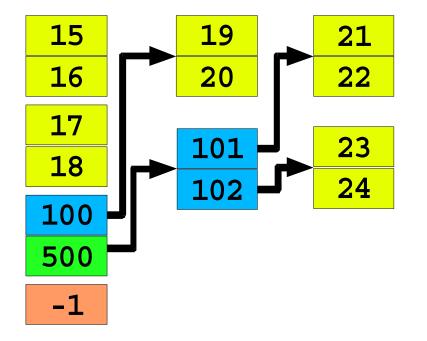
maximum file size is 96KB + 16MB + (2K*16MB) + ...

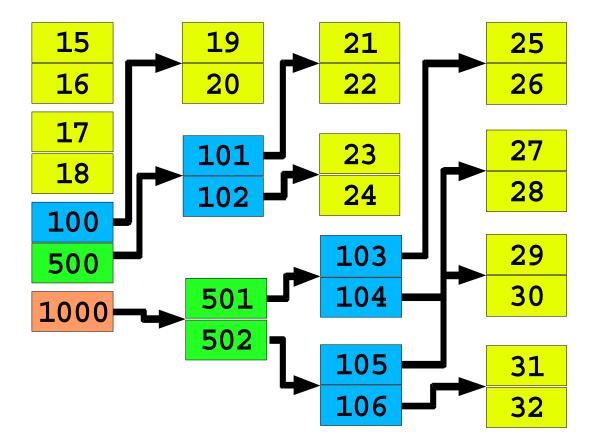
- Intuition
 - Many files are small
 - Length = 0, length = 1, length < 80, ...
 - Some files are *huge (3 gigabytes)*
- "Clever heuristic" in Unix FFS inode
 - 12 (direct) block pointers: 12 * 8 KB = 96 KB
 - Availability is "free" you need inode to open() file anyway
 - 3 indirect block pointers
 - single, double, triple



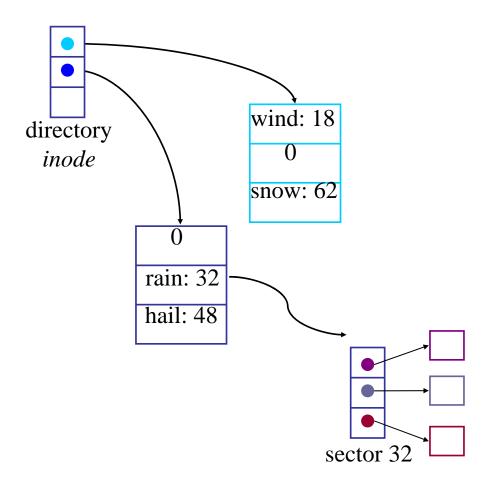






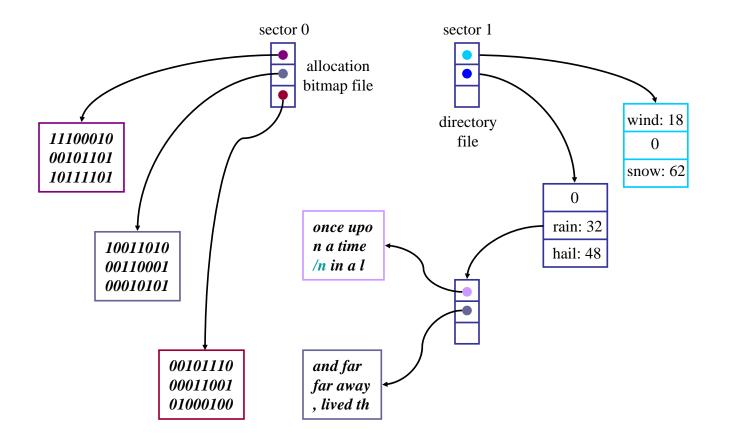


Directories



Entries or slots are found by a linear scan.

A Filesystem On Disk



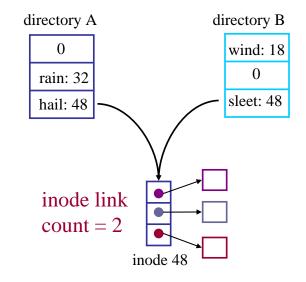
This is just an example (Nachos)

Unix File Naming (Hard Links)

A Unix file may have multiple names.

Each directory entry naming the file is called a *hard link*.

Each inode contains a *reference count* showing how many hard links name it.

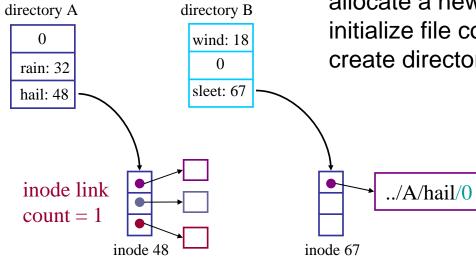


<u>link system call</u> link (existing name, new name) create a new name for an existing file increment inode link count <u>unlink system call ("remove")</u> unlink(name) destroy directory entry decrement inode link count if count == 0 and file is not in active use free blocks (recursively) and on-disk inode

Illustrates: garbage collection by reference counting.

Unix Symbolic (Soft) Links

A soft link is a file containing a pathname of some other file.



symlink system call

symlink (existing name, new name) allocate a new file (inode) with type symlink initialize file contents with existing name create directory entry for new file with new name

> The target of the link may be removed at any time, leaving a dangling reference.

How should the kernel handle recursive soft links?

Failures, Commits, Atomicity

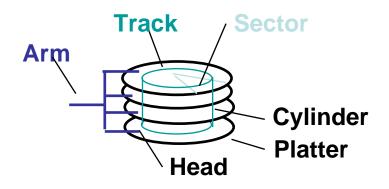
- What guarantees does the system offer about the hard state if the system fails?
 - Durability
 - Did my writes *commit*, i.e., are they on the disk?
 - Atomicity
 - Can an operation "partly commit"?
 - Also, can it interleave with other operations?
 - Recoverability and Corruption
 - Is the metadata well-formed on recovery?

Unix Failure/Atomicity

- File writes are not guaranteed to commit until close.
 - A process can force commit with a sync.
 - The system forces commit every (say) 30 seconds.
 - Failure could lose an arbitrary set of writes.
- Reads/writes to a shared file interleave at the granularity of system calls.
- · Metadata writes are atomic/synchronous.
- Disk writes are carefully ordered.
 - The disk can become corrupt in well-defined ways.
 - Restore with a scrub ("fsck") on restart.
 - Alternatives: logging, shadowing
- Want better reliability? Use a database.

The Problem of Disk Layout

- The level of indirection in the file block maps allows flexibility in file layout.
 - "File system design is 99% block allocation." [McVoy]
- Competing goals for block allocation:
 - allocation cost
 - *bandwidth* for high-volume transfers
 - stamina/longevity
 - efficient directory operations
- <u>Goal</u>: reduce disk arm movement and seek overhead.
 - metric of merit: bandwidth utilization



Bandwidth utilization

<u>Define</u>

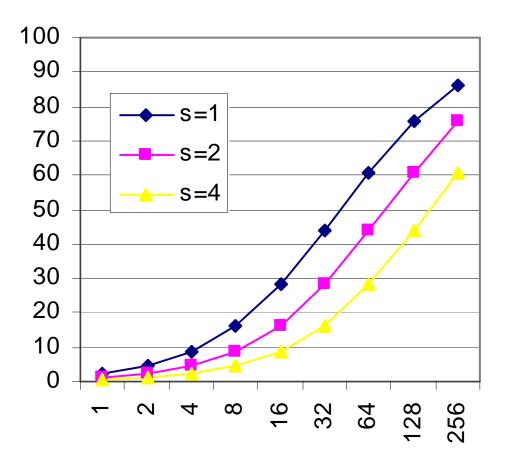
- **b** Block size
- B Raw disk bandwidth ("spindle speed")
- s Average access (seek+rotation) delay per block I/O

<u>Then</u>

Transfer time per block = b/BI/O completion time per block = s + (b/B)Effective disk bandwidth for I/O request stream = b/(s + (b/B))Bandwidth wasted per I/O: sBEffective bandwidth utilization (%): b/(sB + b)

How to get better performance?

- Larger b (larger blocks, clustering, extents, etc.)
- Smaller s (placement / ordering, sequential access, logging, etc.)



Effective bandwidth (%), B = 40 MB/s

Example: BSD FFS

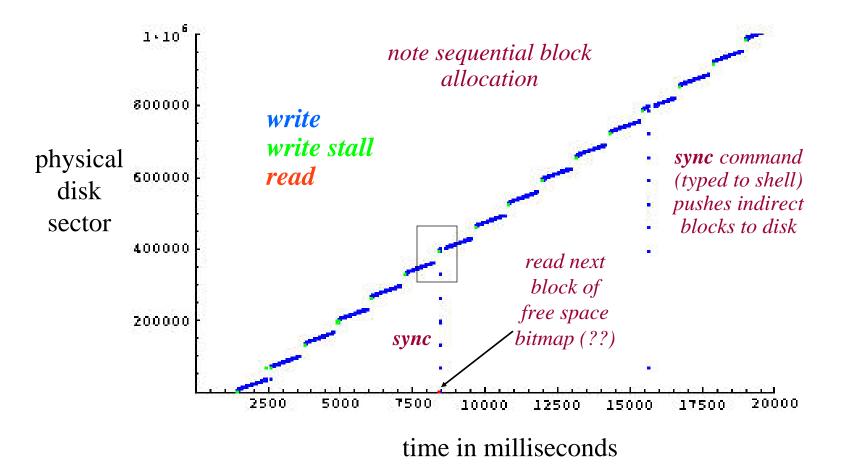
- Fast File System (FFS) [McKusick81]
 - Clustering enhancements [McVoy91], and improved cluster allocation [McKusick: Smith/Seltzer96]
 - FFS can also be extended with metadata logging [e.g., Episode]

FFS Cylinder Groups

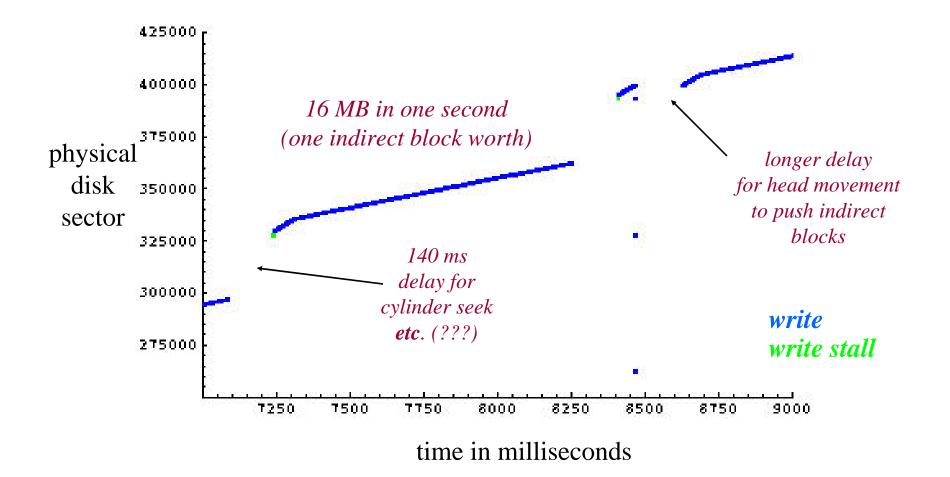
- FFS defines *cylinder groups* as the unit of disk locality, and it factors locality into allocation choices.
 - typical: thousands of cylinders, dozens of groups
 - <u>Strategy</u>: place "related" data blocks in the same cylinder group whenever possible.
 - seek latency is proportional to seek distance
 - Smear large files across groups:
 - Place a run of contiguous blocks in each group.
 - Reserve inode blocks in each cylinder group.
 - This allows inodes to be allocated close to their directory entries and close to their data blocks (for small files).



Sequential File Write



Sequential Writes: A Closer Look



The Problem of Metadata Updates

- Metadata updates are a second source of FFS seek overhead.
 - Metadata writes are poorly localized.
 - E.g., extending a file requires writes to the inode, direct and indirect blocks, cylinder group bit maps and summaries, and the file block itself.
- Metadata writes can be delayed, but this incurs a higher risk of file system corruption in a crash.
 - If you lose your metadata, you are dead in the water.
 - FFS schedules metadata block writes carefully to limit the kinds of inconsistencies that can occur.
 - Some metadata updates must be synchronous on controllers that don't respect order of writes.

FFS Failure Recovery

FFS uses a two-pronged approach to handling failures:

- 1. Carefully order metadata updates to ensure that no dangling references can exist on disk after a failure.
 - Never recycle a resource (block or inode) before zeroing all pointers to it (*truncate, unlink, rmdir*).
 - Never point to a structure before it has been initialized.
 - E.g., sync inode on *creat* before filling directory entry, and sync a new block before writing the block map.
- 2. Run a file system *scavenger* (*fsck*) to fix other problems.
 - Free blocks and inodes that are not referenced.
 - Fsck will never encounter a dangling reference or double allocation.

Alternative: Logging and Journaling

- Logging can be used to localize synchronous metadata writes, and reduce the work that must be done on recovery.
 - Universally used in database systems.
 - Used for metadata writes in journaling file systems
- <u>Key idea</u>: group each set of related updates into a single log record that can be written to disk *atomically* ("all-or-nothing").
 - Log records are written to the log file or log disk *sequentially*.
 - No seeks, and preserves temporal ordering.
 - Each log record is trailed by a marker (e.g., checksum) that says "this log record is complete".
 - To recover, scan the log and reapply updates.

Metadata Logging

<u>Here's one approach to building a fast filesystem</u>:

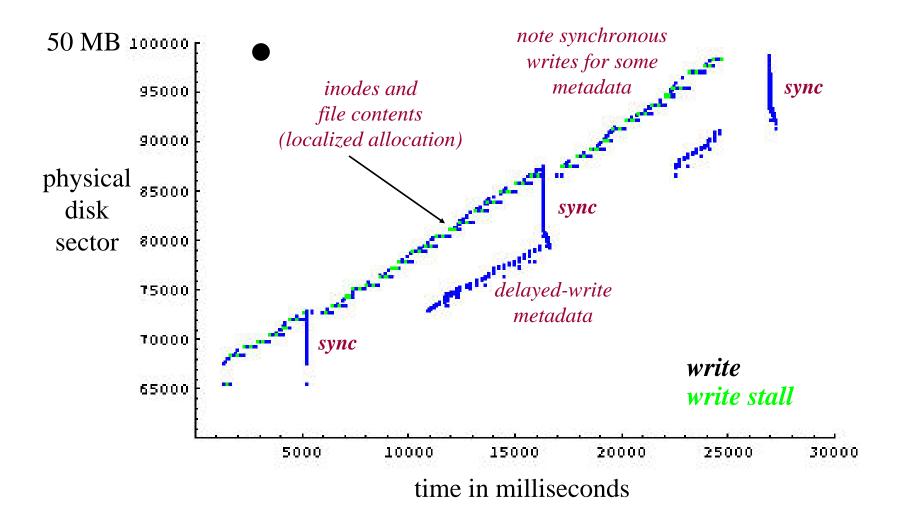
- 1. Start with FFS with clustering.
- 2. Make all metadata writes asynchronous.
- But, that approach cannot survive a failure, so:
- 3. Add a supplementary log for modified metadata.
- When metadata changes, write new versions immediately to the log, *in addition to* the asynchronous writes to "home".
- 5. If the system crashes, recover by scanning the log. Much faster than scavenging (*fsck*) for large volumes.
- 6. If the system does not crash, then discard the log.

Representing Small Files

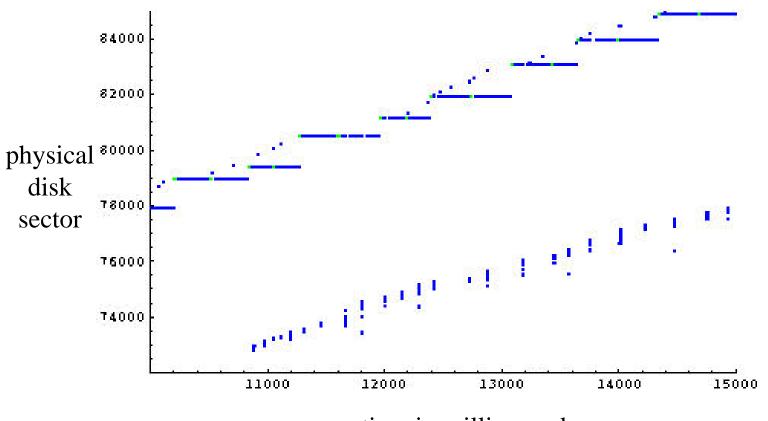
- Internal fragmentation in the file system blocks can waste significant space for small files.
 - E.g., 1KB files waste 87% of disk space (and bandwidth) in a naive file system with an 8KB block size.
 - Most files are small: one study [Irlam93] shows a median of 22KB.
- FFS solution: optimize small files for space efficiency.
 - Subdivide blocks into 2/4/8 *fragments* (or just *frags*).
 - Free block maps contain one bit for each fragment.
 - To determine if a block is free, examine bits for all its fragments.
 - The last block of a small file is stored on fragment(s).
 - If multiple fragments they must be contiguous.

[Provided for completeness]

Small-File Create Storm



Small-File Create: A Closer Look



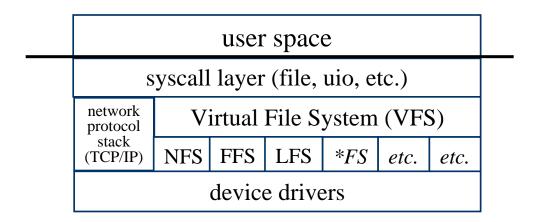
time in milliseconds

Filesystems

- Each file volume (*filesystem*) has a *type*, determined by its disk layout or the network protocol used to access it.
 - ufs (ffs), lfs, nfs, rfs, cdfs, etc.
 - Filesystems are administered independently.
- Modern systems also include "logical" pseudo-filesystems in the naming tree, accessible through the file syscalls.
 - procfs: the /proc filesystem allows access to process internals.
 - *mfs*: the *memory file system* is a memory-based scratch store.
- Processes access filesystems through common syscalls

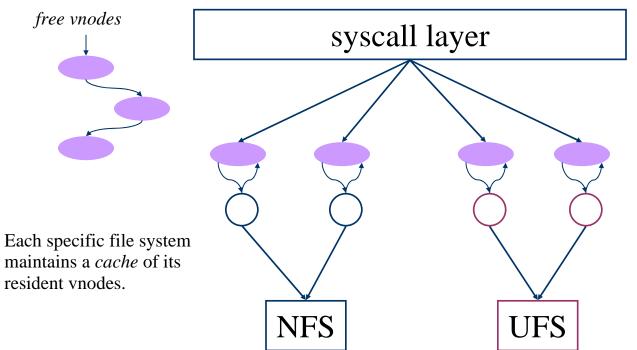
VFS: the Filesystem Switch

- Sun Microsystems introduced the *virtual file system* interface in 1985 to accommodate diverse filesystem types cleanly.
 - VFS allows diverse specific file systems to coexist in a file tree, isolating all FS-dependencies in pluggable filesystem modules.



Vnodes

 In the VFS framework, every file or directory in active use is represented by a *vnode* object in kernel memory.

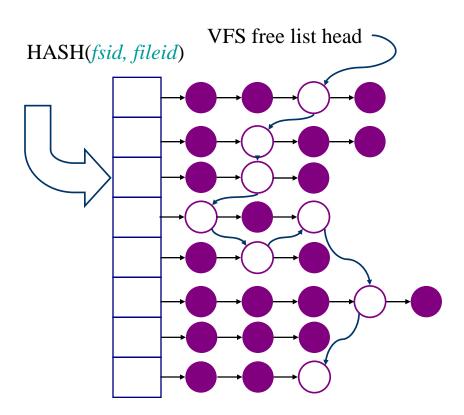


Each vnode has a standard *file attributes* struct.

Generic vnode points at filesystem-specific struct (e.g., *inode*, *rnode*), seen only by the filesystem.

Vnode operations are macros that vector to filesystem-specific procedures.

V/Inode Cache



vget(vp): reclaim cached inactive vnode from VFS free list vref(vp): increment reference count on an active vnode vrele(vp): release reference count on a vnode vgone(vp): vnode is no longer valid (file is removed)

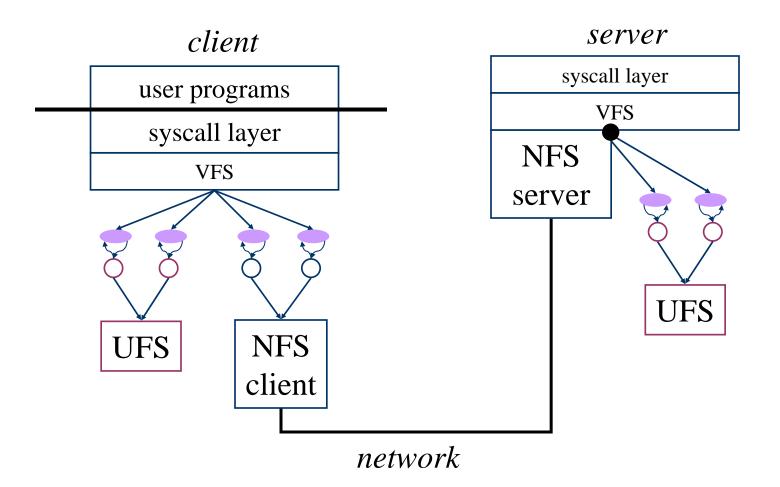
Active vnodes are *reference- counted* by the structures that hold pointers to them.

- system open file table
- process current directory
- file system mount points
- etc.

Each specific file system maintains its own hash of vnodes (BSD).

- specific FS handles initialization
- free list is maintained by VFS

Network File System (NFS)

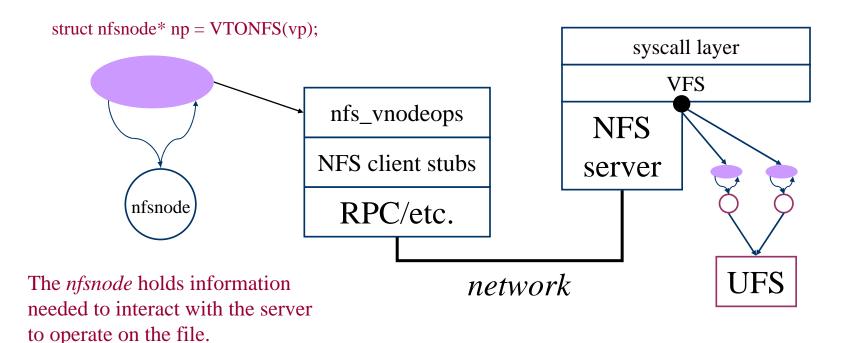


NFS Protocol

- NFS is a network protocol layered above TCP/IP.
 - Original implementations (and most today) use UDP datagram transport for low overhead.
 - Maximum IP datagram size was increased to match FS block size, to allow send/receive of entire file blocks.
 - Newer implementations use TCP as a transport.
 - The NFS protocol is a set of message formats and types for request/response (RPC) messaging.

NFS Vnodes

 The NFS protocol has an operation type for (almost) every vnode operation, with similar arguments/results.



Vnode Operations and Attributes

vnode attributes (vattr) type (VREG, VDIR, VLNK, etc.) mode (9+ bits of permissions) nlink (hard link count) owner user ID owner group ID filesystem ID unique file ID file size (bytes and blocks) access time modify time generation number



vop_getattr (vattr) vop_setattr (vattr) vhold() vholdrele()

generic operations

<u>directories only</u> vop_lookup (OUT vpp, name) vop_create (OUT vpp, name, vattr) vop_remove (vp, name) vop_link (vp, name) vop_rename (vp, name, tdvp, tvp, name) vop_mkdir (OUT vpp, name, vattr) vop_rmdir (vp, name) vop_symlink (OUT vpp, name, vattr) vop_readdir (uio, cookie) vop_readlink (uio)

files only

vop_getpages (page**, count, offset)
vop_putpages (page**, count, sync, offset)
vop_fsync ()

Not to be tested

Pathname Traversal

- When a pathname is passed as an argument to a system call, the syscall layer must "convert it to a vnode".
 - Pathname traversal is a sequence of vop_lookup calls to descend the tree to the named file or directory.

open("/tmp/zot")
vp = get vnode for / (rootdir)
vp->vop_lookup(&cvp, "tmp");
vp = cvp;
vp->vop_lookup(&cvp, "zot");

Issues:

- 1. crossing mount points
- 2. obtaining root vnode (or current dir)
- 3. finding resident vnodes in memory
- 4. caching name->vnode translations
- 5. symbolic (soft) links
- 6. disk implementation of directories
- 7. locking/referencing to handle races with name create and delete operations

File Handles

- <u>Question</u>: how does the client tell the server which file or directory the operation applies to?
 - Similarly, how does the server return the result of a *lookup*?
- In NFS, the reference is a *file handle* or *fhandle*, a token/ticket whose value is determined by the server.
 - Includes all information needed to identify the file/object on the server, and get a pointer to it quickly.

volume ID	inode #	generation #
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Typical NFSv3

NFS: Identity/Security

- "Classic NFS" was designed for a LAN under common administrative control.
 - Common uid/gid space
 - All client kernels are trusted to properly represent the local user identity.
 - Kernels trusted to control access to cached data.
- Volume export (mount privilege) control
 - Access control list at server
 - Subjects are nodes (e.g., DNS name or IP address)
- Mount just gives you a root filehandle: those file handles are capabilities.

NFS: From Concept to Implementation

- Now that we understand the basics, how do we make it work in a real system?
 - How do we make it fast?
 - <u>Answer</u>: caching, read-ahead, and write-behind.
 - How do we make it reliable? What if a message is dropped? What if the server crashes?
 - <u>Answer</u>: client retransmits request until it receives a response.
 - How do we preserve the failure/atomicity model?
 - <u>Answer</u>: well, we don't, at least not completely.
 - What about security and access control?

NFS as a "Stateless" Service

- The NFS server maintains no transient information about its clients; there is no state other than the file data on disk.
- Makes failure recovery simple and efficient.
- no record of open files
- no server-maintained file offsets
 - **Read** and **write** requests must explicitly transmit the byte offset for the operation.
- no record of recently processed requests
 - Retransmitted requests may be executed more than once.
 - Requests are designed to be *idempotent* whenever possible.
 - E.g., no append mode for writes, and no exclusive create.

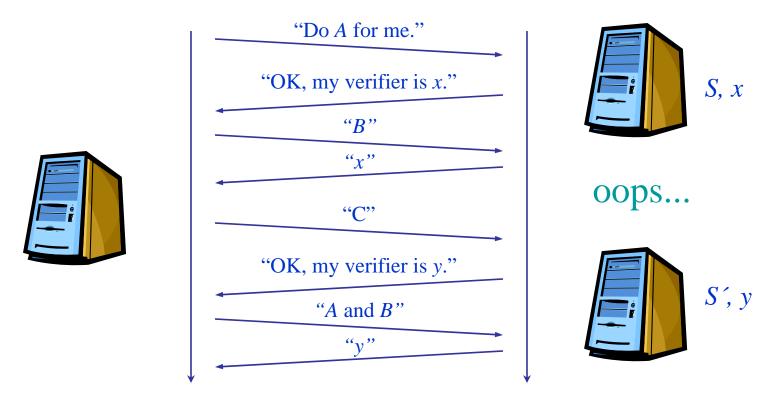
The Synchronous Write Problem

- Stateless NFS servers must commit each operation to stable storage before responding to the client.
 - Interferes with FS optimizations, e.g., clustering, LFS, and disk write ordering (seek scheduling).
 - Damages bandwidth and scalability.
 - Imposes disk access latency for each request.
 - Not so bad for a logged write; much worse for a complex operation like an FFS file write.
- The synchronous update problem occurs for any storage service with reliable update (*commit*).

Speeding Up NFS Writes

- Interesting solutions to the synchronous write problem, used in high-performance NFS servers:
- Delay the response until convenient for the server.
 - E.g., NFS *write-gathering* optimizations for clustered writes (similar to *group commit* in databases).
 - [NFS V3 commit operation]
 - Relies on write-behind from NFS I/O daemons (*iods*).
- Throw hardware at it: non-volatile memory (NVRAM)
 - Battery-backed RAM or UPS (uninterruptible power supply).
 - Use as an operation log (Network Appliance WAFL)...
 - ...or as a non-volatile disk write buffer (Legato).
- Replicate server and buffer in memory (e.g., MIT Harp).

Detecting Server Failure with a Session Verifier



What if y == x?

How to guarantee that y != x?

What is the implication of re-executing *A* and *B*, and after *C*?

Some uses: NFS V3 write commitment, RPC sessions, NFS V4 and DAFS (client).

The Retransmission Problem

- Sun RPC (and hence NFS) masks network errors by retransmitting each request after a timeout.
 - Handles dropped requests or dropped replies easily, but an operation may be executed more than once.
 - Sun RPC has *execute-at-least-once* semantics, but we need execute-at-most-once semantics for non-idempotent operations.
 - Retransmissions can radically increase load on a slow server.

Solutions

- 1. Use TCP or some other transport protocol that produces reliable, in-order delivery.
 - higher overhead, overkill
- 2. Implement an execute-at-most once RPC transport.
 - sequence numbers and timestamps
- 3. Keep a *retransmission cache* on the server.
 - Remember the most recent request IDs and their results, and just resend the result....does this violate statelessness?
- 4. Hope for the best and smooth over non-idempotent requests.
 - Map ENOENT and EEXIST to ESUCCESS.

File Cache Consistency

- Caching is a key technique in distributed systems.
 - The *cache consistency problem*: cached data may become *stale* if cached data is updated elsewhere in the network.
- Solutions:
 - Timestamp invalidation (NFS).
 - Timestamp each cache entry, and periodically query the server: "has this file changed since time *f*?"; invalidate cache if stale.
 - Callback invalidation (AFS).
 - Request notification (callback) from the server if the file changes; invalidate cache on callback.
 - Leases (NQ-NFS) [Gray&Cheriton89]

Recovery in Stateless NFS

- If the server fails and restarts, there is no need to rebuild in-memory state on the server.
 - Client reestablishes contact (e.g., TCP connection).
 - Client retransmits pending requests.
- Classical NFS uses a connectionless transport (UDP).
 - Server failure is transparent to the client
 - No connection to break or reestablish.
 - Sun/ONC RPC masks network errors by retransmitting a request after an adaptive timeout.
 - Crashed server is indistinguishable from a slow server.
 - Dropped packet is indistinguishable from a crashed server.

Drawbacks of a Stateless Service

- The stateless nature of classical NFS has compelling design advantages (simplicity), but also some key drawbacks:
 - Recovery-by-retransmission constrains the server interface.
 - ONC RPC/UDP has execute-mostly-once semantics ("send and pray"), which compromises performance and correctness.
 - Update operations are disk-limited.
 - Updates *must commit synchronously* at the server.
 - NFS cannot (quite) preserve local *single-copy semantics*.
 - Files may be removed while they are open on the client.
 - Server cannot help in client cache consistency.
- Let's look at the consistency problem...

Timestamp Validation in NFS [1985]

- NFSv2/v3 uses a form of timestamp validation like today's Web
 - Timestamp cached data at file grain.
 - Maintain per-file expiration time (TTL)
 - Probe for new timestamp to revalidate if cache TTL has expired.
 - Get attributes (*getattr*)
- NFS file cache and access primitives are block-grained, and the client may issue many operations in sequence on the same file.
 - Clustering: File-grained timestamp for block-grained cache
 - Piggyback file attributes on each response
 - Adaptive TTL
- What happens on server failure? Client failure?

AFS [1985]

- AFS is an alternative to NFS developed at CMU.
 - Duke still uses it.
- Designed for wide area file sharing:
 - Internet is large and growing exponentially.
 - Global name hierarchy with local naming contexts and location info embedded in fully qualified names.
 - Much like DNS
 - Security features, with per-domain authentication / access control.
 - Whole file caching or 64KB chunk caching
 - Amortize request/transfer cost
 - Client uses a disk cache
 - Cache is preserved across client failure.
 - Again, it looks a lot like the Web.

Callback Invalidations in AFS-2

- AFS-1 uses timestamp validation like NFS; AFS-2 uses callback invalidations.
- Server returns "callback promise" *token* with file access.
 - Like ownership protocol, confers a right to cache the file.
 - Client caches the token on its disk.
- Token states: {valid, invalid, cancelled}
- On a sharing collision, server *cancels* token with a callback.
 - Client invalidates cached copy of the associated file.
 - Detected on client write to server: *last writer wins*.
 - (No distinction between read/write token.)

Issues with Callback Invalidations

- What happens after a failure?
 - Client invalidates its tokens on client restart.
 - Invalid tokens may be revalidated, like NFS *getattr* or WWW.
 - Server must remember tokens across restart.
 - Can the client distinguish a server failure from a network failure?
 - Client invalidates tokens after a timeout interval \mathcal{T} if the client has no communication with the server.
 - Weakens consistency in failures.
- Then there's the problem of update semantics: two clients may be actively updating the same file at the same time.

NQ-NFS Leases

- In NQ-NFS, a client obtains a *lease* on the file that permits the client's desired read/write activity.
 - "A lease is a ticket permitting an activity; the lease is valid until some expiration time."
 - A *read-caching lease* allows the client to cache clean data.
 - Guarantee: no other client is modifying the file.
 - A write-caching lease allows the client to buffer modified data for the file.
 - Guarantee: no other client has the file cached.
 - Allows *delayed writes*: client may delay issuing writes to improve write performance (i.e., client has a writeback cache).

Using NQ-NFS Leases

- 1. Client NFS piggybacks lease requests for a given file on I/O operation requests (e.g., read/write).
 - NQ-NFS leases are *implicit* and distinct from file locking.
- 2. The server determines if it can safely grant the request, i.e., does it conflict with a lease held by another client.
 - *read leases* may be granted simultaneously to multiple clients
 - write leases are granted exclusively to a single client
- 3. If a conflict exists, the server may send an *eviction* notice to the holder.
 - Evicted from a write lease? Write back.
 - Grace period: server grants extensions while client writes.
 - Client sends *vacated* notice when all writes are complete.

NQ-NFS Lease Recovery

- <u>Key point</u>: the bounded lease term simplifies recovery.
 - Before a lease expires, the client must *renew* the lease.
 - What if a client fails while holding a lease?
 - Server waits until the lease expires, then unilaterally reclaims the lease; client forgets all about it.
 - If a client fails while writing on an eviction, server waits for *write slack* time before granting conflicting lease.
 - What if the server fails while there are outstanding leases?
 - Wait for *lease period + clock skew* before issuing new leases.
 - Recovering server must absorb lease renewal requests and/or writes for vacated leases.

NQ-NFS Leases and Cache Consistency

- Every lease contains a file version number.
 - Invalidation cache iff version number has changed.
- Clients may disable client caching when there is concurrent write sharing.
 - no-caching lease (Sprite)
- What consistency guarantees do NQ-NFS leases provide?
 - Does the server eventually receive/accept all writes?
 - Does the server accept the writes in order?
 - Are groups of related writes atomic?
 - How are write errors reported?
 - What is the relationship to NFS V3 *commit*?

Using Disk Storage

- Typical operating systems use disks in three different ways:
- System calls allow user programs to access a "raw" disk.
 - Unix: special *device file* identifies volume directly.
 - Any process that can *open* the device file can read or write any specific sector in the disk volume.
- OS uses disk as *backing storage* for virtual memory.
 - OS manages volume transparently as an "overflow area" for VM contents that do not "fit" in physical memory.
- 3. OS provides syscalls to create/access *files* residing on disk.
 - OS *file system* modules virtualize physical disk storage as a collection of logical files.

Alternative Structure: DOS FAT

