

# Models of Computation

Analysis of Algorithms  
Week 1, Lecture 2

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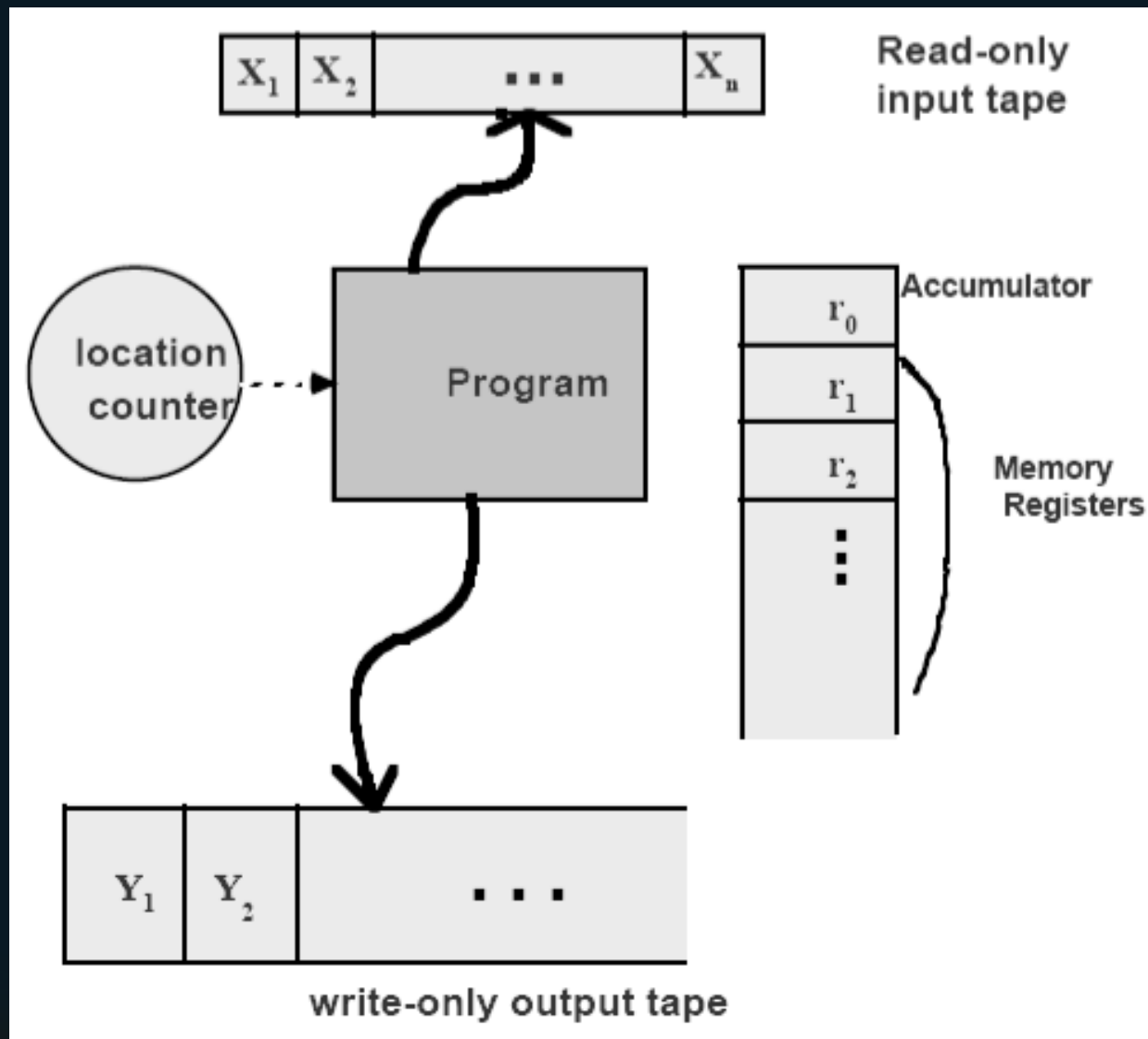
# Models of Computation (RAM)

- a) Random Access Machines
- b) Straight Line Programs and Circuits
- c) Vector Machines
- d) Turing Machines
- e) Pointer Machines
- f) Decision Trees
- g) Machines That Make Random Choices

# Readings

- Main Reading Selection:
  - CLR, Chapter 1 and 2

# Random Access Machine (RAM)



# RAM Assumptions

- 1) Each register holds an integer
- 2) Program can't modify itself
- 3) Memory instructions involve simple arithmetic
  - a) Addition, subtraction
  - b) Multiplication, division and control states (got, if-then, etc.)

# Simplified Program Style

examples:

$r \leftarrow \text{constant}$

$r_3 \leftarrow r_1 \text{ op } r_2$

$\text{op} \in \{+, -, \times, \div\}$

*goto* label

*if*  $r = 0$  *then goto* L

*read* (r)

*write* (r)

# Complexity Measures of Algorithms



- $\text{Time}_A(X)$  = time cost of Algorithm A, input X
- $\text{Space}_A(X)$  = space cost of Algorithm A, input X
- Note: “time” and “space” depend on machine

# Complexity Measures of Algorithms (cont' d)

- Worst case time complexity

$$T_A(n) = \max_{\{x:|x|=n\}} (\text{Time}_A(X))$$

- Average case complexity for random inputs

$$E(T_A(n)) = \sum_{\{x:|x|=n\}} \text{Time}_A(X) \text{Prob}(X)$$

- Worst case space complexity

$$S_A(n) = \max_{\{x:|x|=n\}} (\text{Space}_A(X))$$

- Average case complexity for random inputs

$$E(S_A(n)) = \sum_{\{x:|x|=n\}} \text{Space}_A(X) \text{Prob}(X)$$



# Cost Criteria

- Uniform Cost Criteria
  - $\left\{ \begin{array}{l} \text{Time} = \# \text{ RAM instructions} \\ \text{space} = \# \text{ RAM memory registers} \end{array} \right.$
- Logarithmic Cost Criteria
  - Time =  $L(i)$  units per RAM instruction on integer size  $i$
  - Space =  $L(i)$  units per RAM register on integer size  $i$

## Cost Criteria (cont' d)

$$\text{where } L(i) = \begin{cases} \lfloor \log |i| \rfloor & i \neq 0 \\ 1 & i = 0 \end{cases}$$

- Example

$Z \leftarrow 2$

*for*  $k=1$  *to*  $n$  *do*  $Z \leftarrow Z \cdot Z$

output  $Z = 2^{2^n}$

[	Uniform	time cost = $n$
	Logarithmic	time cost $> 2^n$

# Varieties of Computing Machine Models

RAMs

Straight line programs

Circuits

Bit vectors

Lisp machines

...

Turning Machines

# Straight Line Programs

- Idea
  - fix  $n =$  input size
  - *unroll* each iteration loop until result is **loop-free program**  $P_n$
  - For each  $n > 0$ , get a distinct program  $P_n$

Note: this is only possible if we can ***eliminate all branching*** and all *indirect addressing*

## Example

- Given polynomial

$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$$

with constant coefficients  $a_0, a_1, \dots, a_n$

- Horner's Rule for Polynomial Evaluation

RAM  
program  
in  $2n$   
steps

```
input X
{
  Y ← an
  for i = n-1 by -1 to 0 do Y ← (Y · X) + ai
}
output Y
```

## Example (cont' d)

$n=1$	$\Pi_1 :$	$n=2$	$\Pi_2 :$	$n=3$	$\Pi_3 :$
	$Y \leftarrow a_1 \cdot X$		$Y \leftarrow a_2 \cdot X$		$Y \leftarrow a_3 \cdot X$
	$Y \leftarrow Y + a_0$		$Y \leftarrow Y + a_1$		$Y \leftarrow Y + a_2$
			$Y \leftarrow Y \cdot X$		$Y \leftarrow Y \cdot X$
			$Y \leftarrow Y + a_0$		$Y \leftarrow Y + a_1$
					$Y \leftarrow Y \cdot X$
					$Y \leftarrow Y + a_0$

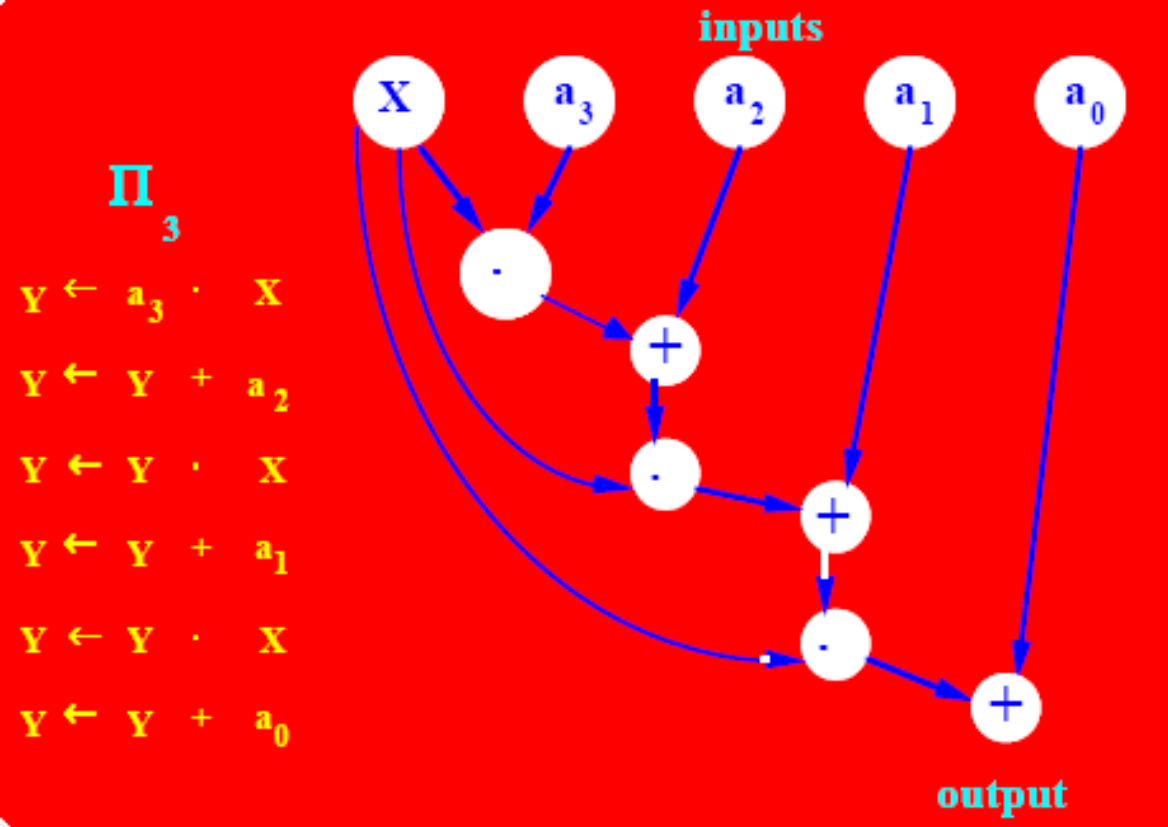
Straight Line Programs



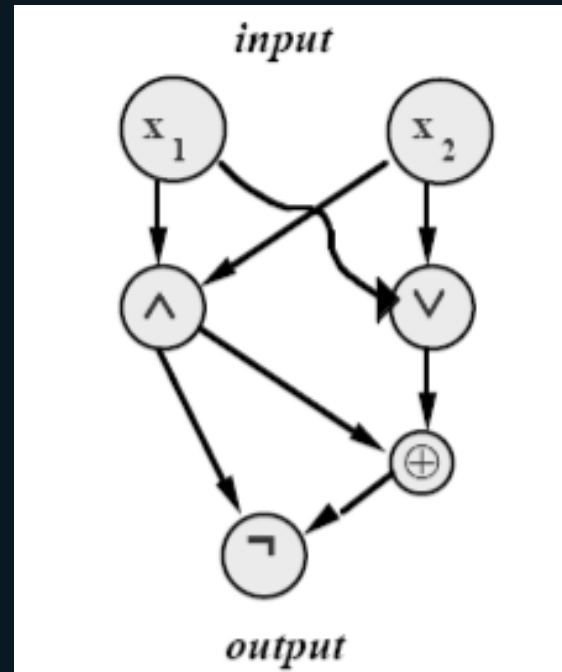
Circuits

1-1  
correspondence

(DAG) graph model for straight line programs



# Boolean Circuits (for VLSI Design)



- Restrictions
  - 1) All memory registers have value 0 or 1
  - 2) Use only logical operations

$\wedge$        $\vee$        $\oplus$        $\neg$   
“and”    “or”    “parity”    “not”



# Vector Machines

- *logical operations*  $\wedge$ ,  $\vee$ ,  $\oplus$ ,  $\neg$  applied to vector elements
- memory locations hold **Boolean vectors**



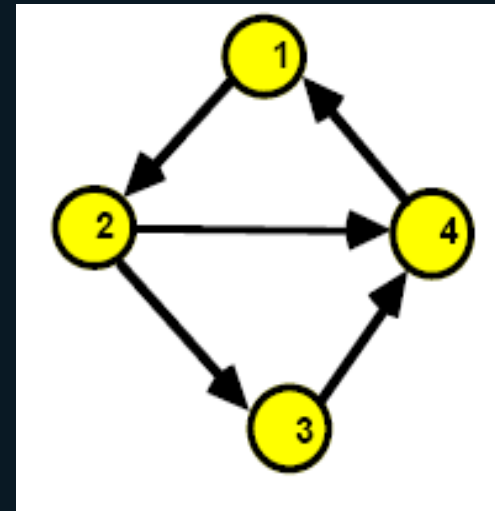
- may also allow vector shift operations

# Vector Machines (cont' d)

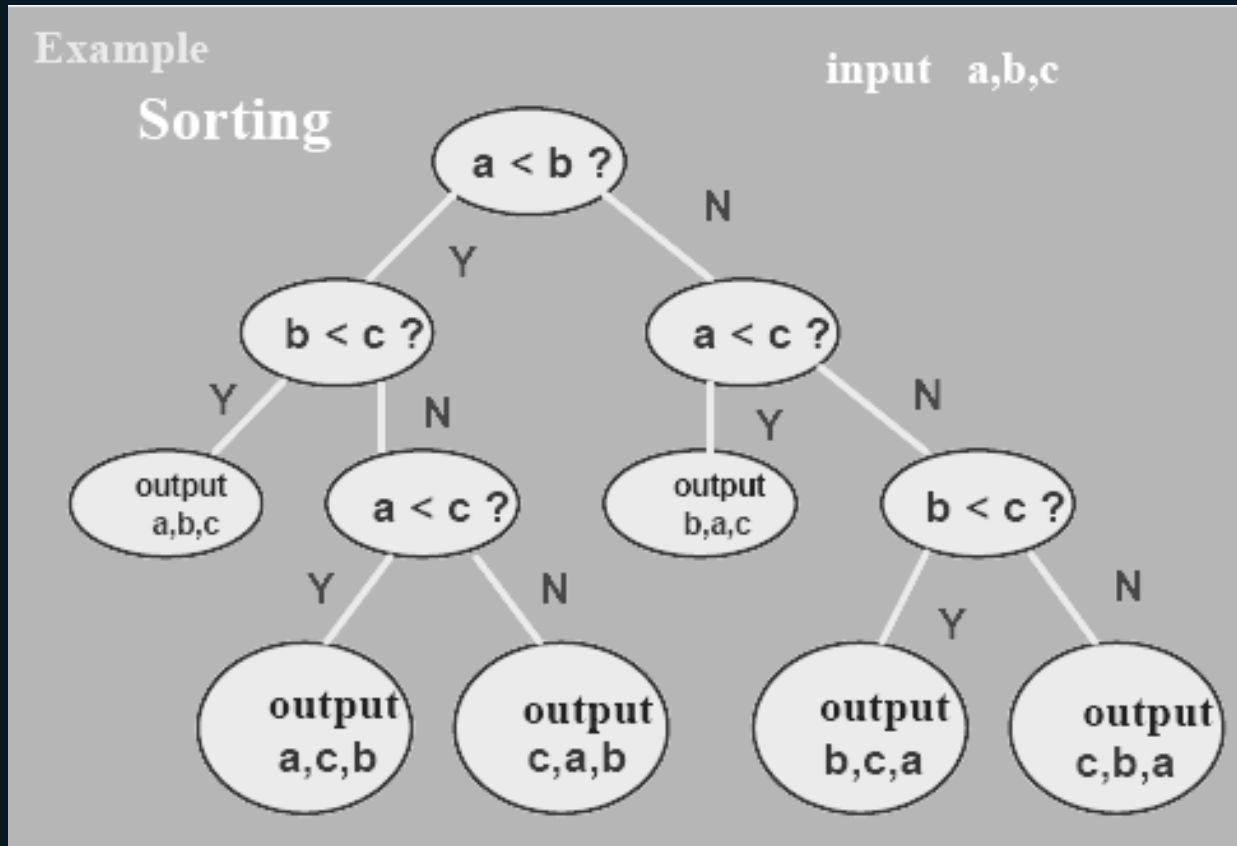
- Example

	1	2	3	4
1	0	1	0	0
2	0	0	1	1
3	0	0	0	1
4	1	0	0	0

graph G



# Decision Trees



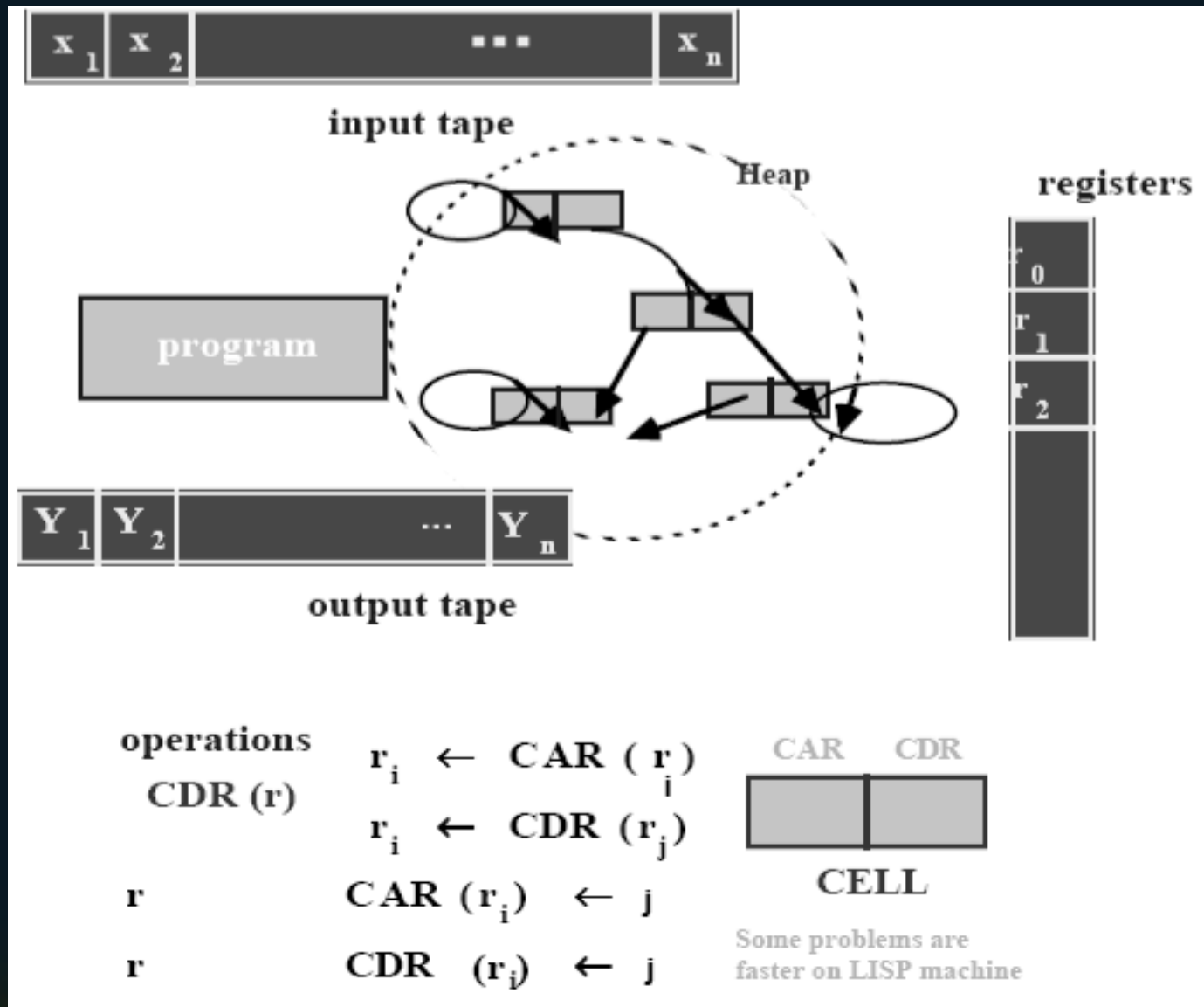
## Decision Trees (cont' d)

- To sort  $n$  keys
  - Any decision tree must have  $n!$  output leaves
  - ( $n!$  = # permutations of  $n$  keys)  
hence height of tree is

$$\geq \log_2(n!) \geq c n \log n$$

# Pointer Machines

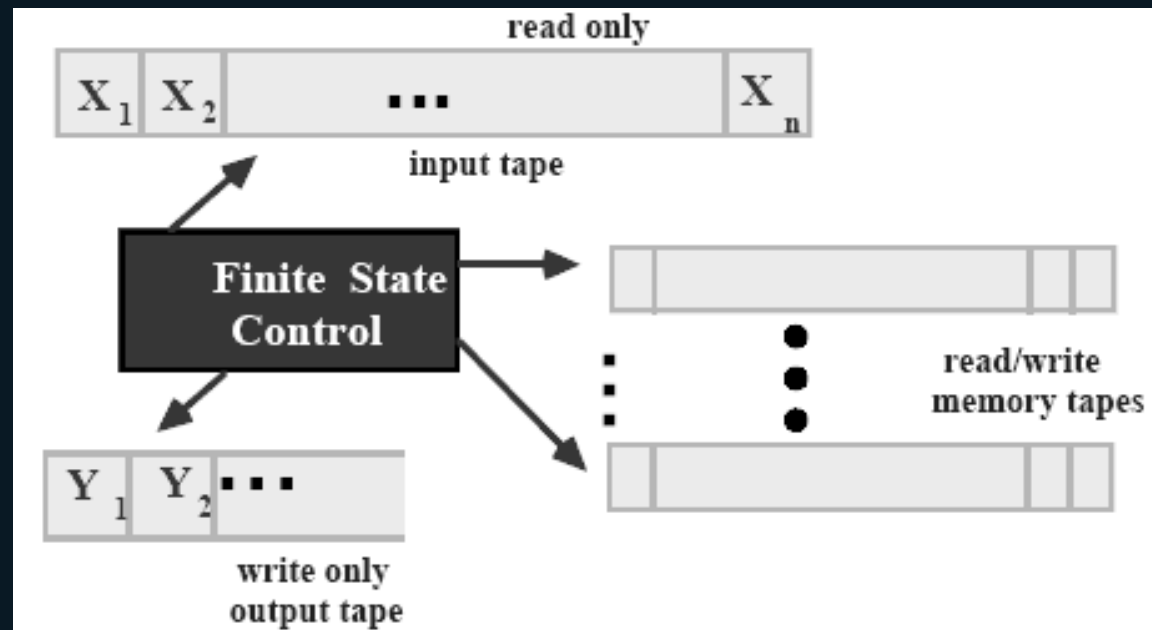
Based on LISP programming language



# The Turing Machine (TM): The VW of Machines



- Invented by Turing (a Cambridge logician)
  - Built by British for WWII cryptography !



## The Turing Machine (cont' d)

$T(n)$  = time cost      = max steps of TM

$S(n)$  = space cost      = max cells written by  
TM on memory tapes

# Reductions Between TM and RAM Models

- 1) Given **TM time cost**  $T(n)$  then  
 $\exists$  equivalent RAM (obvious)

$$\begin{array}{l} \text{Time} \\ \text{cost} \end{array} \begin{cases} c T(n) & \text{if uniform cost} \\ c T(n) (\log n) & \text{if log cost} \end{cases}$$

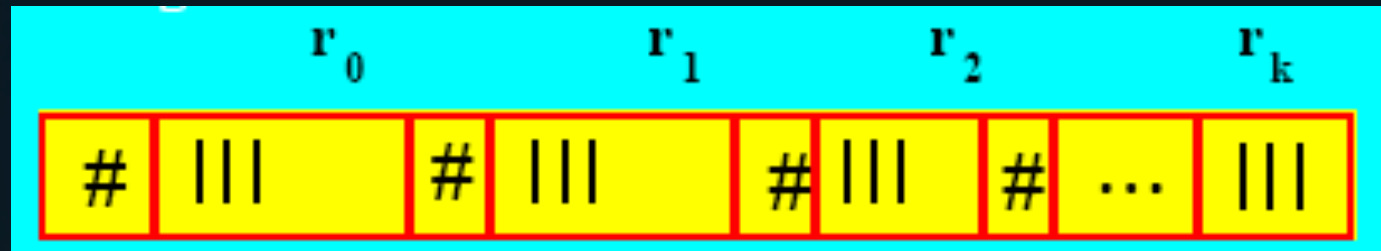
- 2) Given **RAM time cost**  $T(n)$  with  
logarithmic cost then  
 $\exists$  equivalent TM with time cost  $c'$   
 $T(n)^2$



# Reductions Between TM and RAM Models (cont' d)

Proof idea

registers



Read  
write  
memory

- Do arithmetic by Grammar School Method

# Extensions of RAMS

- Reasonable:
  - (0) Modifiable Program
  - (1) Random Choices
  - (2) Non-uniformity
- Not Reasonable:
  - (3) Non-deterministic Choices

# RASP Machine

- Same as RAM but allow *program to change itself*
- Same power as RAM
- Proof idea (due to Von Neumann)
  - Let RAM use memory registers to store modifiable program of RASP

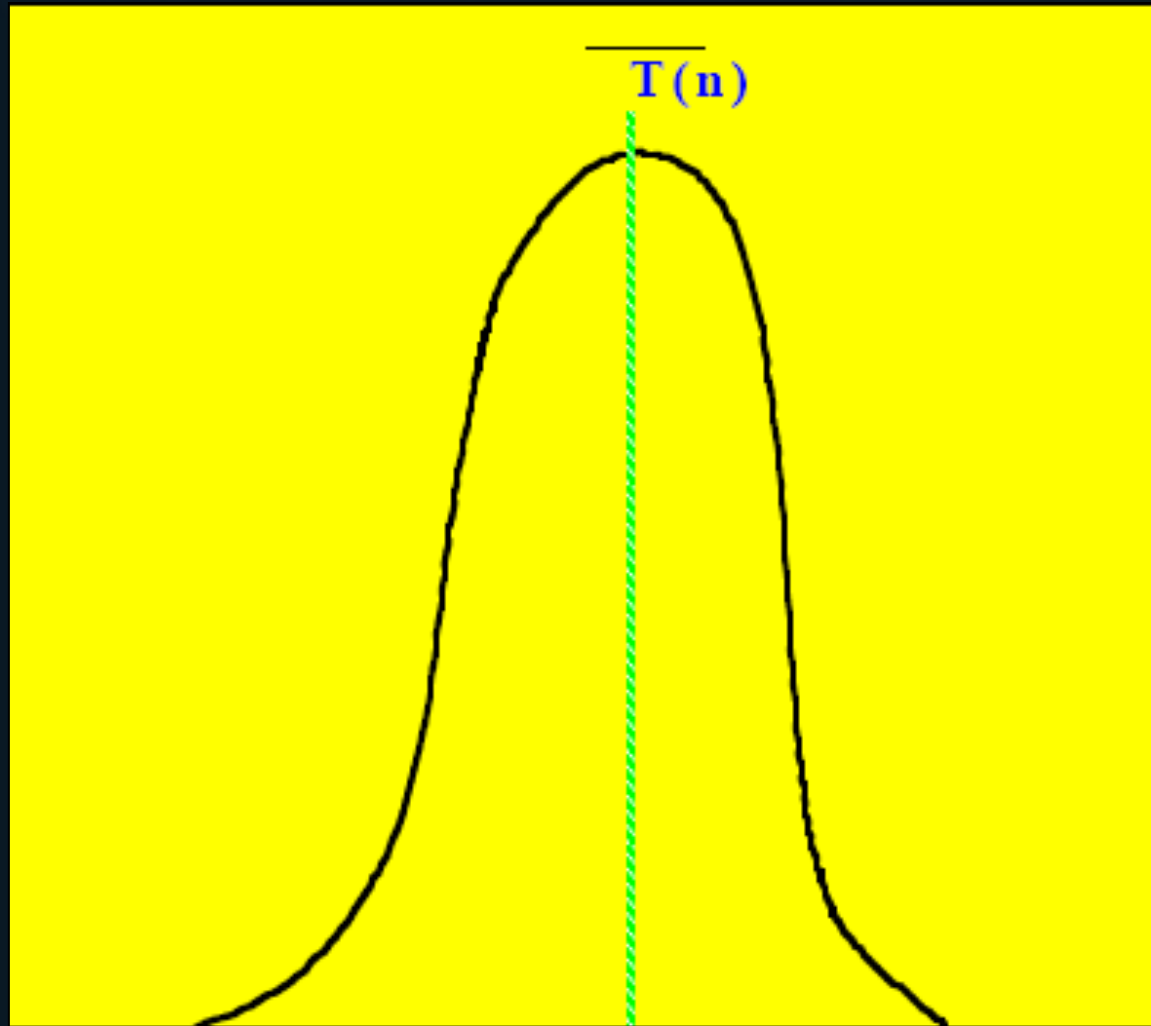
# Randomized Machines

- Extend RAM instructions to include
  - $r \leftarrow \text{RANDOM}(k)$  gives a random  $k$  bit number
  - Let  $A_R(x)$  denote randomized algorithm with input  $x$ , random choices  $R$

$$\frac{\text{Expected Time}}{\text{Time}(X)} = \sum_{\forall R}^{\text{input } X} \text{Time}(X) \text{Prob}(R)$$

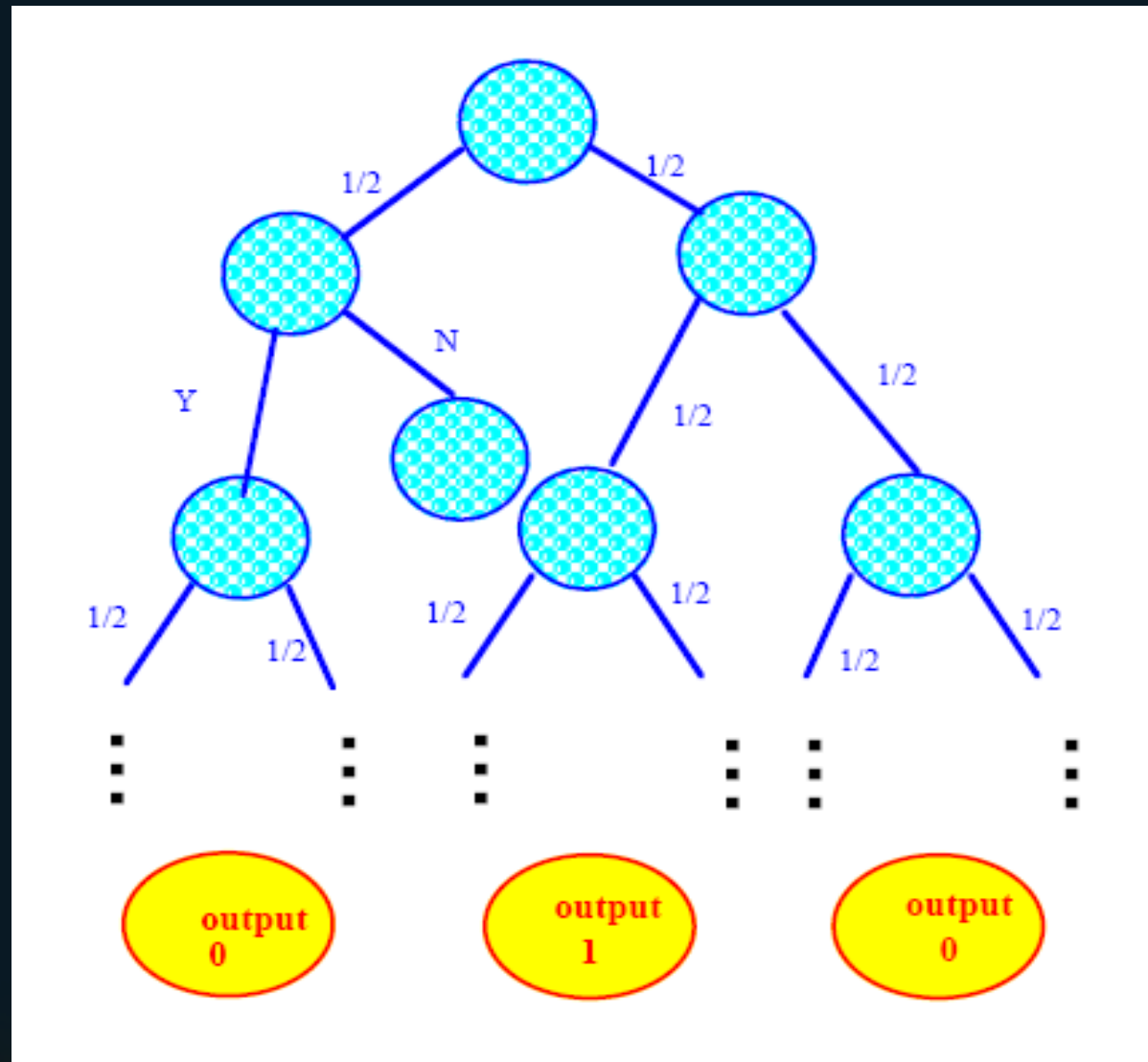
- Expected Time Complexity
  - $T(n) = \max_{\{x | n = |x|\}} \text{Time}(x)$

P  
R  
O  
B  
A  
B  
I  
L  
I  
T  
Y



TIME T(n)

# A Randomized Computation



- 1) If machine **outputs value  $v$  with prob  $> \frac{1}{2}$**  then  $v$  is considered its output.
- 2) Machine **accepts input  $X$**  if outputs 1 with prob  $> \frac{1}{2}$
- 3) Has **1-sided error** if when not accepting 1 outputs only 0.

# Non-Uniformity

- For each input size  $n$ , allow the program a distinct, finite, “**advice tape**” to read



- Note:
  - If advice tape length  $2^n$  can solve any Boolean output problem with  $n$  Boolean inputs (obvious).

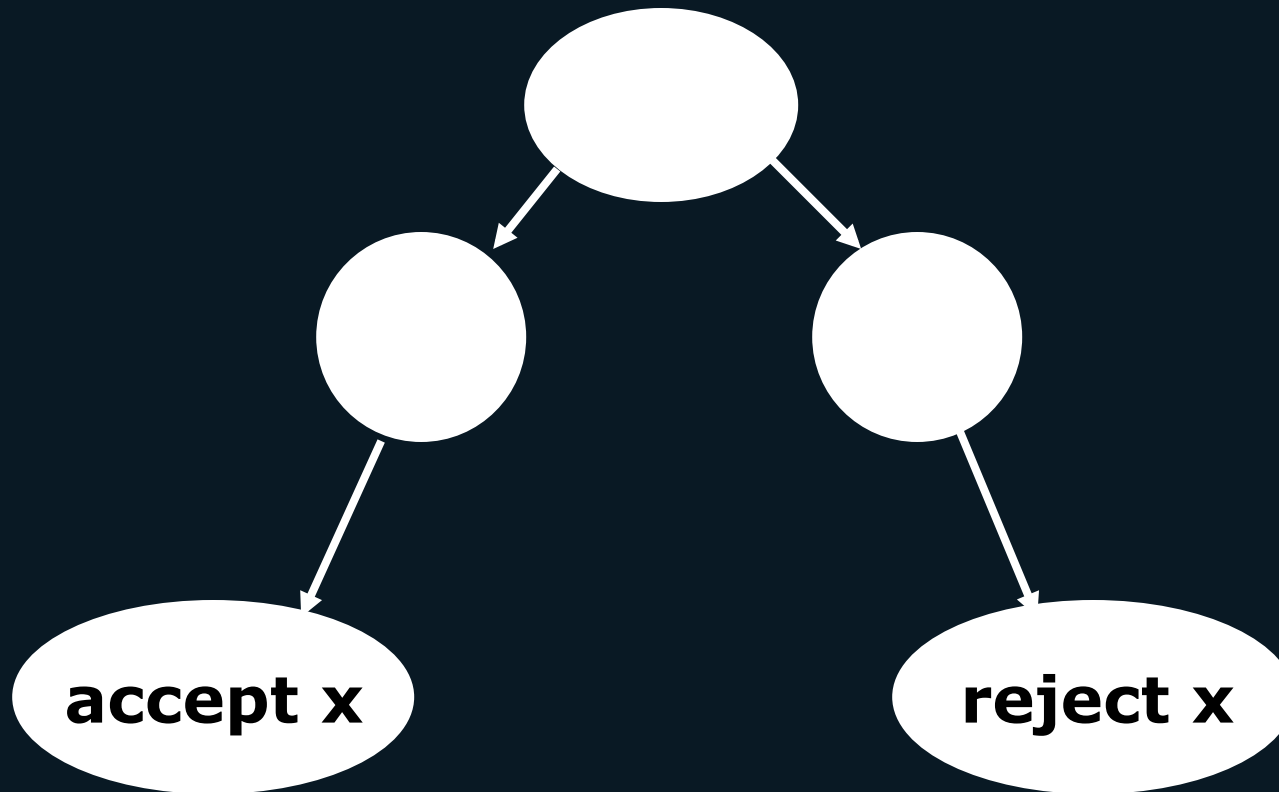


# Surprising Result (Adelman)

- Given any polynomial time **randomized** algorithm with 1 side error,
  - $\exists$  a non-uniform **deterministic** algorithm with polynomial time and polynomial advice!
- Gives way of derandomizing a randomized algorithm

# Nondeterministic Machines

- Allow “nondeterministic choice” branches



- If **any** sequence of choices succeed to accept  $x$ , then computation **accepts**.

# NP and P

- NP = languages accepted by polynomial time nondeterministic TM machines.
  - Includes many hard problems:
    - 1) Traveling Salesman Problem
    - 2) Propositional Satisfiability
    - 3) Integer Programming
- P = languages accepted by polynomial time deterministic machines

**P ? = NP**

Not known  
probably no

## Another Surprising Result (Levin)

- If  $P=NP$  but we don't know the proof (i.e., the polynomial time algorithm for NP)
  - find an optimal algorithm to find the solution of any solvable search problem, in polynomial time!
- Proof depends on assumption that there is a **finite length** program for NP search problems, running in poly time)

# Conclusion

- 1) There are **many** possible machine models
- 2) Most (but **not** Nondeterministic) are “constructable” – so might be used if we have efficient algorithms to execute on machines.
- 3) New machine models can help us invent new algorithms, and vice versa!

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